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# Functions

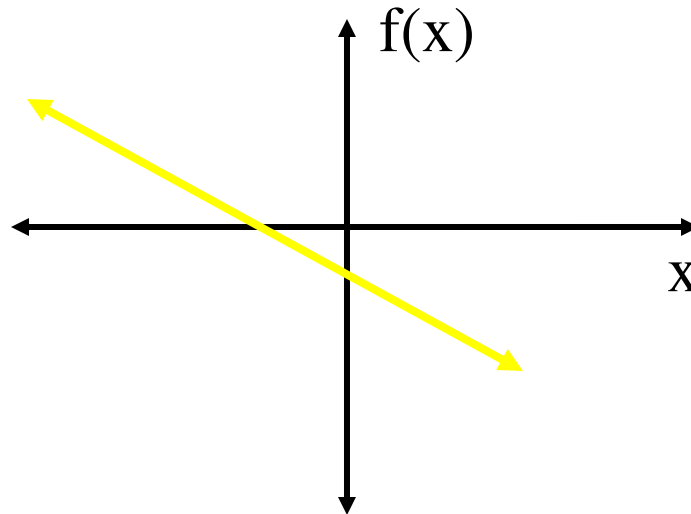
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# Acknowledgement

Most of these slides were either created by Professor Bart Selman at Cornell University or else are modifications of his slides

# Functions

Suppose we have:



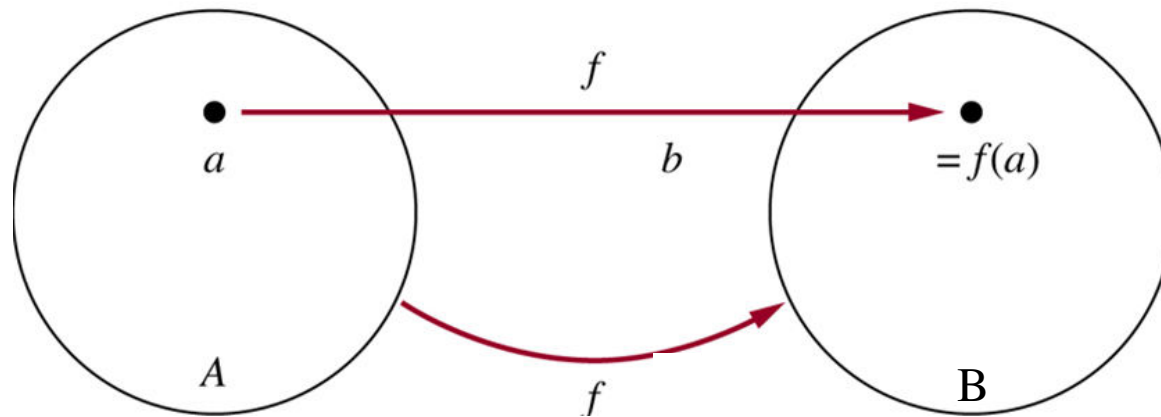
How do you describe the yellow function?

What's a function ?

$$f(x) = -(1/2)x - 1/2$$

# Functions

More generally:



## Definition:

Given  $A$  and  $B$ , nonempty sets, a **function**  $f$  from  $A$  to  $B$  is an assignment of exactly one element of  $B$  to each element of  $A$ . We write  $f(a)=b$  if  $b$  is the element of  $B$  assigned by function  $f$  to the element  $a$  of  $A$ .

If  $f$  is a function from  $A$  to  $B$ , we write  $f : A \rightarrow B$ .

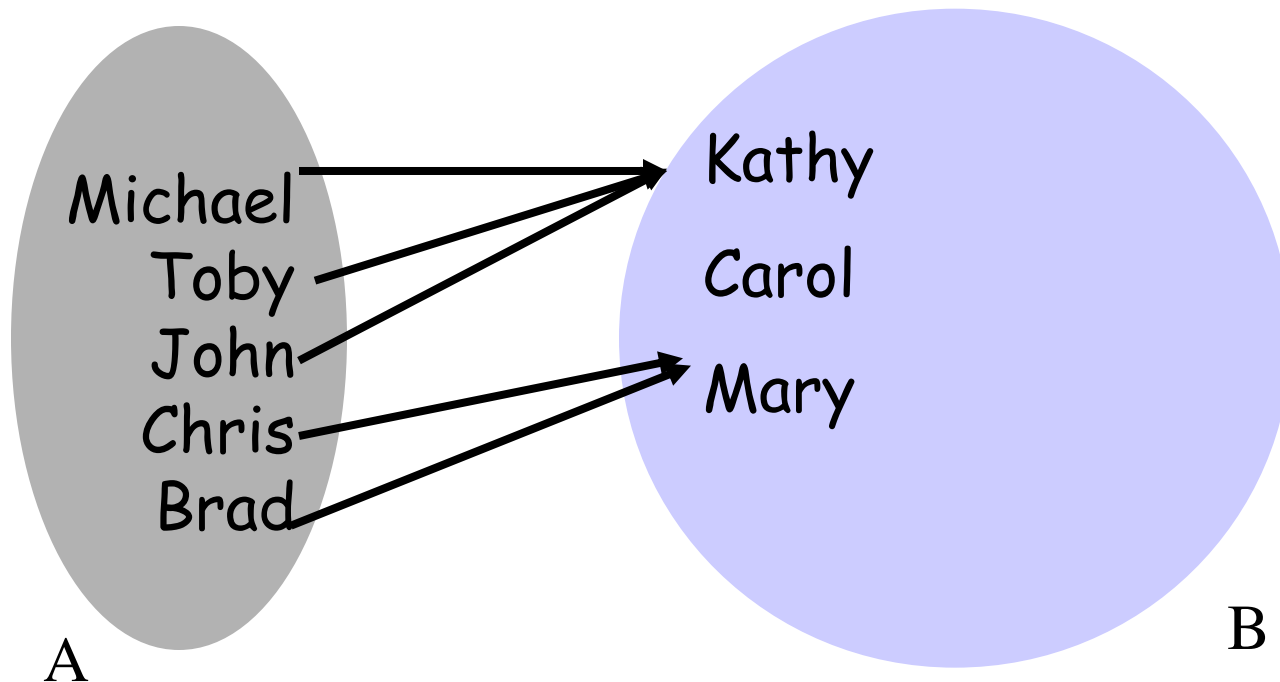
Note: Functions are also called **mappings** or **transformations**.

# Functions

$A = \{\text{Michael, Toby, John, Chris, Brad}\}$

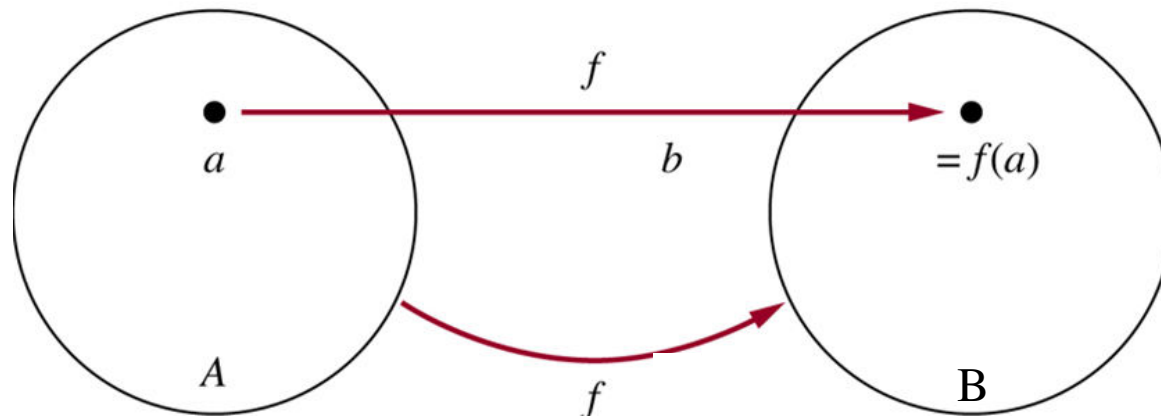
$B = \{\text{Kathy, Carla, Mary}\}$

Let  $f: A \rightarrow B$  be defined as  $f(a) = \text{mother}(a)$ .



# Functions

More generally:



A - Domain of f

B- Co-Domain of f

$$f: \mathbb{R} \rightarrow \mathbb{R}, f(x) = -(1/2)x - 1/2$$

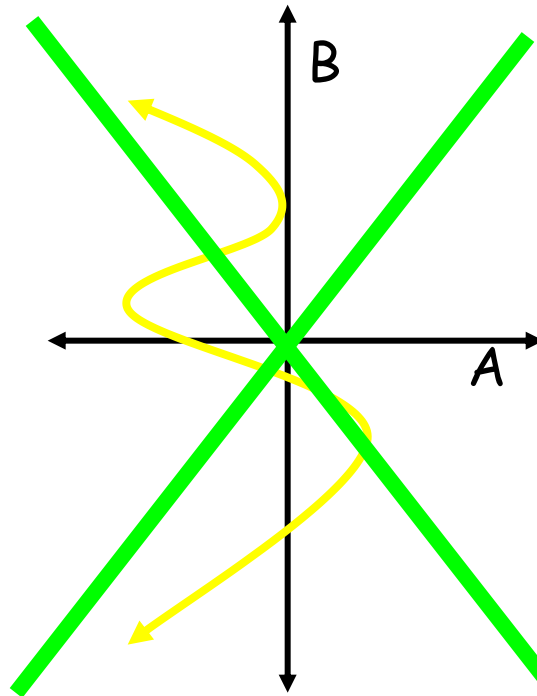
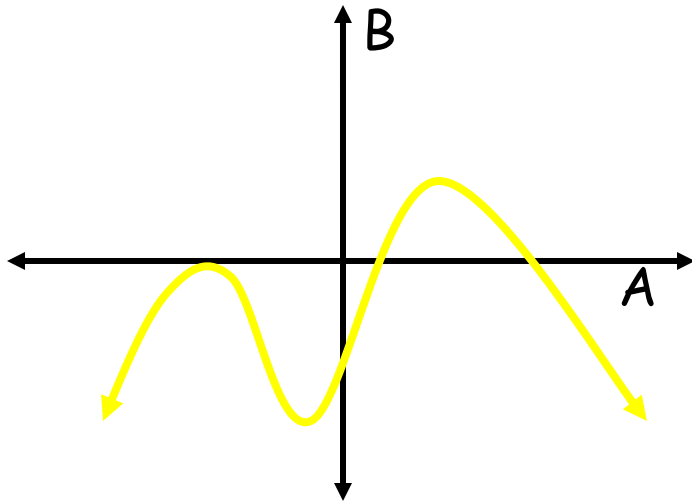
domain

co-domain

a collection of points! **unctions**

More formally: a function  $f: A \rightarrow B$  is a subset of  $A \times B$  where  
 $\forall a \in A, \exists! b \in B$  and  $\langle a, b \rangle \in f$ .

a point!



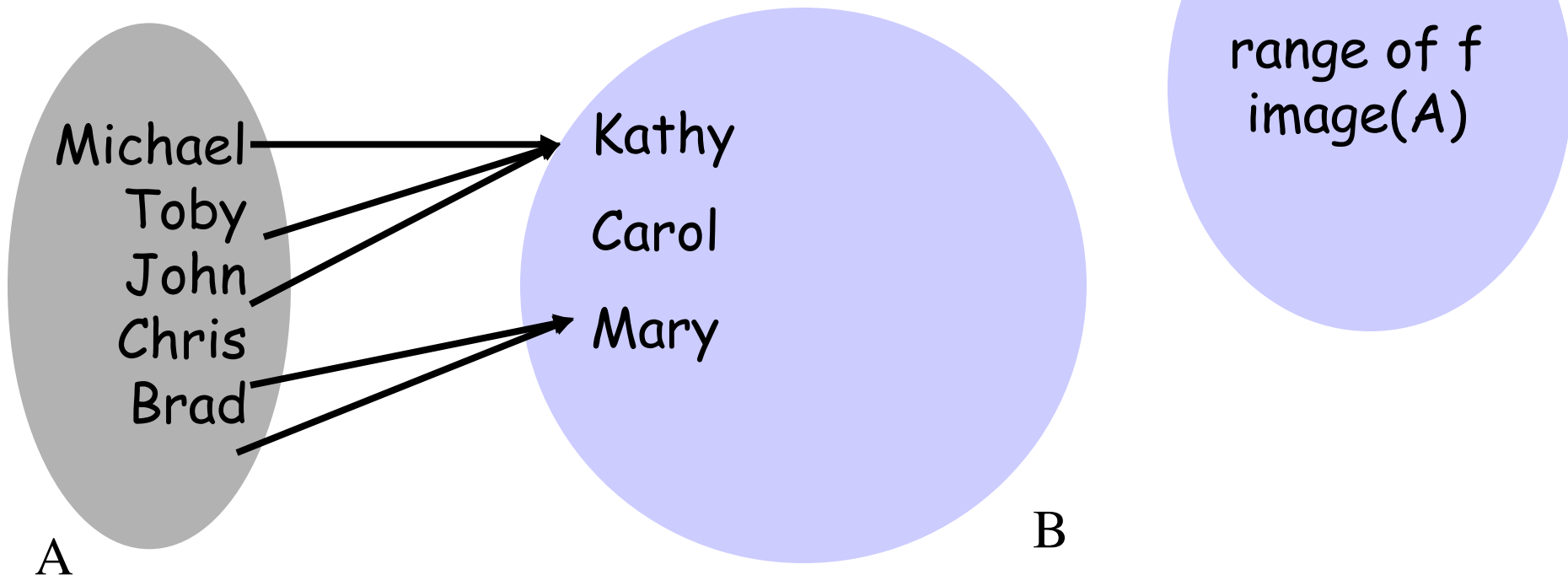
Why not?

# Functions - image & preimage

image(S)

For any set  $S \subseteq A$ ,  $\text{image}(S) = \{b : \exists a \in S, f(a) = b\}$

So,  $\text{image}(\{\text{Michael, Toby}\}) = \{\text{Kathy}\}$   $\text{image}(A) = B - \{\text{Carol}\}$



$\text{image}(\text{John}) = \{\text{Kathy}\}$

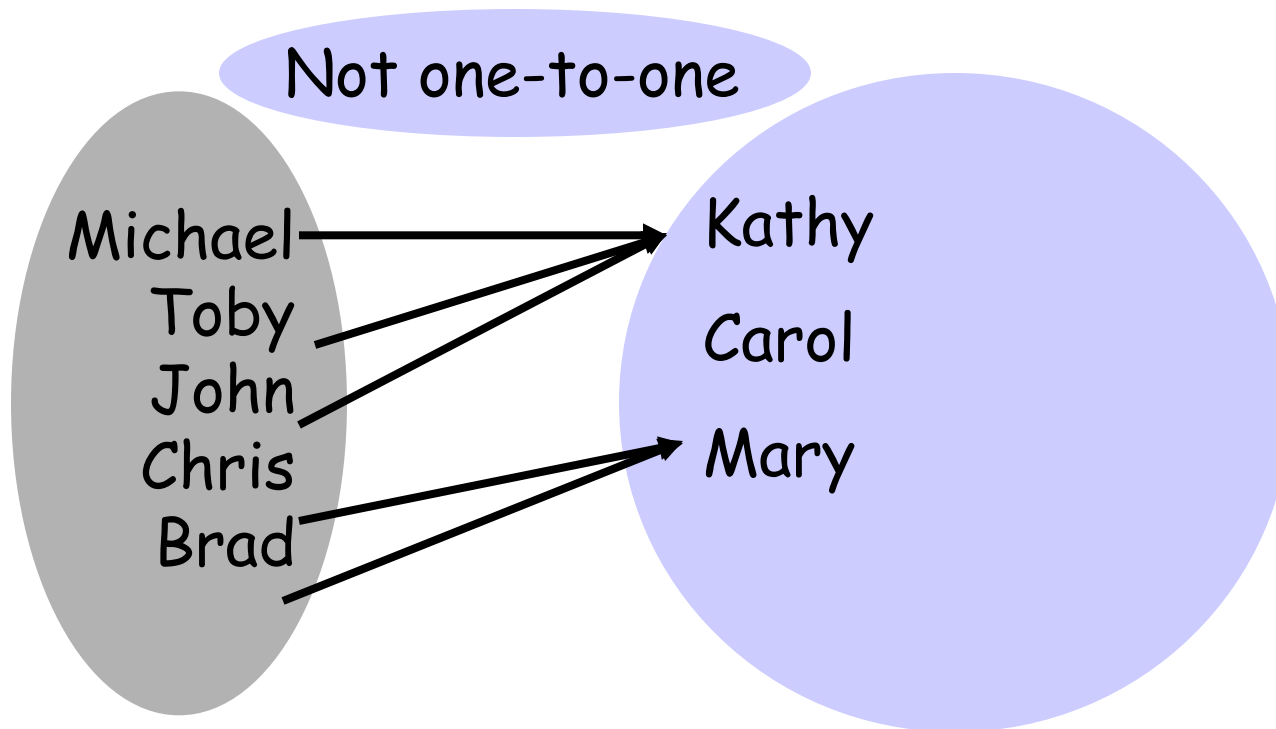
$\text{pre-image}(\text{Kathy}) = \{\text{John, Toby, Michael}\}$



Every  $b \in B$  has  
at most 1  
preimage.

## Functions - injection

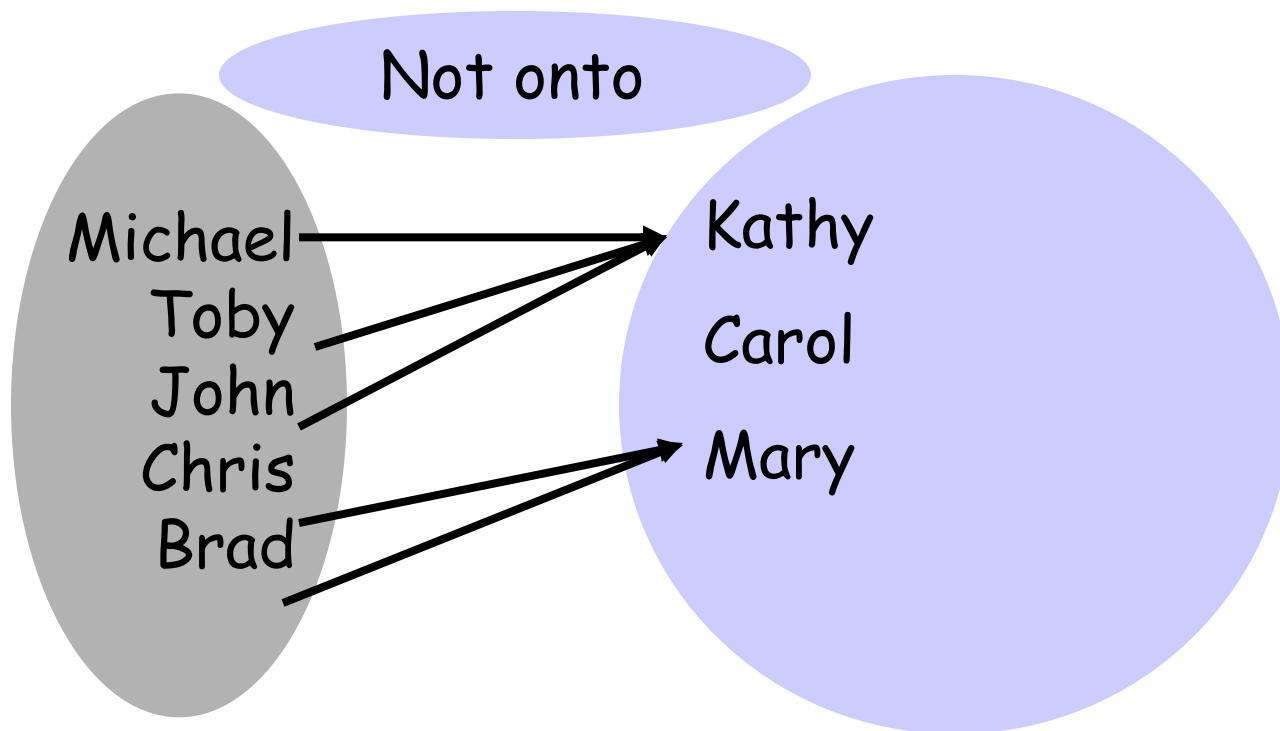
A function  $f: A \rightarrow B$  is **one-to-one** (injective, an injection) if  
 $\forall a, b, c, (f(a) = b \wedge f(c) = b) \rightarrow a = c$



Every  $b \in B$  has  
at least 1  
preimage.

## Functions - surjection

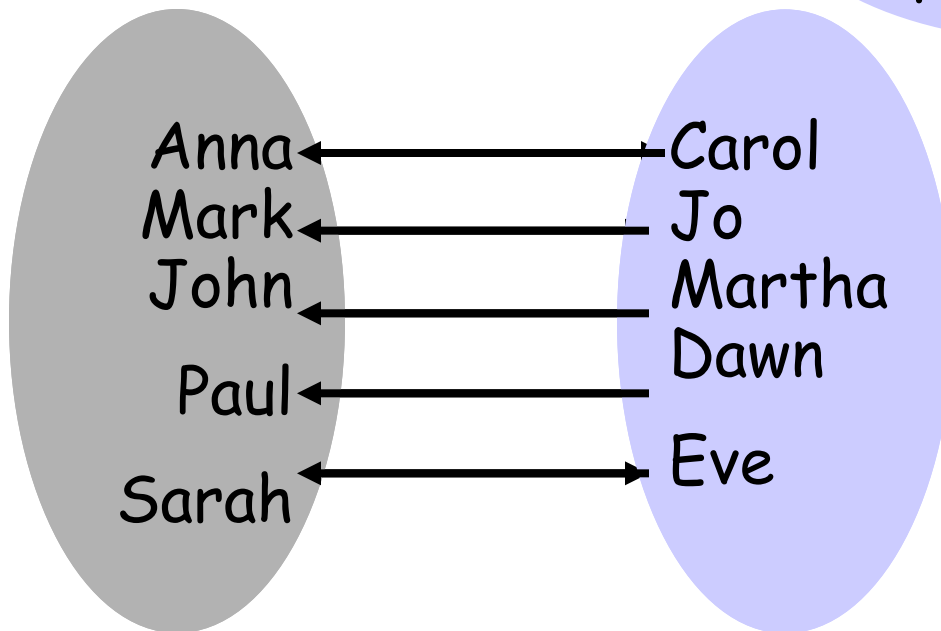
A function  $f: A \rightarrow B$  is **onto** (surjective, a surjection) if  $\forall b \in B, \exists a \in A f(a) = b$



# Functions – one-to-one-correspondence or bijection

A function  $f: A \rightarrow B$  is **bijection** if it is **one-to-one and onto**.

Every  $b \in B$  has  
exactly 1  
preimage.

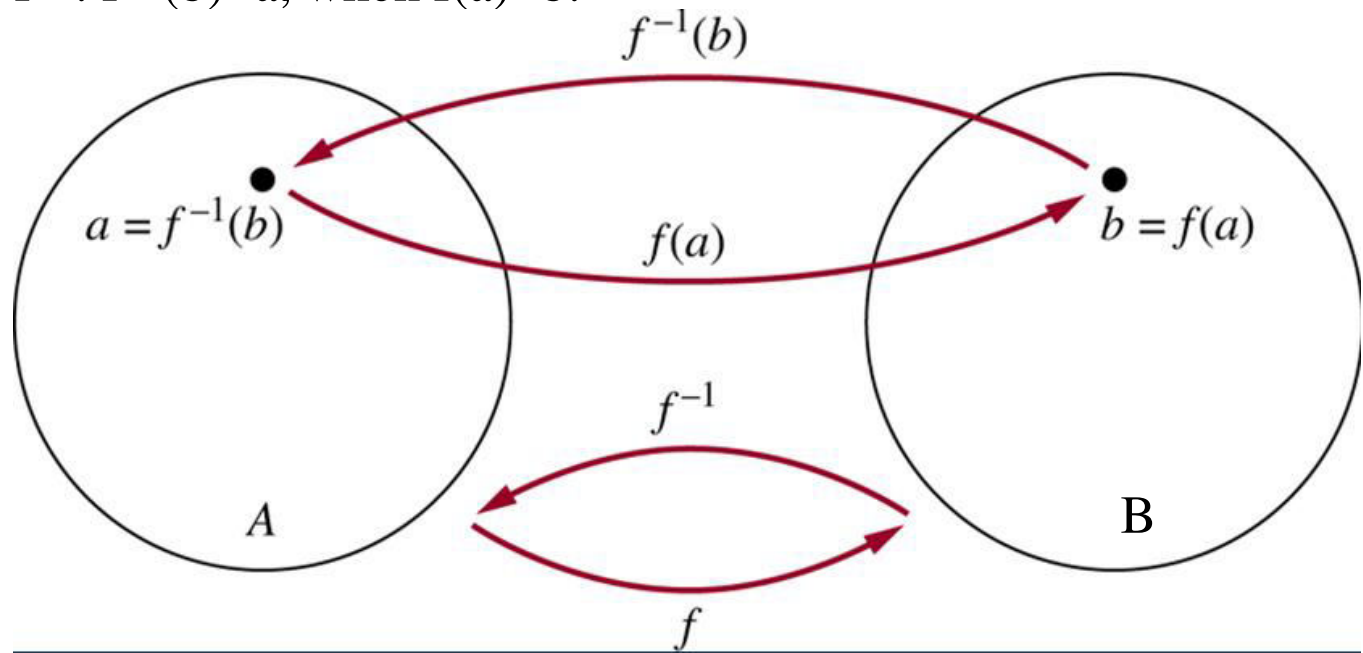


An important  
implication of this  
characteristic:  
The preimage ( $f^{-1}$ )  
is a function!  
They are  
**invertible.**

# Functions: inverse function

Definition:

Given  $f$ , a one-to-one correspondence from set  $A$  to set  $B$ , the **inverse function of  $f$**  is the function that assigns to an element  $b$  belonging to  $B$  the unique element  $a$  in  $A$  such that  $f(a)=b$ . The inverse function is denoted  $f^{-1}$ .  $f^{-1}(b)=a$ , when  $f(a)=b$ .



# Functions - examples

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Suppose  $f: \mathbb{R}^+ \rightarrow \mathbb{R}^+$ ,  $f(x) = x^2$ .

Is  $f$  one-to-one?

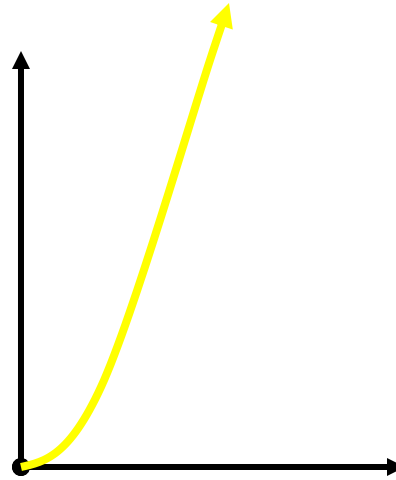
yes

Is  $f$  onto?

yes

Is  $f$  bijective?

yes



This function is invertible.

# Functions - examples

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Suppose  $f: \mathbb{R} \rightarrow \mathbb{R}^+$ ,  $f(x) = x^2$ .

Is  $f$  one-to-one?

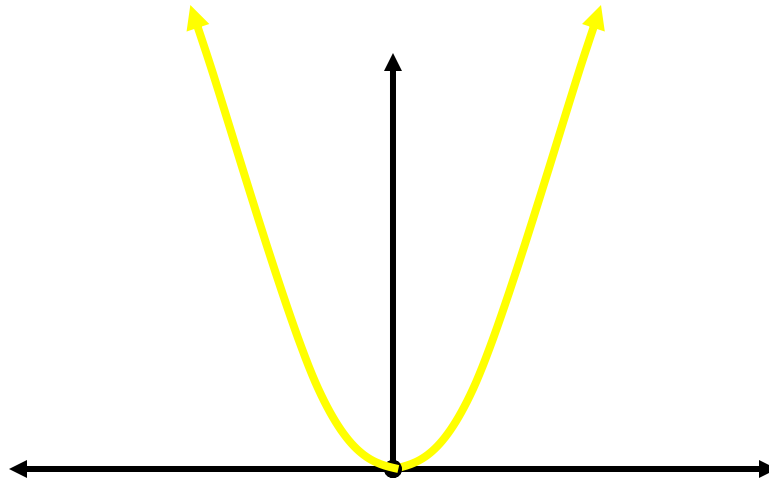
no

yes

Is  $f$  onto?

Is  $f$  bijective?

no



This function is not invertible.

# Functions - examples

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Suppose  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f(x) = x^2$ .

Is  $f$  one-to-one?

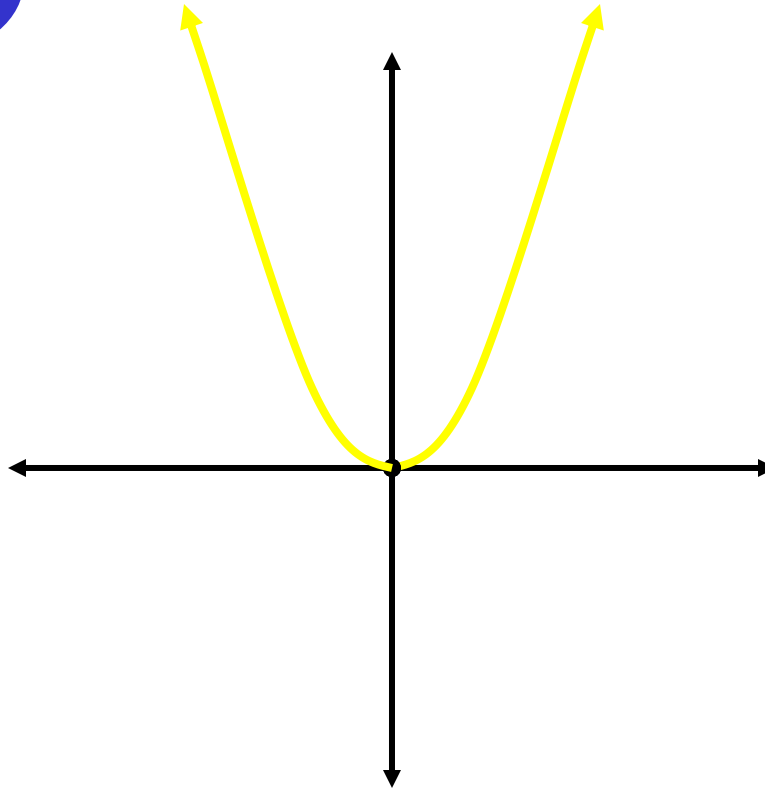
no

Is  $f$  onto?

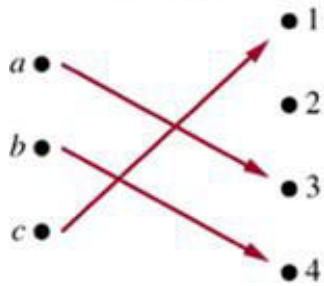
no

Is  $f$  bijective?

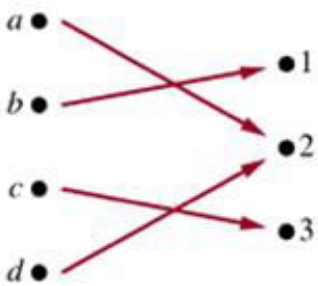
no



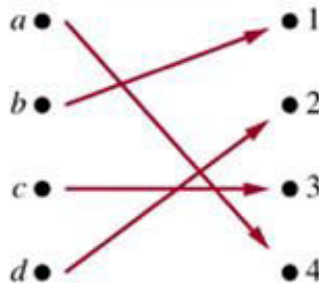
(a) One-to-one,  
not onto



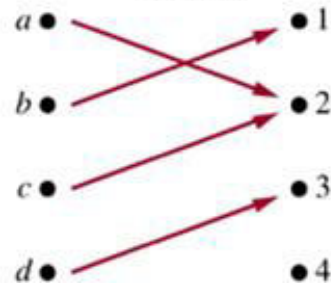
(b) Onto,  
not one-to-one



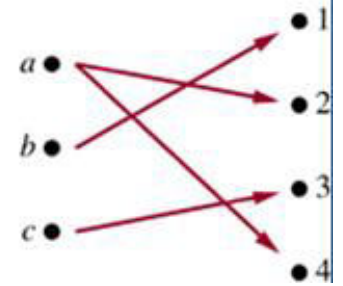
(c) One-to-one,  
and onto



(d) Neither one-to-one  
nor onto



(e) Not a function



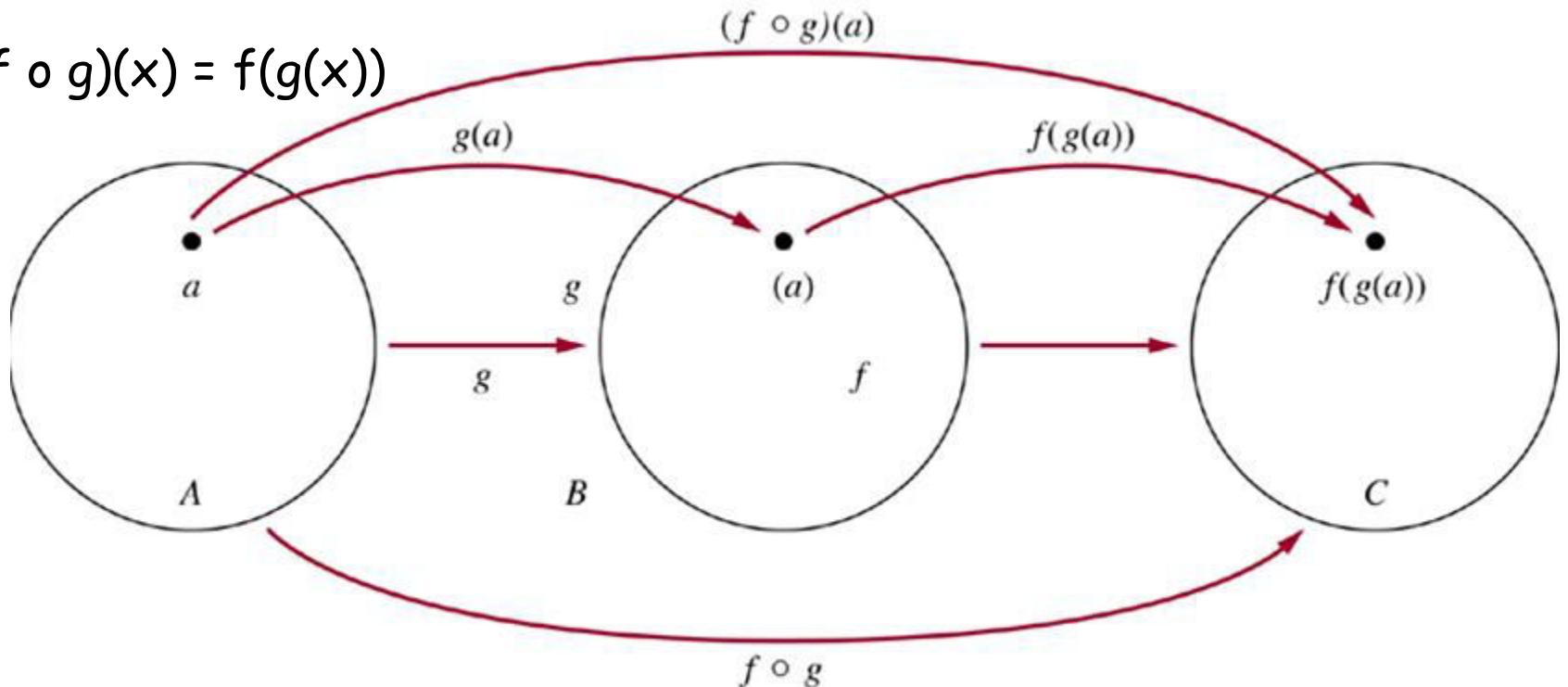


“f composed with g”

# Functions - composition

Let  $f: A \rightarrow B$ , and  $g: B \rightarrow C$  be functions. Then the composition of  $f$  and  $g$  is:

$$(f \circ g)(x) = f(g(x))$$



Note:  $(f \circ g)$  cannot be defined unless the range of  $g$  is a subset of the domain of  $f$ .

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Example:

Let  $f(x) = 2x + 3$ ;  $g(x) = 3x + 2$ ;

$$(f \circ g)(x) = f(3x + 2) = 2(3x + 2) + 3 = 6x + 7.$$

$$(g \circ f)(x) = g(2x + 3) = 3(2x + 3) + 2 = 6x + 11.$$

As this example shows,  $(f \circ g)$  and  $(g \circ f)$  are not necessarily equal – i.e, the **composition of functions is not commutative**.

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Note:

$$(f^{-1} \circ f)(a) = f^{-1}(f(a)) = f^{-1}(b) = a.$$

$$(f \circ f^{-1})(b) = f(f^{-1}(b)) = f(a) = b.$$

Therefore  $(f^{-1} \circ f) = I_A$  and  $(f \circ f^{-1}) = I_B$  where  $I_A$  and  $I_B$  are the identity function on the sets A and B.  $(f^{-1})^{-1} = f$

# Some important functions

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## **Absolute value:**

Domain  $\mathbb{R}$ ; Co-Domain =  $\{0\} \cup \mathbb{R}^+$

$$|x| = \begin{cases} x & \text{if } x \geq 0 \\ -x & \text{if } x < 0 \end{cases}$$

Ex:  $|-3| = 3$ ;  $|3| = 3$

## **Floor function (or greatest integer function):**

Domain =  $\mathbb{R}$ ; Co-Domain =  $\mathbb{Z}$

$\lfloor x \rfloor$  = largest integer not greater than  $x$

Ex:  $\lfloor 3.2 \rfloor = 3$ ;  $\lfloor -2.5 \rfloor = -3$

# Some important functions

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## Ceiling function:

Domain =  $\mathbb{R}$ ;

Co-Domain =  $\mathbb{Z}$

$\lceil x \rceil$  = smallest integer greater than  $x$

Ex:  $\lceil 3.2 \rceil = 4$ ;  $\lceil -2.5 \rceil = -2$

**TABLE 1 Useful Properties of the Floor and Ceiling Functions.**

( $n$  is an integer)

(1a)  $\lfloor x \rfloor = n$  if and only if  $n \leq x < n + 1$

(1b)  $\lceil x \rceil = n$  if and only if  $n - 1 < x \leq n$

(1c)  $\lfloor x \rfloor = n$  if and only if  $x - 1 < n \leq x$

(1d)  $\lceil x \rceil = n$  if and only if  $x \leq n < x + 1$

(2)  $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$

(3a)  $\lfloor -x \rfloor = -\lceil x \rceil$

(3b)  $\lceil -x \rceil = -\lfloor x \rfloor$

(4a)  $\lfloor x + n \rfloor = \lfloor x \rfloor + n$

(4b)  $\lceil x + n \rceil = \lceil x \rceil + n$

# Some important functions

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**Factorial function:** Domain = Range =  $\mathbb{N}$  **Error on range**

$$n! = n (n-1)(n-2) \dots, 3 \times 2 \times 1$$

$$\text{Ex: } 5! = 5 \times 4 \times 3 \times 2 \times 1 = 120$$

Note:  $0! = 1$  by convention.

# Some important functions

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## **Mod (or remainder):**

Domain =  $\mathbb{N} \times \mathbb{N}^+ = \{(m,n) \mid m \in \mathbb{N}, n \in \mathbb{N}^+\}$

Co-domain Range =  $\mathbb{N}$

$$m \text{ mod } n = m - \lfloor m/n \rfloor n$$

Ex:  $8 \text{ mod } 3 = 8 - \lfloor 8/3 \rfloor 3 = 2$

$57 \text{ mod } 12 = 9;$

Note: This function computes the remainder when  $m$  is divided by  $n$ .

The name of this function is an abbreviation of  $m$  modulo  $n$ , where modulus means with respect to a modulus (size) of  $n$ , which is defined to be the remainder when  $m$  is divided by  $n$ . Note also that this function is an example in which the domain of the function is a 2-tuple.



# Some important functions: Exponential Function

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## Exponential function:

$$\text{Domain} = \mathbb{R}^+ \times \mathbb{R} = \{(a, x) \mid a \in \mathbb{R}^+, x \in \mathbb{R}\}$$

$$\text{Co-domain Range} = \mathbb{R}^+$$

$$f(x) = a^x$$

Note:  $a$  is a **positive** constant;  $x$  varies.

$$\text{Ex: } f(n) = a^n = a \times a \dots, \times a \text{ (n times)}$$

How do we define  $f(x)$  if  $x$  is not a positive integer?

# Some important functions: Exponential function

---

## Exponential function:

How do we define  $f(x)$  if  $x$  is not a positive integer?

Important properties of exponential functions:

$$(1) a^{(x+y)} = a^x a^y; (2) a^1 = a (3) a^0 = 1$$

See:

$$a^2 = a^{1+1} = a^1 a^1 = a \times a;$$

$$a^3 = a^{2+1} = a^2 a^1 = a \times a \times a;$$

...

$$a^n = a \times \cdots \times a \quad (n \text{ times})$$

---

We get:

$$a = a^1 = a^{1+0} = a \times a^0 \quad \text{therefore} \quad a^0 = 1$$

$$1 = a^0 = a^{b+(-b)} = a^b \times a^{-b} \quad \text{therefore} \quad a^{-b} = 1/a^b$$

$$a = a^1 = a^{\frac{1}{2}+\frac{1}{2}} = a^{\frac{1}{2}} \times a^{\frac{1}{2}} = (a^{\frac{1}{2}})^2 \quad \text{therefore} \quad a^{\frac{1}{2}} = \sqrt{a}$$

By similar arguments:

$$a^{\frac{1}{k}} = \sqrt[k]{a}$$

$$a^{mx} = a^x \times \cdots \times a^x \quad (m \text{ times}) = (a^x)^m, \quad \text{therefore} \quad a^{\frac{m}{n}} = (a^{\frac{1}{n}})^m = (\sqrt[n]{a})^m$$

Note: This determines  $a^x$  for all  $x$  rational.  $x$  is irrational by continuity (we'll skip “details”).

# Some important functions: Logarithm Function

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## Logarithm base a:

Domain =  $\mathbb{R}^+ \times \mathbb{R} = \{(a,x) \mid a \in \mathbb{R}^+, a > 1, x \in \mathbb{R}\}$

Co-domain Range =  $\mathbb{R}$

$$y = \log_a(x) \Leftrightarrow a^y = x$$

Ex:  $\log_2(8) = 3$ ;  $\log_2(16) = 4$ ;  $3 < \log_2(15) < 4$ .

Key properties of the log function (they follow from those for exponential):

1.  $\log_a(1) = 0$  (because  $a^0 = 1$ )
2.  $\log_a(a) = 1$  (because  $a^1 = a$ )
3.  $\log_a(xy) = \log_a(x) + \log_a(y)$  (similar arguments)
4.  $\log_a(x^r) = r \log_a(x)$
5.  $\log_a(1/x) = -\log_a(x)$  (note  $1/x = x^{-1}$ )
6.  $\log_b(x) = \log_a(x) / \log_a(b)$

# Logarithm Functions

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Examples:

$$\log_2 (1/4) = -\log_2 (4) = -2.$$

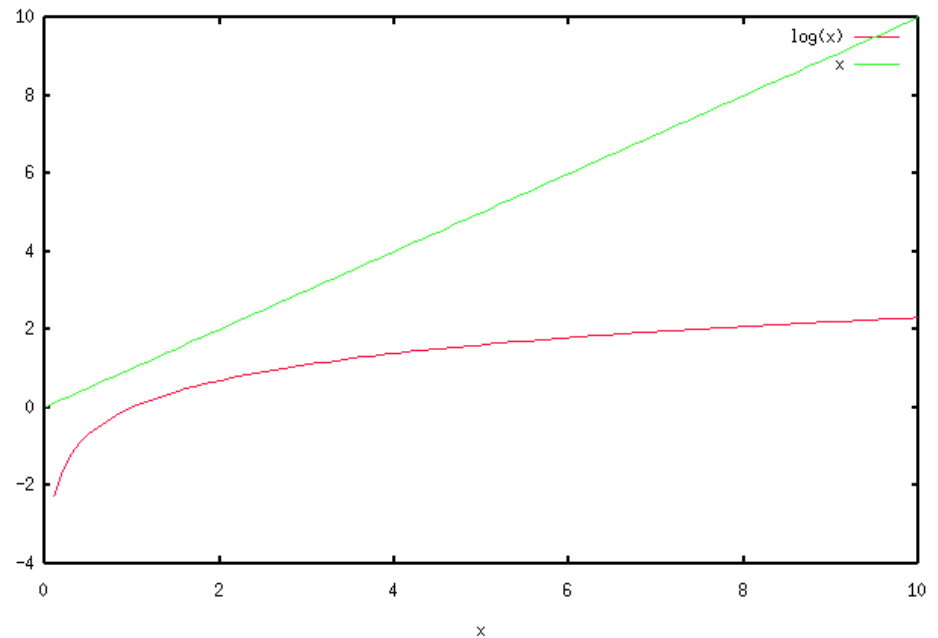
$$\log_2 (-4) \text{ undefined}$$

$$\begin{aligned} \log_2 (2^{10} 3^5) &= \log_2 (2^{10}) + \log_2 (3^5) = 10 \log_2 (2) + 5 \log_2 (3) = \\ &= 10 + 5 \log_2 (3) \end{aligned}$$

# Limit Properties of Log Function

$$\lim_{x \rightarrow \infty} \log(x) = \infty$$

$$\lim_{x \rightarrow \infty} \frac{\log(x)}{x} = 0$$



As  $x$  gets large,  $\log(x)$  grows without bound.

But  $x$  grows **MUCH** faster than  $\log(x)$ ...more soon on growth rates.

# Some important functions: Polynomials

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## Polynomial function:

Domain = usually  $\mathbb{R}$

Co-domain Range = usually  $\mathbb{R}$

$$P_n(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0$$

$n$ , a nonnegative integer is the degree of the polynomial;  
 $a_n \neq 0$  (so that the term  $a_n x^n$  actually appears)

$(a_n, a_{n-1}, \dots, a_1, a_0)$  are the coefficients of the polynomial.

Ex:

$$y = P_1(x) = a_1 x^1 + a_0 \text{ linear function}$$

$$y = P_2(x) = a_2 x^2 + a_1 x^1 + a_0 \text{ quadratic polynomial or function}$$

---

Exponentials grow MUCH faster than polynomials:

$$\lim_{x \rightarrow \infty} \frac{a_0 + \dots + a_k x^k}{b^x} = 0 \text{ if } b > 1$$

We'll talk more about growth rates in the next module....



# Sequences

# Sequences

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Definition:

A sequence  $\{a_i\}$  is a function  $f: A \subseteq \mathbb{N} \cup \{0\} \rightarrow S$ , where we write  $a_i$  to indicate  $f(i)$ . We call  $a_i$  term  $i$  of the sequence.

Examples:

Sequence  $\{a_i\}$ , where  $a_i = i$  is just  $a_0 = 0, a_1 = 1, a_2 = 2, \dots$

Sequence  $\{a_i\}$ , where  $a_i = i^2$  is just  $a_0 = 0, a_1 = 1, a_2 = 4, \dots$

Sequences of the form  $a_1, a_2, \dots, a_n$  are often used in computer science.

(always check whether sequence starts at  $a_0$  or  $a_1$ )

These finite sequences are also called strings. The length of a string is the number of terms in the string. The empty string, denoted by  $\lambda$ , is the string that has no terms.

# Geometric and Arithmetic Progressions

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Definition: A **geometric progression** is a sequence of the form

$$a, ar, ar^2, ar^3, \dots, ar^n, \dots$$

The **initial term**  $a$  and the common **ratio**  $r$  are real numbers

Definition: An **arithmetic progression** is a sequence of the form

$$a, a + d, a + 2d, a + 3d, \dots, a + nd, \dots$$

The **initial term**  $a$  and the common **difference**  $d$  are real numbers

**TABLE 1** Some Useful Sequences.

<i>n</i> th Term	First 10 Terms
$n^2$	1, 4, 9, 16, 25, 36, 49, 64, 81, 100, ...
$n^3$	1, 8, 27, 64, 125, 216, 343, 512, 729, 1000, ...
$n^4$	1, 16, 81, 256, 625, 1296, 2401, 4096, 6561, 10000, ...
$2^n$	2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...
$3^n$	3, 9, 27, 81, 243, 729, 2187, 6561, 19683, 59049, ...
$n!$	1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, ...

Notice differences in growth rate.

# Summation

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The symbol  $\sum$  (Greek letter sigma) is used to denote summation.

$$\sum_{i=1}^k a_i = a_1 + a_2 + \dots + a_k$$

$i$  is the **index of the summation**, and the choice of letter  $i$  is arbitrary;

the index of the summation runs through all integers, with its **lower limit** 1 and ending **upper limit**  $k$ .

The limit:

$$\sum_{i=1}^{\infty} a_i = \lim_{n \rightarrow \infty} \sum_{i=1}^n a_i$$

# Summation

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The laws for arithmetic apply to summations

$$\sum_{i=1}^k (ca_i + b_i) = c \sum_{i=1}^k a_i + \sum_{i=1}^k b_i$$

Use associativity to separate the b terms from the a terms.

Use distributivity to factor the c's.

# Summations you should know...

---

What is  $S = 1 + 2 + 3 + \dots + n$ ? (little) Gauss in 4<sup>th</sup> grade. ☺

$$S = 1 + 2 + \dots + n$$

Write the sum.

$$S = n + n-1 + \dots + 1$$

Write it again.

---

$$2s = n+1 + n+1 + \dots + n+1$$

Add together.

You get  $n$  copies of  $(n+1)$ . But we've over added by a factor of 2.  
So just divide by 2.

Why whole number?

$$\sum_{k=1}^n k = \frac{n(n+1)}{2}$$

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Sum of first n odds.

What is  $S = 1 + 3 + 5 + \dots + (2n - 1)$ ?

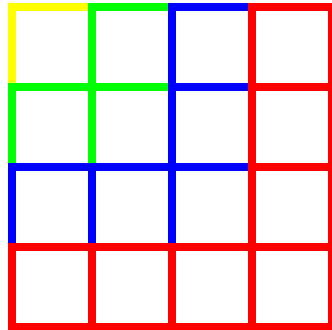
$$\begin{aligned}\sum_{k=1}^n (2k - 1) &= 2 \sum_{k=1}^n k - \sum_{k=1}^n 1 \\ &= 2 \left( \frac{n(n+1)}{2} \right) - n \\ &= n^2\end{aligned}$$



Sum of first  $n$  odds.

What is  $S = 1 + 3 + 5 + \dots + (2n - 1)$ ?

$$= n^2$$



Geometric Series

What is  $S = 1 + r + r^2 + \dots + r^n$

$$\sum_{k=0}^n r^k = 1 + r + \dots + r^n$$

Multiply by r

$$r \sum_{k=0}^n r^k = r + r^2 + \dots + r^{n+1}$$

Subtract the summations

$$\sum_{k=0}^n r^k - r \sum_{k=0}^n r^k = 1 - r^{n+1}$$

factor

$$(1 - r) \sum_{k=0}^n r^k = 1 - r^{n+1}$$

divide

$$\sum_{k=0}^n r^k = \frac{1 - r^{n+1}}{(1 - r)}$$

DONE!

What about:

$$\sum_{k=0}^{\infty} r^k = 1 + r + \dots + r^n + \dots$$

If  $r \geq 1$  this  
blows up.

If  $r < 1$  we can say something.

$$\begin{aligned} \sum_{k=0}^{\infty} r^k &= \lim_{n \rightarrow \infty} \sum_{k=0}^n r^k \\ &= \lim_{n \rightarrow \infty} \frac{1 - r^{n+1}}{(1 - r)} = \frac{1}{(1 - r)} \end{aligned}$$

Try  $r = 1/2$ .

# Useful Summations

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \quad (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k,  x  < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1},  x  < 1$	$\frac{1}{(1-x)^2}$

# Infinite Cardinality

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How can we extend the notion of cardinality to infinite sets?

**Definition:** Two sets **A** and **B** have the same cardinality if and only if there exists a bijection (or a one-to-one correspondence) between them,  $A \sim B$ .

We split infinite sets into two groups:

1. Sets with the **same cardinality** as the set of natural numbers
2. Sets with **different cardinality** as the set of natural numbers

# Infinite Cardinality

---

Definition: A set is **countable** if it is **finite** or has the same **cardinality** as the set of positive integers.

Definition: A set is **uncountable** if it is **not countable**.

Definition: The cardinality of an infinite set  $S$  that is countable is denoted by  $\aleph_0$  (where  $\aleph$  is aleph, the first letter of the Hebrew alphabet). We write  $|S| = \aleph_0$  and say that  $S$  has cardinality “aleph null”.

Note: Georg Cantor defined the notion of cardinality and was the first to realize that infinite sets can have different cardinalities.  $\aleph_0$  is the cardinality of the natural numbers; the next larger cardinality is aleph-one  $\aleph_1$ , then,  $\aleph_2$  and so on.

# Infinite Cardinality: Odd Positive Integers

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Example: The set of odd positive integers is a countable set.

Let's define the function  $f$ , from  $\mathbb{Z}^+$  to the set of odd positive numbers,

$$f(n) = 2n - 1$$

We have to show that  $f$  is both one-to-one and onto.

a) one-to-one

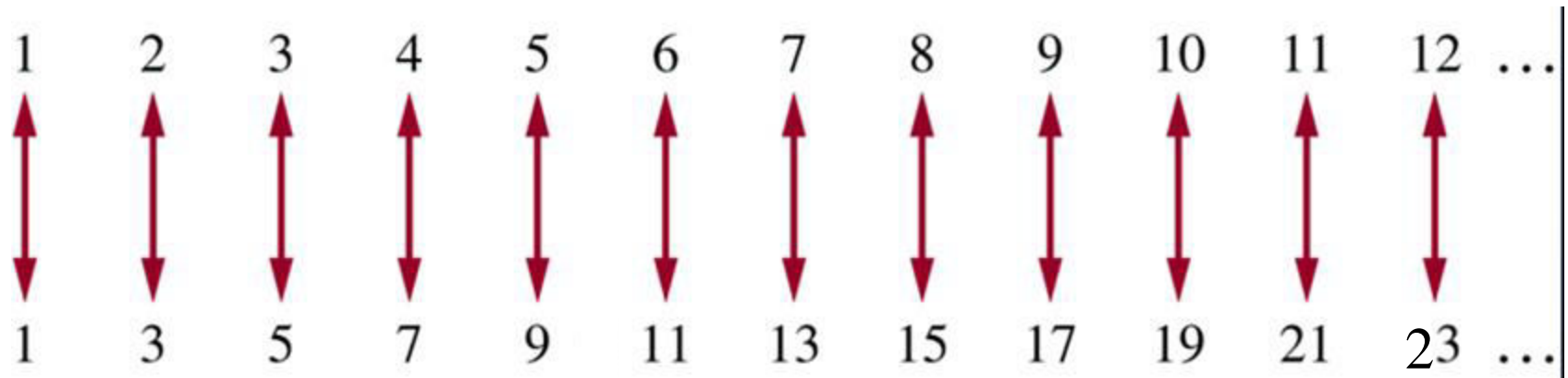
Suppose  $f(n) = f(m) \rightarrow 2n - 1 = 2m - 1 \rightarrow n = m$

b) onto

Suppose that  $t$  is an odd positive integer. Then  $t$  is 1 less than an even integer  $2k$ , where  $k$  is a natural number. hence  $t = 2k - 1 = f(k)$ .

# Infinite Cardinality: Odd Positive Integers

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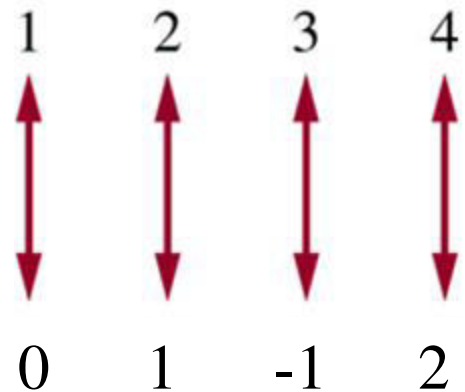
# Infinite Cardinality: Integers

Example: The set of integers is a countable set.

Lets consider the sequence of all integers, starting with 0: 0,1,-1,2,-2,....

We can define this sequence as a function:

$$f(n) = \begin{cases} n/2 & n \in N, \text{even} \\ \frac{-(n-1)}{2} & n \in N, \text{odd} \end{cases}$$



Show at home that it's one-to-one and onto

# Infinite Cardinality: Rational Numbers

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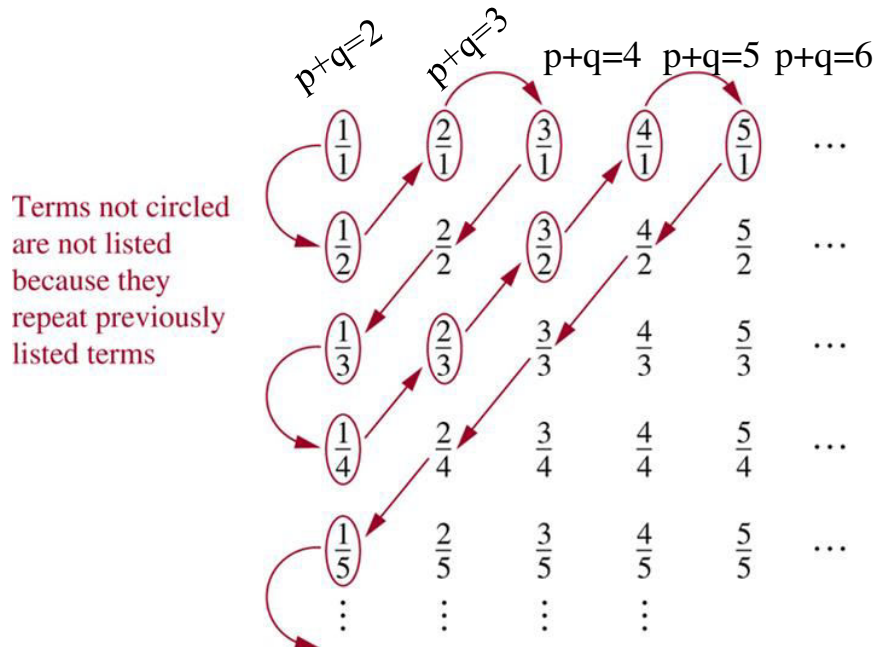
Example: The set of **positive rational numbers** is a **countable** set. Hmm...

# Infinite Cardinality: Rational Numbers

Example: The set of **positive rational numbers** is a **countable** set

Key aspect to list the rational numbers as a sequence – every positive number is the quotient  $p/q$  of two positive integers.

Visualization of the proof.



Since all positive rational numbers are listed once, the set of positive rational numbers is countable.

# Uncountable Sets: Cantor's diagonal argument

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The set of all **infinite sequences of zeros and ones** is **uncountable**.

Consider a sequence,

$$a_1, a_2, \dots, a_n, n \rightarrow \infty, a_i = 0 \text{ or } a_i = 1$$

For example:

$$\begin{aligned} s_1 &= (0, 0, 0, 0, 0, 0, 0, \dots) \\ s_2 &= (1, 1, 1, 1, 1, 1, 1, \dots) \\ s_3 &= (0, 1, 0, 1, 0, 1, 0, \dots) \\ s_4 &= (1, 0, 1, 0, 1, 0, 1, \dots) \\ s_5 &= (1, 1, 0, 1, 0, 1, 1, \dots) \\ s_6 &= (0, 0, 1, 1, 0, 1, 1, \dots) \\ s_7 &= (1, 0, 0, 0, 1, 0, 0, \dots) \end{aligned}$$

So in general we have:

$$s_n = (s_{n,1}, s_{n,2}, s_{n,3}, s_{n,4}, \dots)$$

i.e.,  $s_{n,m}$  is the  $m^{\text{th}}$  element of the  $n^{\text{th}}$  sequence on the list.

# Uncountable Sets: Cantor's diagonal argument

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It is possible to build a sequence, say  $s_0$ , in such a way that its first element is different from the first element of the first sequence in the list, its second element is different from the second element of the second sequence in the list, and, in general, its  $n$ th element is different from the  $n$ th element of the  $n$ th sequence in the list. In other words,  $s_{0,m}$  will be 0 if  $s_{m,m}$  is 1, and  $s_{0,m}$  will be 1 if  $s_{m,m}$  is 0.

# Uncountable Sets: Cantor's diagonal argument

$$s_1 = (\underline{0}, 0, 0, 0, 0, 0, 0, \dots)$$

$$s_2 = (1, \underline{1}, 1, 1, 1, 1, 1, \dots)$$

$$s_3 = (0, 1, \underline{0}, 1, 0, 1, 0, \dots)$$

$$s_4 = (1, 0, 1, \underline{0}, 1, 0, 1, \dots)$$

$$s_5 = (1, 1, 0, 1, \underline{0}, 1, 1, \dots)$$

$$s_6 = (0, 0, 1, 1, 0, \underline{1}, 1, \dots)$$

$$s_7 = (1, 0, 0, 0, 1, 0, \underline{0}, \dots)$$

...

$$s_0 = (\underline{1}, \underline{0}, \underline{1}, \underline{1}, \underline{1}, \underline{0}, \underline{1}, \dots)$$

Note: the diagonal elements are highlighted,  
showing why this is called the **diagonal argument**

The sequence  $s_0$  is distinct from all the sequences in the list. Why?

Let's say that  $s_0$  is identical to the 100<sup>th</sup> sequence, therefore,  $s_{0,100} = s_{100,100}$ .

In general, if it appeared as the  $n$ th sequence on the list, we would have  $s_{0,n} = s_{n,n}$ , which, due to the construction of  $s_0$ , is impossible.

# Uncountable Sets: Cantor's diagonal argument

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From this it follows that the set  $T$ , consisting of all infinite sequences of zeros and ones, cannot be put into a list  $s_1, s_2, s_3, \dots$ . Otherwise, it would be possible by the above process to construct a sequence  $s_0$  which would both be in  $T$  (because it is a sequence of 0's and 1's which is by the definition of  $T$  in  $T$ ) and at the same time not in  $T$  (because we can deliberately construct it not to be in the list).  $T$ , containing all such sequences, must contain  $s_0$ , which is just such a sequence. But since  $s_0$  does not appear anywhere on the list,  $T$  cannot contain  $s_0$ .

Therefore  $T$  cannot be placed in one-to-one correspondence with the natural numbers. In other words, the set of infinite binary strings is **uncountable**.

# Real Numbers

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Example; The set of real numbers is an uncountable set.

Let's assume that the set of real numbers is countable.

Therefore any subset of it is also countable, in particular the interval  $[0,1]$ .

How many real numbers are in interval  $[0, 1]$ ?



# Real Numbers

How many real numbers are in interval  $[0, 1]$ ?

0.432901329842039 ...  
0.825991327258925 ...  
0.925391597450621 ...  
⋮

"Countably many! There's part of the list!"

"Are you sure they're all there?"

0.536 ...  
So we say the reals are "uncountable."

Counterexample:  
Use diagonalization  
to create a new number  
that differs in the  $i$ th  
position of the  
 $i$ th number  
by 1.