## Chapter 10

## The Myhill-Nerode Theorem

- $M=\left(Q_{M}, \Sigma, \delta_{M}, S_{M}, F_{M}\right), N=\left(Q_{N}, S, \delta_{N}, S_{N}, F_{N}\right)$ : two DFAs
- $M$ and $N$ are said to be isomorphic if there is a (structure-preserving) bijection $f: Q_{M}->Q_{N}$ s.t.
$\mathrm{f}\left(\mathrm{s}_{\mathrm{M}}\right)=\mathrm{s}_{\mathrm{N}}$
$\square f\left(\delta_{M}(p, a)\right)=\delta_{N}(f(p), a)$ for all $p \in Q_{M}, a \in \Sigma$
$\square p \in F_{M}$ iff $f(p) \in F_{N}$.
- l.e., $M$ and $N$ are essentially the same machine up to renaming of states.
- Facts:
$\square$ 1. Isomorphic DFAs accept the same set.
$\square$ 2. if $M$ and $N$ are any two DFAs w/o inaccessible states accepting the same set, then the quotient automata $M / \approx$ and $N / \approx$ are isomorphic
$\square$ 3. The DFA obtained by the minimization algorithm (lec. 14) is the minimal DFA for the set it accepts, and this DFA is unique up to isomorphism.


## Myhill-Nerode Relations

- R : a regular set, $\mathrm{M}=(\mathrm{Q}, \Sigma, \delta, \mathrm{s}, \mathrm{F})$ : a DFA for R w/o inaccessible states.
- $M$ induces an equivalence relation $\equiv_{M}$ on $\Sigma^{*}$ defined by
$\square \mathrm{x} \equiv{ }_{\mathrm{m}} \mathrm{y}$ iff $\Delta(\mathrm{s}, \mathrm{x})=\Delta(\mathrm{s}, \mathrm{y})$.
$\square$ i.e., two strings $x$ and $y$ are equivalent iff it is indistinguishable by running M on them (i.e., by running M with x and y as input, respectively, from the initial state of M.)
- Properties of $\equiv_{M}$ :
- $0 . \equiv_{M}$ is an equivalence relation on $\Sigma^{*}$.
(cf: $\approx$ is an equivalence relation on states)
- $1 . \equiv_{M}$ is a right congruence relation on $\Sigma^{*}$ : i.e., for any $x, y \in$ $\Sigma^{*}$ and $a \in \Sigma, x \equiv_{M} y=>x a \equiv_{M}$ ya.
- pf: if $x \equiv{ }_{M} y=\Delta(s, x a)=\delta(\Delta(s, x), a)=\delta(\Delta(s, y), a)=\Delta(s, y a)$
=> xa ミм уа.


## Properties of the Myhill-Nerode relations

- Properties of $\equiv_{m}$ :

प 2. $\equiv_{M}$ refines R. I.e., for any $x, y \in \Sigma^{*}$,
प $\quad x \equiv_{M} y=x \in R$ iff $y \in R$
$\square$ pf: $x \in R$ iff $\Delta(s, x) \in F$ iff $\Delta(s, y) \in F$ iff $y \in R$.

- Property 2 means that every $\equiv_{M}$-class has either all its elements in R or none of its elements in R. Hence $\mathbf{R}$ is a union of some $\equiv{ }_{m}$-classes.
— 3. It is of finite index, i.e., it has only finitely many equivalence classes.
$\square$ (i.e., the set $\left.\{[x]]_{M} \mid x \in \Sigma^{*}\right\}$
$\square$ is finite.
$\square \mathrm{pf}: \mathrm{x} \equiv_{\mathrm{M}} \mathrm{y}$ iff $\Delta(\mathrm{s}, \mathrm{x})=\Delta(\mathrm{s}, \mathrm{y})=\mathbf{q}$
$\square$ for some $q \in Q$. Since there
[ are only |Q| states, hence
$\square \Sigma^{*}$ has $|\mathbf{Q}| \equiv_{M}$-classes



## Definition of the Myhill-Nerode relation

- $\equiv$ : an equivalence relation on $\Sigma^{*}$,

R: a language over $\Sigma^{*}$.

- $\equiv$ is called an Myhill-Nerode relation for $R$ if it satisfies property 1~3. i.e., it is a right congruence of finite index refining R.
- Fact: $\mathbf{R}$ is regular iff it has a Myhill-Nerode relation.
- (to be proved later)
- 1. For any DFA $M$ accepting $R, \equiv_{M}$ is a Myhill-Nerode relation for $R$.
— 2. If $\equiv$ is a Myhill-Nerode relation for $R$ then there is a DFA $M_{\equiv}$ accepting $R$.
प 3. The constructions $M \rightarrow \equiv_{M}$ and $\equiv \rightarrow M_{\equiv}$ are inverse up to isomorphism of automata. (i.e. $\equiv=\equiv_{M \equiv}$ and $\mathbf{M}=\mathbf{M} \equiv_{M}$ )
- R: a language over $\Sigma$, $\equiv$ : a Myhill-Nerode relation for R ;
$\square$ the $\equiv$-class of the string $x$ is $[x]_{\equiv}=_{\operatorname{def}}\{y \mid x \equiv y\}$.
$\square$ Note: Although there are infinitely many strings, there are only finitely many $\equiv$-classes. (by property of finite index)
- Define DFA $M \equiv=(Q, \Sigma, \delta, s, F)$ where
$\square \mathbf{Q}=\left\{[\mathbf{x}] \mid \mathbf{x} \in \Sigma^{\star}\right\}, \quad \mathbf{s}=[\varepsilon]$,
$\square F=\{[x] \mid x \in R\}, \quad \delta([x], a)=[x a]$.
- Notes:
$\square 0: M_{\equiv}$ has $|Q|$ states, each corresponding to an $\equiv$-class of $\equiv$. Hence the more classes $\equiv$ has, the more states $\mathbf{M} \equiv$ has.
$\square$ 1. By right congruence of $\equiv, \delta$ is well-defined, since, if $y, z$ $\in[x] \Rightarrow y \equiv z \equiv x=>y a \equiv z a \equiv x a=>y a, z a \in[x a]$
( 2. $x \in R$ iff $[x] \in F$.
— pf: =>: by definition of $\mathbf{M} \equiv$;
$\square<=:[x] \in F=>\exists y$ s.t. $y \in R$ and $x \equiv y \Rightarrow x \in R$. (property 2 )

Lemma 15.1: $\Delta([x], y)=[x y]$
pf: Induction on $|\mathrm{y}|$. Basis: $\Delta([\mathrm{x}], \varepsilon)=[\mathrm{x}]=[\mathrm{x} \varepsilon]$.
Ind. step: $\Delta([x], y a)=\delta(\Delta([x], y), a)=\delta([x y], a)=[x y a]$. QED
Theorem 15.2: $\mathrm{L}\left(\mathrm{M}_{\equiv}\right)=\mathrm{R}$.
pf: $x \in L\left(M_{\equiv}\right)$ iff $\Delta([\varepsilon], x) \in F$ iff $[x] \in F$ iff $x \in R$. QED
Lemma 15.3: = : a Myhill-Nerode relation for R, M: a DFA for R w/o inaccessible states, then

1. if we apply the construction $\equiv \rightarrow M_{\equiv}$ to $\equiv$ and then apply $M \rightarrow$ $\equiv_{\mathrm{M}}$ to the result, the resulting relation $\equiv_{\mathrm{M}} \equiv$ is identical to $\equiv$.
2. if we apply the construction $M \rightarrow \equiv_{M}$ to $M$ and then apply $\equiv \rightarrow$ $\mathrm{M}_{\overline{\mathrm{I}}}$ to the result, the resulting relation $\mathrm{M} \equiv_{\mathrm{M}}$ is identical to M .

Pf: (of lemma 15.3) (1) Let $M_{\equiv}=(Q, \Sigma, \delta, s, F)$ be the DFA constructed as described above. then for any $x, y$ in $\Sigma^{*}$, $x \equiv_{M \equiv} y$ iff $\Delta([\varepsilon], x)=\Delta([\varepsilon], y)$ iff $[x]=[y]$ iff $x \equiv y$.
(2) Let $M=(Q, \Sigma, \delta, s, F)$ and let $M \equiv_{M}=\left(Q^{\prime}, \Sigma, \delta^{\prime}, s^{\prime}, F^{\prime}\right)$. Recall that
$\square[x]=\left\{y \mid y \equiv_{M} x\right\}=\{y \mid \Delta(s, y)=\Delta(s, x)\}$
$\square Q^{\prime}=\left\{[x] \mid x \in \Sigma^{*}\right\}, \quad s^{\prime}=[\varepsilon], F^{\prime}=\{[x] \mid x \in R\}$

- $\delta^{\prime}([x], a)=[x a]$.

Now let f:Q'-> $Q$ be defined by $f([x])=\Delta(s, x)$.
— 1. By def., $[x]=[y]$ iff $\Delta(s, x)=\Delta(s, y)$, so $f$ is well-defined and 1-1. Since $M$ has no inaccessible state, $f$ is onto.
— 2. $f\left(s^{\prime}\right)=f([\varepsilon])=\Delta(s, \varepsilon)=s$
[ 3. $[x] \in F^{\prime} \Leftrightarrow x \in R \Leftrightarrow \Delta(s, x) \in F \ll f([x]) \in F$.
प 4. $f\left(\delta^{\prime}([x], a)\right)=f([x a])=\Delta(s, x a)=\delta(\Delta(s, x), a)=\delta(f([x]), a)$
— By 1~4, $f$ is an isomorphism from $M \equiv_{M}$ to $M$ Transpd

Theorem 15.4: R: a regular set over $\Sigma$. Then up to isomorphism of FAs, there is a 1-1 correspondence b/t DFAs w/o inaccessible states accepting $\mathbf{R}$ and Myhill-Nerode relations for R.
— I.e., Different DFAs accepting R correspond to different Myhill-Nerode relations for $R$, and vice versa.
$\square$ We now show that there exists a coarsest Myhill-Neorde relation $\equiv_{R}$ for any $R$, which corresponds to the unique minimal DFA for R.
Def 16.1: $\equiv_{1}, \equiv_{2}$ : two relations. If $\equiv_{1} \subseteq \equiv_{2}$ (i.e., for all $x, y, x \equiv_{1} y$ $=>x \equiv_{2} y$ ) we say $\equiv_{1}$ refines $\equiv_{2}$.
Note:1. If $\equiv_{1}$ and $\equiv_{2}$ are equivalence relations, then $\equiv_{1}$ refines $\equiv_{2}$ iff every $\equiv_{1}$-class is included in $\mathrm{a} \equiv_{2}$-class.
2. The refinement relation on equivalence relations is a partial order. (since $\subseteq$ is ref, transitive and antisymmetric).

## The refinement relation

Note:
3. If , $\equiv_{1} \subseteq \equiv_{2}$, we say $\equiv_{1}$ is the finer and $\equiv_{2}$ is the coarser of the two relations.
4. The finest equivalence relation on a set $U$ is the identity relation $I_{U}=\{(x, x) \mid x \in U\}$
5. The coarsest equivalence relation on a set $U$ is universal relation $U^{2}=\{(x, y) \mid x, y \in U\}$

Def. 16.1: R: a language over $\Sigma$ (possibly not regular). Define a relation $\equiv_{R}$ over $\Sigma^{*}$ by

$$
x \equiv_{R} y \text { iff for all } z \in \Sigma^{*}(x z \in R \ll>y z \in R)
$$

i.e., $x$ and $y$ are related iff whenever appending the same string to both of them, the resulting two strings are either both in $\mathbf{R}$ or both not in R.

## Properties of $\equiv_{\underline{R}}$

Lemma 16.2: Properties of $\equiv_{R}$ :
$\square 0 . \equiv_{R}$ is an equivalence relation over $\Sigma^{*}$.
-1. $\equiv_{R}$ is right congruent

- 2. $\equiv_{R}$ refines $R$.
— 3. $\equiv_{R}$ the coarsest of all relations satisfying 0,1 and 2 .
[ [4. If $R$ is regular $=>\equiv_{R}$ is of finite index.]
Pf: (0) : trivial; (4) immediate from (3) and theorem 15.2.
(1) $x \equiv_{R} y=>$ for all $z \in \Sigma^{*}(x z \in R<=>y z \in R)$

$$
\begin{aligned}
& \Rightarrow \forall a \forall w(x a w \in R \ll>\text { yaw } \in R) \\
& =>\forall a\left(x a \equiv_{R} y a\right)
\end{aligned}
$$

(2) $x \equiv_{R} y \Rightarrow(x \in R \ll>y \in R)$
(3) Let $\equiv$ be any relation satisfying $0 \sim 2$. Then
$x \equiv y=>\forall z x z \equiv y z \quad---b y$ ind. on $|z|$ using property (1)
$\Rightarrow \forall z(x z \in R<=>y z \in R) \cdots$ by (2) $\quad=>x \equiv_{R_{T}} y_{\text {ynnsparency }} \mathrm{No}_{0} 10.11$

## Myhill-Nerode theorem

Thorem16.3: Let R be any language over $\Sigma$. Then the following statements are equivalent:
(a) $R$ is regular;
(b) There exists a Myhill-Nerode relation for R ;
(c) the relation $\equiv_{R}$ is of finite index.
pf: (a) =>(b) : Let M be any DFA for $R$. The construction $M \rightarrow \equiv_{M}$ produces a Myhill-Nerode relation for R.
(b) $=>$ (c): By lemma 16.2, any Myhill-Nerode relation for $R$ is of finite index and refines $R=>\equiv_{R}$ is of finite index. (c)=>(a): If $\equiv_{R}$ is of finite index, by lemma 16.2, it is a MyhillNerode relation for $R$, and the construction $\equiv \rightarrow M_{\equiv}$ produce a DFA for R.

Note: 1. Since $\equiv_{R}$ is the coarsest Myhill-Nerode relation for a regular set $R$, it corresponds to the DFA for $R$ with the fewest states among all DFAs for R.
(i.e., let $M=(Q, \ldots)$ be any DFA for $R$ and $M=\left(Q^{\prime}, \ldots\right)$ the DFA induced by $\equiv_{R}$, where $Q^{\prime}=$ the set of all $\equiv_{R^{\prime}}$-classes
$==>|Q|=\mid$ the set of $\equiv{ }_{M}$-classes $|>=|$ the set of $\equiv_{R}$-classes | $=\left|Q^{\prime}\right|$.
Fact: $M=(Q, S, s, d, F)$ : a DFA for $R$ that has been collapsed (i.e., $M$ $=M / \approx$ ). Then $\equiv_{R}=\equiv_{M}$ (hence $M$ is the unique DFA for $R$ with the fewest states).
pf: $x \equiv_{R} y$ iff $\forall z \in \Sigma^{*}(x z \in R<=>y z \in R)$ iff $\forall z \in \Sigma^{*}(\Delta(s, x z) \in F<\Delta(s, y z) \in F)$ iff $\forall z \in \Sigma^{*}(\Delta(\Delta(s, x), z) \in F \ll \Delta(\Delta(s, y), z) \in F)$ iff $\Delta(s, x) \approx \Delta(s, y)$ iff $\Delta(s, x)=\Delta(s, y)$-- since $M$ is collapsed iff $x \equiv_{M} y \quad$ Q.E.D.

## An application of the Myhill-Nerode relation

- Can be used to determine whether a set $R$ is regular by determining the number of $\equiv_{R}$-classes.
- Ex: Let $A=\left\{a^{n} b^{n} \mid n \geq 0\right\}$.
$\square$ If $k \neq m=>a^{k}$ not $\equiv_{A} a^{m}$, since $a^{k} b^{k} \in A$ but $a^{m} b^{k} \notin A$. Hence $\equiv_{A}$ is not of finite index $=>A$ is not regular.
$\square$ In fact $\equiv_{A}$ has the following $\equiv_{A}$-classes:
[ $\mathbf{G}_{\mathrm{k}}=\left\{\mathrm{a}^{\mathrm{k}}\right\}, \mathrm{k} \geq 0$
( ) $H_{k}=\left\{a^{n+k} b^{n} \mid n \geq 1\right\}, k \geq 0$
- $E=\Sigma^{*}-U_{k \geq 0}\left(G_{k} U H_{k}\right)=\Sigma^{*}-\left\{a^{m} b^{n} \mid m \geq n \geq 0\right\}$


## Uniqueness of Minimal NFAs

- Problem: Does the conclusion that minimal DFA accepting a language is unique applies to NFA as well ?
Ans: ?


## Minimal NFAs are not unique up to isomorphism

- Example: let $L=\{x 1 \mid x \in\{0,1\}\}^{*}$

1. What is the minimum number $k$ of states of all FAs accepting L?
Analysis: k =1. Why ?
2. Both of the following two 2-states FAs accept L .


## Collapsing NFAs

- Minimal NFAs are not unique up to isomorphism
- Part of the Myhill-Nerode theorem generalize to NFAs based on the notion of bisimulation.
- Bisimulation:

Def: $M=\left(Q_{M}, \Sigma, \delta_{M}, S_{M}, F_{M}\right), N=\left(Q_{N}, \Sigma, \delta_{N}, S_{N}, F_{N}\right)$ : two $N F A s$,
$\approx$ : a binary relation from $Q_{M}$ to $Q_{N}$.
$\square$ For $B \subseteq Q_{N}$, define $C_{z}(B)=\left\{p \in Q_{M} \mid \exists q \in B \quad p \approx q\right\}$
$\square$ For $A \subseteq Q_{M}$, define $C_{\sim}(A)=\left\{q \in Q_{N} \mid \exists P \in A p \approx q\right\}$
Extend $\approx$ to subsets of $Q_{M}$ and $Q_{N}$ as follows:
$\square A \approx B \ll>_{\text {def }} A \subseteq C_{\approx}(B)$ and $B \subseteq C_{\approx}(A)$
■
iff $\forall p \in A \exists q \in B$ s.t. $p \approx q$ and $\forall q \in B \exists p \in A$ s.t. $p \approx q$


## Bisimulation

- Def B.1: A relation $\approx$ is called a bisimulation if
- 1. $\mathrm{S}_{\mathrm{m}} \approx \mathrm{S}_{\mathrm{N}}$
— 2. if $p \approx q$ then $\forall a \in \Sigma, \delta_{M}(p, a) \approx \delta_{N}(q, a)$
- 3. if $p \approx q$ then $p \in F_{M}$ iff $q \in F_{N}$.
- $M$ and $N$ are bisimilar if there exists a bisimulation between them.
- For each NFA M, the bisimilar class of $M$ is the family of all NFAs that are bisimilar to M.
- Properties of bisimulaions:
1.Bisimulation is symmetric: if $\approx$ is a bisimulation $b / t M$ and N , then its reverse $\{(\mathrm{q}, \mathrm{p}) \mid \mathrm{p} \approx q\}$ is a bisimulation $\mathrm{b} / \mathrm{t} \mathrm{N}$ and M .

2. Bisimulation is transitive: $M \approx_{1} N$ and $N \approx_{2} P=>M \approx_{1} \approx_{2} P$
3.The union of any nonempty family of bisimulation $\mathrm{b} / \mathrm{t} \mathbf{M}$ and N is a bisimulation $\mathrm{b} / \mathrm{t} \mathrm{M}$ and N .

## Pf: 1,2: direct from the definition.

(3): Let $\left\{\approx_{i} \mid i \in I\right\}$ be a nonempty indexed set of bisimulations b/t $M$ and $N$. Define $\approx=_{\operatorname{def}} U_{i \in I} \approx_{i}$.
Thus $p \approx q$ means $\exists i \in I p \approx_{i} q$.

1. Since $I$ is not empty, $S_{M} \approx{ }_{i} S_{N}$ for some $i \in I$, hence $S_{M} \approx S_{N}$
2. If $p \approx q \Rightarrow \exists i \in I p \approx_{i} q=>\delta_{M}(p, a) \approx_{i} \delta_{N}(q, a) \Rightarrow \delta_{M}(p, a) \approx \delta_{N}(q, a)$
3. If $p \approx q \Rightarrow p \approx_{i} q$ for some $i \Rightarrow\left(p \in F_{M} \Leftrightarrow q \in F_{N}\right)$

Hence $\approx$ is a bisimulation $b / t M$ and $N$.
Lem B.3: $\approx:$ a bisimulation $b / t M$ and $N$. If $A \approx B$, then for all $x$ in $\Sigma^{*}, \Delta(A, x) \approx \Delta(B, x)$.
pf: by induction on $|x|$. Basis: 1. $x=\varepsilon \Rightarrow \Delta(A, \varepsilon)=A \approx B=\Delta(B, \varepsilon)$.
2. $x=a$ : since $A \subseteq C_{\approx}(B)$, if $p \in A=>\exists q \in B$ with $p \approx q$. $=>\delta_{m}(p, a)$ $\subseteq C_{\approx}\left(\delta_{N}(q, a)\right) \subseteq C_{\approx}\left(\Delta_{N}(B, a)\right) . \Rightarrow \quad \Delta_{M}(A, a)=U_{p \in A} \delta_{M}(p, a) \subseteq$ $C_{\approx}\left(\Delta_{N}(B, a)\right)$.
By a symmetric argument, $\Delta_{N}(B, a) \subseteq C_{\approx}\left(\Delta_{M}(A, a)\right)$.
So $\left.\Delta_{M}(\mathbf{A}, \mathrm{a}) \approx \Delta_{\mathrm{N}}(\mathrm{B}, \mathrm{a})\right)$.

## Bisimilar automata accept the same set.

3. Ind. case: assume $\Delta_{M}(A, x) \approx \Delta_{N}(B, x)$. Then
$\Delta_{M}(A, x a)=\Delta_{M}\left(\Delta_{M}(A, x), a\right) \approx \Delta_{N}\left(\Delta_{N}(B, x), a\right)=\Delta_{N}(B, x a)$. Q.E.D.

Theorem B.4: Bisimilar automata accept the same set.
Pf: assume $\approx:$ a bisimulation b/t two NFAs $M$ and $\mathbf{N}$.
Since $S_{M} \approx S_{N}=>\Delta_{M}\left(S_{M}, x\right) \approx \Delta_{N}\left(S_{N}, x\right)$ for all $x$. Hence for all $x, x \in L(M) \ll \Delta_{M}\left(S_{M}, x\right) \cap F_{M} \neq\{ \} \ll \Delta_{N}\left(S_{N}, x\right) \cap$ $F_{N} \neq\{ \} \Leftrightarrow x \in L(N)$. Q.E.D.

Def: $\approx:$ a bisimulation b/t two NFAs M and N
The support of $\approx \mathrm{in} M$ is the states of $M$ related by $\approx$ to some state of $N$, i.e., $\left\{p \in Q_{M} \mid p \approx q\right.$ for some $\left.q \in Q_{N}\right\}=C_{\approx}\left(Q_{N}\right)$.

## Autobisimulation

Lem B.5: A state of $M$ is in the support of all bisimulations involving $M$ iff it is accessible.
Pf: Let $\approx$ be any bisimulation $b / t M$ and another FA.
By def $B .1(1)$, every start state of $M$ is in the support of $\approx$.
By $B .1(2)$, if $p$ is in the support of $\approx$, then every state in $\delta(p, a)$ is in the support of $\approx$. It follows by induction that every accessible state is in the support of $\approx$.
Conversely, since the relation $B .3=\{(p, p) \mid p$ is accessible $\}$ is a bisimulation from $\mathbf{M}$ to $\mathbf{M}$ and all inaccessible states of $M$ are not in the support of B.3. It follows that no inaccessible state is in the support of all bisimulations. Q.E.D.

Def. B.6: An autobisimulation is a bisimlation b/t an automaton and itself.

## Property of autobisimulations

Theorem B.7: Every NFA M has a coarsest autobisimulation $\equiv_{M}$, which is an equivalence relation.
Pf: let B be the set of all autobisimulations on $M$.
$B$ is not empty since the identity relation $I_{M}=\{(p, p) \mid p$ in $Q$ \} is an autobisimulation.

1. let $\equiv_{M}$ be the union of all bisimualtions in $B$. By Lem $B .2(3), \equiv_{M}$ is also a bisimualtion on $M$ and belongs to B. So $\equiv_{M}$ is the largest (i.e., coarsest) of all relations in B.
2. $\equiv_{M}$ is ref. since for all state $p(p, p) \in I_{M} \subseteq \equiv_{M}$. 3. $\equiv_{\mathrm{M}}$ is sym. and tran. by Lem B.2(1,2).
3. By $2,3, \equiv_{M}$ is an equivalence relation on $Q$.

- $M=(Q, \Sigma, \delta, S, F): a \operatorname{NFA}$.
- Since accessible subautomaton of $M$ is bisimilar to $M$ under the bisimulation B.3, we can assume wlog that $M$ has no inaccessible states.
- Let $\equiv b e \equiv_{M}$, the maximal autobisimulation on $M$. for $p$ in $Q$, let $[p]=\{q \mid p \equiv q\}$ be the $\equiv$-class of $p$, and let « be the relation relating $p$ to its $\equiv$-class [p], i.e.,

$$
« \subseteq Q \times 2^{Q}=_{\text {def }}\{(p,[p]) \mid p \text { in } Q\}
$$

for each set of states $A \subseteq Q$, define $[A]=\{[p] \mid p$ in $A\}$. Then Lem B.8: For all $A, B \subseteq \mathbf{Q}$,

1. $A \subseteq C_{\equiv}(B)$ iff $[A] \subseteq[B], \quad$ 2. $A \equiv B$ iff $[A]=[B], \quad$ 3. $A «[A]$
pf:1. $A \subseteq C_{\equiv}(B) \ll>\forall p$ in $A \forall q$ in $B$ s.t. $p \equiv q \ll>[A] \subseteq[B]$
2. Direct from 1 and the fact that $A \equiv B$ iff $A \subseteq C_{\equiv}(B)$ and $B \subseteq C_{\equiv}(A)$
3. $p \in A \Rightarrow p \in[p] \in[A], B \in[A]=>\exists p \in A$ with $p \ll[p]=B$.

## Minimal NFA bisimilar to an NFA (cont'd)

Now define M' = \{Q', S, d', S', $\left.\mathrm{F}^{\prime}\right\}=M / \equiv$ where
$\square Q^{\prime}=[Q]=\{[p] \mid p \in Q\}$,
$\square S^{\prime}=[S]=\{[p] \mid p \in S\}, F^{\prime}=[F]=\{[p] \mid p \in F\}$ and
$\square \delta^{\prime}([p], a)=[\delta(p, a)]$,
$\square$ Note that $\delta^{\prime}$ is well-defined since
$[p]=[q]=>p \equiv q=>\delta(p, a) \equiv \delta(q, a)=>[\delta(p, a)]=[\delta(q, a)]$ $=>\delta^{\text {f }}([p], a)=\delta^{\text {c }}([q], a)$
Lem B.9: The relation « is a bisimulation $b / t M$ and $M^{\prime}$.
pf: 1. By B.8(3): $S \subseteq[S]=S '$.
2. If $p$ « [ $q]=>p \equiv q=>\delta(p, a) \equiv \delta(q, a)$
$\Rightarrow>[\delta(p, a)]=[\delta(q, a)]=>\delta(p, a)<[\delta(p, a)]=[\delta(q, a)]$.
3. if $p \in F=>[p] \in[F]=F$ ' and
if $[p] \in F^{\prime}=[F]=>\exists q \in F$ with $[q]=[p]=>p \equiv q=>p \in F$.
By theorem B.4, M and M' accept the same set.

## Autobisimulation

Lem B.10: The only autobisimulation on $M^{\prime}$ is the identity relation $=$.
Pf: Let ~ be an autobisimulation of M'. By Lem B.2(1,2), the relation « $\sim$ » is a bisimulation from $M$ to itself.

1. Now if there are $[p] \neq[q]$ (hence not $p \equiv q$ ) with $[p] \sim[q]$
=> p « [p] ~ [q] » q => p «~» q => «~» $\not \subset \equiv$, a contradiction!. On the other hand, if [p] not $\sim$ [ $p$ for some [p] => for any [q], [ $p$ ] not $\sim$ [ $q$ (by 1. and the premise)
=> p not («~») q for any q ( $p$ « [p] [q] » q)
=> $p$ is not in the support of «~"
=> $p$ is not accessible, a contradiction.

## Quotient automata are minimal FAs

- Theorem B11: M: an NFA w/t inaccessible states, ㄹ : maximal autobisimulation on $M$. Then $\mathbf{M}^{\prime}=\mathbf{M} / \equiv$ is the minimal automata bisimilar to to M and is unique up to isomorphism.
pf: N : any NFA bisimilar to M w/t inaccessible states.
$N^{\prime}=N / \equiv_{N}$ where $\equiv_{N}$ is the maximal autobisimulation on $N$. => M' bisimiar to M bisimilar to N bisimiar to $\mathrm{N}^{\prime}$.
Let $\approx$ be any bisimulation b/t M' and $\mathbf{N}^{\prime}$.
Under $\approx$, every state $p$ of $M^{\prime}$ has at least on state $q$ of $N^{\prime}$ with $p$ $\approx q$ and every state $q$ of $N^{\prime}$ has exactly one state $p$ of $M^{\prime}$ with $\mathrm{p} \approx \mathrm{q}$.
$\mathrm{O} / \mathrm{wp} \approx \mathrm{q} \approx^{-1} \mathrm{p}^{\prime} \neq \mathrm{p}=>\approx \approx^{-1}$ is a non-identity autobisimulation on M , a contradiciton!.
Hence $\approx$ is $1-1$. Similarly, $\approx^{-1}$ is 1-1 $=>\approx$ is 1-1 and onto and hence is an isomorphism b/t M' and $\mathrm{N}^{\prime}$. Q.E.D.


## Algorithm for computing maximal bisimulation

- a generalization of that of Lec 14 for finding equivalent states of DFAs
The algorithm: Find maximal bisimulation of two NFAs M and $\mathbf{N}$
$\square$ 1. write down a table of all pairs ( $p, q$ ) of states, initially
] unmarked
- 2. mark ( $p, q$ ) if $p \in F_{M}$ and $q \notin F_{N}$ or vice versa.
- 3. repeat until no more change occur: if $(p, q)$ is unmarked and if for some $a \in \Sigma$, either $\exists p^{\prime} \in \delta_{\mathrm{M}}(\mathbf{p}, \mathrm{a})$ s.t. $\forall \mathbf{q}^{\prime} \in \delta_{\mathrm{N}}(\mathbf{q}, \mathrm{a}),\left(\mathbf{p}^{\prime}, \mathrm{q}^{\prime}\right)$ is marked, or $\exists q^{\prime} \in \delta_{N}(q, a)$ s.t. $\forall p^{\prime} \in \delta_{M}(p, a),\left(p^{\prime}, q^{\prime}\right)$ is marked, then mark ( $\mathrm{p}, \mathrm{q}$ ).
—4. define $p \equiv q$ iff $(p, q)$ are never marked.
- 5. If $\mathrm{S}_{\mathrm{M}} \equiv \mathrm{S}_{\mathrm{N}}=>\equiv$ is the maximal bisimulation o/w M and N has no bisimulation.

