

# Semaphore

- ▶ Synchronization tool that does not require busy waiting
- ▶ Semaphore  $S$  - integer variable
- ▶ Two standard operations modify  $S$ : `wait()` and `signal()`
  - ▶ Originally called `P()` and `V()`
- ▶ Less complicated
- ▶ Can only be accessed via two indivisible (atomic) operations

```
▶ wait (S) {  
    while S <= 0  
        ; // no-op  
    S--;  
}  
▶ signal (S) {  
    S++;  
}
```

# Semaphore as General Synchronization Tool

- ▶ Counting semaphore - integer value can range over an unrestricted domain
- ▶ Binary semaphore - integer value can range only between 0 and 1; can be simpler to implement
  - ▶ Also known as mutex locks
- ▶ Can implement a counting semaphore **S** as a binary semaphore
- ▶ Provides mutual exclusion
  - ▶ Semaphore **S**; // initialized to 1
  - ▶ wait (S);  
    Critical Section
  - signal (S);

# Semaphore Implementation

- ▶ Must guarantee that no two processes can execute `wait ()` and `signal ()` on the same semaphore at the same time
- ▶ Thus, implementation becomes the critical section problem where the wait and signal code are placed in the critical section.
  - ▶ Could now have busy waiting in critical section implementation
    - ▶ But implementation code is short
    - ▶ Little busy waiting if critical section rarely occupied
- ▶ Note that applications may spend lots of time in critical sections and therefore this is not a good solution.

# Semaphore Implementation with no Busy waiting

- ▶ With each semaphore there is an associated waiting queue. Each entry in a waiting queue has two data items:
  - ▶ value (of type integer)
  - ▶ pointer to next record in the list
- ▶ Two operations:
  - ▶ **block** - place the process invoking the operation on the appropriate waiting queue.
  - ▶ **wakeup** - remove one of processes in the waiting queue and place it in the ready queue.

# Semaphore Implementation with no Busy waiting (Cont.)

- Implementation of wait:

```
wait (S){  
    value--;  
    if (value < 0) {  
        add this process to waiting queue  
        block(); }  
}
```

- Implementation of signal:

```
Signal (S){  
    value++;  
    if (value <= 0) {  
        remove a process P from the waiting queue  
        wakeup(P); }  
}
```

# Deadlock and Starvation

- ▶ Deadlock - two or more processes are waiting indefinitely for an event that can be caused by only one of the waiting processes
- ▶ Let  $S$  and  $Q$  be two semaphores initialized to 1

$P_0$	$P_1$
wait (S);	wait (Q);
wait (Q);	wait (S);
.	.
.	.
.	.
signal (S);	signal (Q);
signal (Q);	signal (S);

- ▶ Starvation - indefinite blocking. A process may never be removed from the semaphore queue in which it is suspended.

# Classical Problems of Synchronization

- ▶ Bounded-Buffer Problem
- ▶ Readers and Writers Problem
- ▶ Dining-Philosophers Problem

# Bounded-Buffer Problem

- ▶  $N$  buffers, each can hold one item
- ▶ Semaphore **mutex** initialized to the value 1
- ▶ Semaphore **full** initialized to the value 0
- ▶ Semaphore **empty** initialized to the value  $N$ .



# Bounded Buffer Problem (Cont.)

- ▶ The structure of the producer process

```
while (true) {  
  
    // produce an item  
  
    wait (empty);  
    wait (mutex);  
  
    // add the item to the buffer  
  
    signal (mutex);  
    signal (full);  
}
```

# Bounded Buffer Problem (Cont.)

- ▶ The structure of the consumer process

```
while (true) {  
    wait (full);  
    wait (mutex);  
  
    // remove an item from buffer  
  
    signal (mutex);  
    signal (empty);  
  
    // consume the removed item  
  
}
```

# Readers-Writers Problem

- ▶ A data set is shared among a number of concurrent processes
  - ▶ Readers - only read the data set; they do **not** perform any updates
  - ▶ Writers - can both read and write.
- ▶ Problem - allow multiple readers to read at the same time. Only one single writer can access the shared data at the same time.
- ▶ Shared Data
  - ▶ Data set
  - ▶ Semaphore **mutex** initialized to 1.
  - ▶ Semaphore **wrt** initialized to 1.
  - ▶ Integer **readcount** initialized to 0.

# Readers-Writers Problem (Cont.)

- ▶ The structure of a writer process

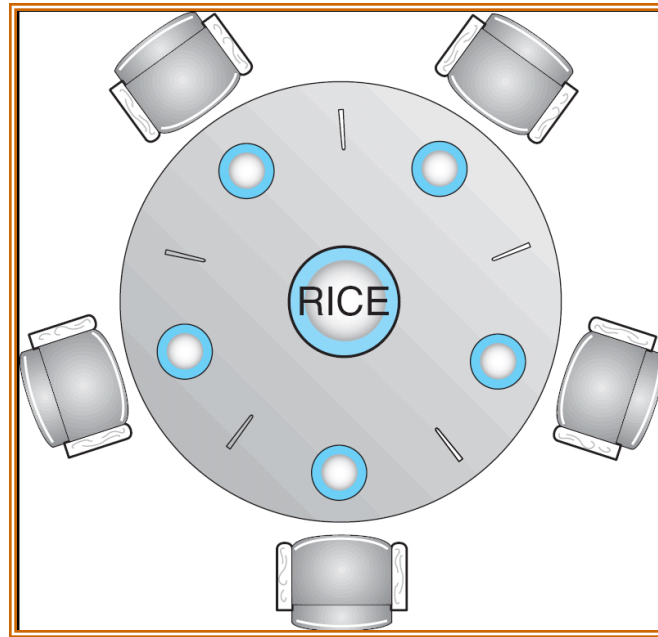
```
while (true) {  
    wait (wrt) ;  
  
    //  writing is performed  
  
    signal (wrt) ;  
}
```

# Readers-Writers Problem (Cont.)

- ▶ The structure of a reader process

```
while (true) {  
    wait (mutex) ;  
    readcount ++ ;  
    if (readcount == 1) wait (wrt) ;  
    signal (mutex)  
  
    // reading is performed  
  
    wait (mutex) ;  
    readcount -- ;  
    if (readcount == 0) signal (wrt) ;  
    signal (mutex) ;  
}
```

# Dining-Philosophers Problem



- ▶ Shared data
  - ▶ Bowl of rice (data set)
  - ▶ Semaphore `chopstick [5]` initialized to 1

# Dining-Philosophers Problem (Cont.)

- ▶ The structure of Philosopher  $i$ :

```
While (true) {  
    wait ( chopstick[i] );  
    wait ( chopstick[ (i + 1) % 5] );  
  
    // eat  
  
    signal ( chopstick[i] );  
    signal ( chopstick[ (i + 1) % 5] );  
  
    // think  
  
}
```

# Problems with Semaphores

- ▶ Correct use of semaphore operations:
  - ▶ signal (mutex) .... wait (mutex)
  - ▶ wait (mutex) ... wait (mutex)
- ▶ Omitting of wait (mutex) or signal (mutex) (or both)