

Chapter 14: Protection

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- ▶ Goals of Protection
- ▶ Principles of Protection
- ▶ Domain of Protection
- ▶ Access Matrix
- ▶ Implementation of Access Matrix
- ▶ Access Control
- ▶ Revocation of Access Rights
- ▶ Capability-Based Systems
- ▶ Language-Based Protection

Objectives

- ▶ Discuss the goals and principles of protection in a modern computer system
- ▶ Explain how protection domains combined with an access matrix are used to specify the resources a process may access
- ▶ Examine capability and language-based protection systems

Goals of Protection

- ▶ Operating system consists of a collection of objects, hardware or software
- ▶ Each object has a unique name and can be accessed through a well-defined set of operations.
- ▶ Protection problem - ensure that each object is accessed correctly and only by those processes that are allowed to do so.

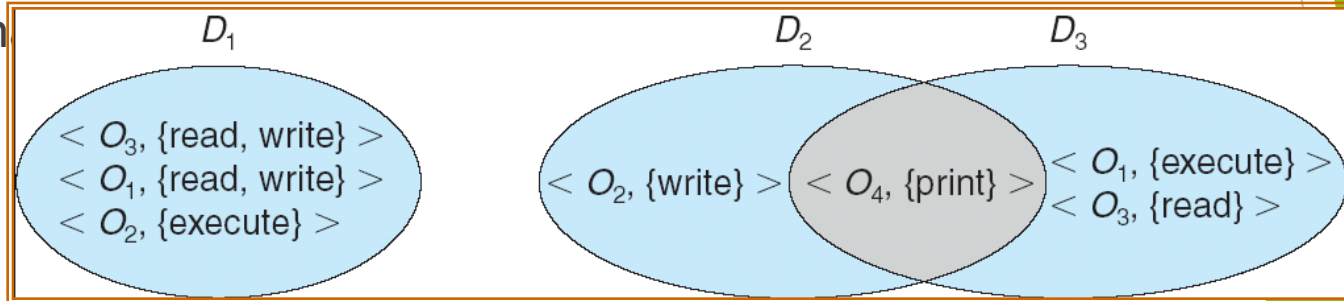
Principles of Protection

- ▶ Guiding principle - principle of least privilege
 - ▶ Programs, users and systems should be given just enough privileges to perform their tasks

Domain Structure

- ▶ Access-right = $\langle \text{object-name}, \text{rights-set} \rangle$
where *rights-set* is a subset of all valid operations that can be performed on the object.

- ▶ Dom

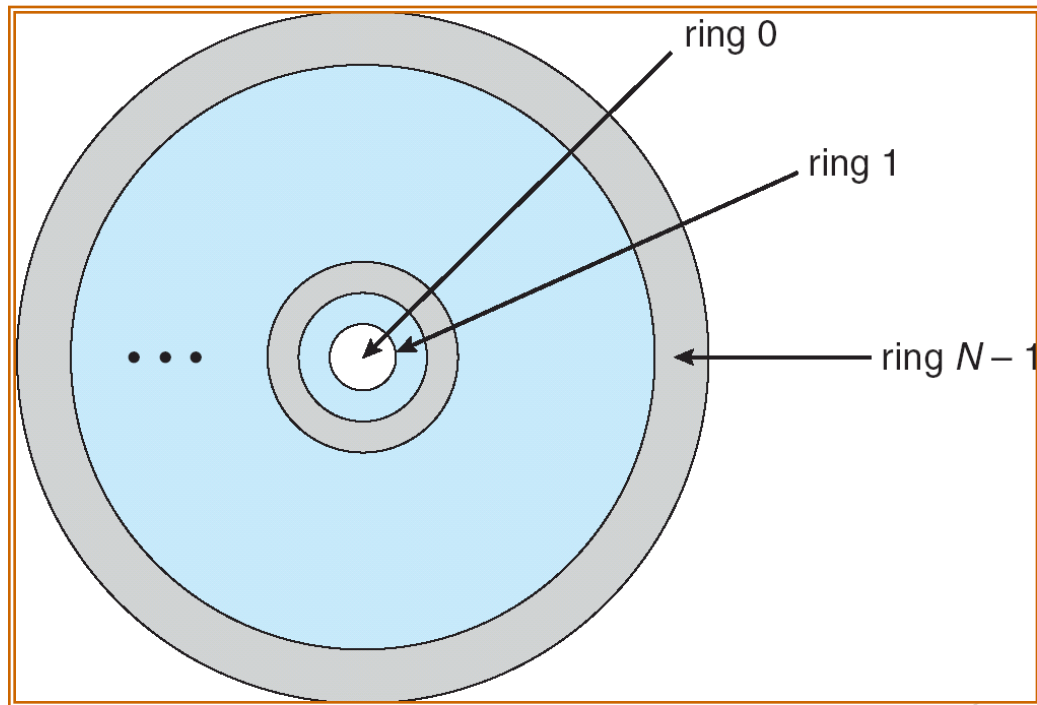


Domain Implementation (UNIX)

- ▶ System consists of 2 domains:
 - ▶ User
 - ▶ Supervisor
- ▶ UNIX
 - ▶ Domain = user-id
 - ▶ Domain switch accomplished via file system.
 - ▶ Each file has associated with it a domain bit (setuid bit).
 - ▶ When file is executed and setuid = on, then user-id is set to owner of the file being executed. When execution completes user-id is reset.

Domain Implementation (MULTICS)

- ▶ Let D_i and D_j be any two domain rings.
- ▶ If $j < i \Rightarrow D_i \subseteq D_j$



Access Matrix

- ▶ View protection as a matrix (*access matrix*)
- ▶ Rows represent domains
- ▶ Columns represent objects
- ▶ $Access(i, j)$ is the set of operations that a process executing in $Domain_i$ can invoke on $Object_j$

Access Matrix

domain \ object	F_1	F_2	F_3	printer
D_1	read		read	
D_2				print
D_3		read	execute	
D_4	read write		read write	

Use of Access Matrix

- ▶ If a process in Domain D_i tries to do “op” on object O_j , then “op” must be in the access matrix.
- ▶ Can be expanded to dynamic protection.
 - ▶ Operations to add, delete access rights.
 - ▶ Special access rights:
 - ▶ *owner of O_i*
 - ▶ *copy op from O_i to O_j*
 - ▶ *control - D_i can modify D_j access rights*
 - ▶ *transfer - switch from domain D_i to D_j*

Use of Access Matrix (Cont.)

- ▶ Access matrix design separates mechanism from policy.
 - ▶ Mechanism
 - ▶ Operating system provides access-matrix + rules.
 - ▶ If ensures that the matrix is only manipulated by authorized agents and that rules are strictly enforced.
 - ▶ Policy
 - ▶ User dictates policy.
 - ▶ Who can access what object and in what mode.

Implementation of Access Matrix

- ▶ Each column = Access-control list for one object
Defines who can perform what operation.

Domain 1 = Read, Write

Domain 2 = Read

Domain 3 = Read

⋮

- ▶ Each Row = Capability List (like a key)
Fore each domain, what operations allowed on what objects.

Object 1 - Read

Object 4 - Read, Write, Execute

Object 5 - Read, Write, Delete, Copy

Access Matrix of Figure A With Domains as Objects

domain \ object	F_1	F_2	F_3	laser printer	D_1	D_2	D_3	D_4
D_1	read		read			switch		
D_2				print			switch	switch
D_3		read	execute					
D_4	read write		read write		switch			

Figure B

Access Matrix with *Copy* Rights

domain \ object	F_1	F_2	F_3
D_1	execute		write*
D_2	execute	read*	execute
D_3	execute		

(a)

domain \ object	F_1	F_2	F_3
D_1	execute		write*
D_2	execute	read*	execute
D_3	execute	read	

(b)

Access Matrix With Owner Rights

object \ domain	F_1	F_2	F_3
D_1	owner execute		write
D_2		read* owner	read* owner write
D_3	execute		

(a)

object \ domain	F_1	F_2	F_3
D_1	owner execute		write
D_2		owner read* write*	read* owner write
D_3		write	write

(b)

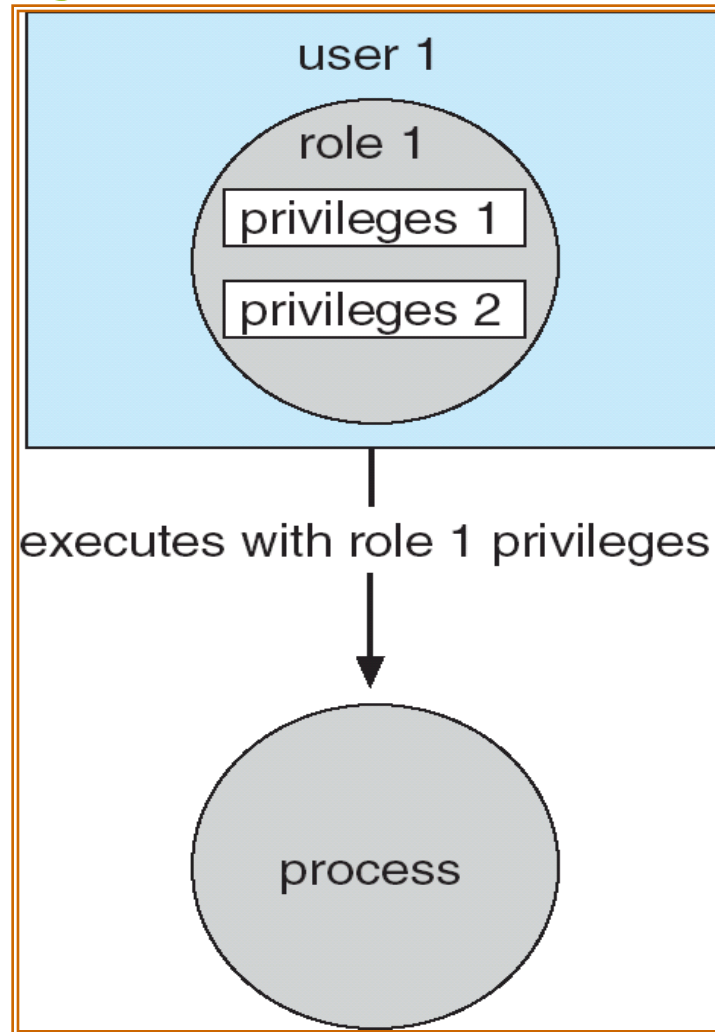
Modified Access Matrix of Figure B

object domain	F_1	F_2	F_3	laser printer	D_1	D_2	D_3	D_4
D_1	read		read			switch		
D_2				print			switch	switch control
D_3		read	execute					
D_4	write		write		switch			

Access Control

- ▶ Protection can be applied to non-file resources
- ▶ Solaris 10 provides **role-based access control** to implement least privilege
 - ▶ Privilege is right to execute system call or use an option within a system call
 - ▶ Can be assigned to processes
 - ▶ Users assigned roles granting access to privileges and programs

Role-based Access Control in Solaris 10



Revocation of Access Rights

- ▶ *Access List* - Delete access rights from access list.
 - ▶ Simple
 - ▶ Immediate
- ▶ *Capability List* - Scheme required to locate capability in the system before capability can be revoked.
 - ▶ Reacquisition
 - ▶ Back-pointers
 - ▶ Indirection
 - ▶ Keys

Capability-Based Systems

- ▶ Hydra
 - ▶ Fixed set of access rights known to and interpreted by the system.
 - ▶ Interpretation of user-defined rights performed solely by user's program; system provides access protection for use of these rights.
- ▶ Cambridge CAP System
 - ▶ Data capability - provides standard read, write, execute of individual storage segments associated with object.
 - ▶ Software capability - interpretation left to the subsystem, through its protected procedures.

Language-Based Protection

- ▶ Specification of protection in a programming language allows the high-level description of policies for the allocation and use of resources.
- ▶ Language implementation can provide software for protection enforcement when automatic hardware-supported checking is unavailable.
- ▶ Interpret protection specifications to generate calls on whatever protection system is provided by the hardware and the operating system.

Protection in Java 2

- ▶ Protection is handled by the Java Virtual Machine (JVM)
- ▶ A class is assigned a protection domain when it is loaded by the JVM.
- ▶ The protection domain indicates what operations the class can (and cannot) perform.
- ▶ If a library method is invoked that performs a privileged operation, the stack is inspected to ensure the operation can be performed by the library.

Stack Inspection

protection domain:	untrusted applet	URL loader	networking
socket permission:	none	*.lucent.com:80, connect	any
class:	gui: ... get(url); open(addr); ...	get(URL u): ... doPrivileged { open('proxy.lucent.com:80'); } <request u from proxy> ...	open(Addr a): ... checkPermission (a, connect); connect (a); ...