Formal Language and Automata Theory

# Chapter 10

# **The Myhill-Nerode Theorem**

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The Myhill-Nerode theorem

**Isomorphism of DFAs** 

- $M = (Q_M, \Sigma, \delta_M, s_M, F_M), N = (Q_N, S, \delta_N, s_N, F_N)$ : two DFAs
- M and N are said to be isomorphic if there is a (structure-preserving bijection f:Q<sub>M</sub>-> Q<sub>N</sub> s.t.

$$f(s_M) = s_N$$

- $\Box \ f(\delta_M(p,a))$  =  $\delta_N(f(p),a)$  for all  $p \in Q_M$  ,  $a \in \Sigma$
- $\Box \ p \in F_M \text{ iff } f(p) \in F_N.$
- I.e., M and N are essentially the same machine up to renaming of states.
- Facts:
  - □ 1. Isomorphic DFAs accept the same set.
  - □ 2. if M and N are any two DFAs w/o inaccessible states accepting the same set, then the quotient automata M/ $\approx$  and N/  $\approx$  are isomorphic
  - 3. The DFA obtained by the minimization algorithm (lec. 14) is the minimal DFA for the set it accepts, and this DFA is unique up to isomorphism.

#### **Myhill-Nerode Relations**

- R: a regular set, M=(Q, Σ, δ,s,F): a DFA for R w/o inaccessible states.
- M induces an equivalence relation  $\equiv_{M}$  on  $\Sigma^*$  defined by

$$x \equiv M$$
 y iff  $\Delta(s,x) = \Delta(s,y)$ .

 i.e., two strings x and y are equivalent iff it is indistinguishable by running M on them (i.e., by running M with x and y as input, respectively, from the initial state of M.)

# • Properties of $\equiv_{M}$ :

 $\Box$  **0**.  $\equiv_{M}$  is an equivalence relation on  $\Sigma^*$ .

(cf:  $\approx$  is an equivalence relation on states)

- $\begin{array}{l} \square \ 1.\equiv_{\mathsf{M}} \text{ is a right congruence relation on } \Sigma^{\star}: \text{ i.e., for any } x,y \in \\ \Sigma^{\star} \text{ and } a \in \Sigma, \, x \equiv_{\mathsf{M}} y \Rightarrow xa \equiv_{\mathsf{M}} ya. \end{array}$
- □ pf: if  $x \equiv_M y \Rightarrow \Delta(s,xa) = \delta(\Delta(s,x),a) = \delta(\Delta(s,y),a) = \Delta(s, ya)$ =>  $xa \equiv_M ya$ .

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The Myhill-Nerode theorem

**Properties of the Myhill-Nerode relations** 

• Properties of  $\equiv_{M}$ :

□ 2.  $\equiv_{M}$  refines R. I.e., for any  $x, y \in \Sigma^*$ ,

$$x \equiv_M y \Rightarrow x \in R \text{ iff } y \in R$$

- □ pf:  $x \in R$  iff  $\Delta(s,x) \in F$  iff  $\Delta(s,y) \in F$  iff  $y \in R$ .
- □ Property 2 means that every  $\equiv_{M}$ -class has either all its elements in R or none of its elements in R. Hence R is a union of some  $\equiv_{M}$ -classes.
- □ 3. It is of finite index, i.e., it has only finitely many equivalence classes.

□ (i.e., the set { 
$$[x]_{\equiv}$$
 |  $x \in \Sigma^*$ }  
□ is finite.

□ pf: 
$$x \equiv_M y$$
 iff  $\Delta(s,x) = \Delta(s,y) = q$ 

- $\Box \quad \text{for some } q \in Q. \text{ Since there}$
- □ are only |Q| states, hence
- □  $\Sigma^*$  has  $|\mathbf{Q}| \equiv_{\mathbf{M}}$ -classes



**Definition of the Myhill-Nerode relation** 

• = : an equivalence relation on  $\Sigma^*$ ,

R: a language over  $\Sigma^*$ .

- = is called an Myhill-Nerode relation for R if it satisfies property 1~3. i.e., it is a right congruence of finite index refining R.
- Fact: R is regular iff it has a Myhill-Nerode relation.
  - □ (to be proved later)
  - □ 1. For any DFA M accepting R, =<sub>M</sub> is a Myhill-Nerode relation for R.
  - □ 2. If = is a Myhill-Nerode relation for R then there is a DFA  $M_{=}$  accepting R.
  - □ 3. The constructions  $M \rightarrow \equiv_M$  and  $\equiv \rightarrow M_{\equiv}$  are inverse up to isomorphism of automata. (i.e.  $\equiv = \equiv_{M_{\equiv}}$  and  $M = M_{\equiv_M}$ )

#### **From** $\equiv$ **to M** $\equiv$

- R: a language over  $\Sigma$ , = : a Myhill-Nerode relation for R;
  - □ the =-class of the string x is  $[x]_{=} =_{def} \{y \mid x \equiv y\}$ .
  - □ Note: Although there are infinitely many strings, there are only finitely many = -classes. (by property of finite index)
- Define DFA M = = (Q, $\Sigma$ , $\delta$ ,s,F) where
  - $\Box \mathbf{Q} = \{ [\mathbf{x}] \mid \mathbf{x} \in \Sigma^* \}, \quad \mathbf{s} = [\varepsilon],$
  - □  $F = \{[x] | x \in R \}, \delta([x],a) = [xa].$

### • Notes:

- □ 0:  $M_{\pm}$  has |Q| states, each corresponding to an  $\equiv$  -class of  $\equiv$ . Hence the more classes  $\equiv$  has, the more states M $\equiv$  has.
- $\label{eq:starsest} \begin{array}{l} \square \ \mbox{1. By right congruence of} \equiv , \ \delta \ \mbox{is well-defined, since, if } y,z \\ \in [x] \Rightarrow y \equiv z \equiv x \Rightarrow ya \equiv za \equiv xa \Rightarrow ya, za \in [xa] \end{array}$
- $\Box \textbf{ 2. } \textbf{x} \in \textbf{R} \textbf{ iff } [\textbf{x}] \in \textbf{F}.$
- □ pf: =>: by definition of  $M \equiv$ ;
- $\Box \leq x \in F \Rightarrow \exists y \text{ s.t. } y \in R \text{ and } x \equiv y \Rightarrow x \in R. \text{ (property 2)}$

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#### $\underline{M} \rightarrow \equiv_{\underline{M}} and \equiv \rightarrow \underline{M} \equiv are inverses$

Lemma 15.1:  $\Delta([x],y) = [xy]$ pf: Induction on |y|. Basis:  $\Delta([x],\varepsilon) = [x] = [x\varepsilon]$ . Ind. step:  $\Delta([x],ya) = \delta(\Delta([x],y),a) = \delta([xy],a) = [xya]$ . QED

Theorem 15.2:  $L(M_{=}) = R$ . pf:  $x \in L(M_{=})$  iff  $\Delta([\epsilon], x) \in F$  iff  $[x] \in F$  iff  $x \in R$ . QED

Lemma 15.3: ≡ : a Myhill-Nerode relation for R, M: a DFA for R w/o inaccessible states, then

- 1. if we apply the construction  $\equiv \rightarrow M_{\equiv}$  to  $\equiv$  and then apply  $M \rightarrow \equiv_M$  to the result, the resulting relation  $\equiv_{M \equiv}$  is identical to  $\equiv$ .
- 2. if we apply the construction  $M \rightarrow \equiv_M$  to M and then apply  $\equiv \rightarrow M_{\pm}$  to the result, the resulting relation  $M \equiv_M$  is identical to M.

#### $M \rightarrow \equiv_M and \equiv \rightarrow M \equiv are inverses (cont'd)$

- Pf: (of lemma 15.3) (1) Let M<sub>=</sub> =(Q,Σ,δ,s,F) be the DFA constructed as described above. then for any x,y in Σ\*, x =<sub>M=</sub> y iff Δ([ε], x) = Δ([ε],y) iff [x] = [y] iff x = y.
  (2) Let M = (Q, Σ,δ,s,F) and let M=<sub>M</sub> = (Q', Σ, δ',s',F'). Recall that
  - $\Box [\mathbf{x}] = \{\mathbf{y} \mid \mathbf{y} \equiv_{\mathsf{M}} \mathbf{x}\} = \{\mathbf{y} \mid \Delta(\mathbf{s}, \mathbf{y}) = \Delta(\mathbf{s}, \mathbf{x})\}$ 
    - $\Box \mathbf{Q}' = \{ [\mathbf{x}] \mid \mathbf{x} \in \Sigma^* \}, \quad \mathbf{s}' = [\varepsilon], \ \mathbf{F}' = \{ [\mathbf{x}] \mid \mathbf{x} \in \mathbf{R} \}$
    - $\Box$  δ'([x], a) = [xa].

Now let f:Q'-> Q be defined by  $f([x]) = \Delta(s,x)$ .

□ 1. By def., [x] = [y] iff ∆(s,x) = ∆(s,y), so f is well-defined and 1-1. Since M has no inaccessible state, f is onto.

$$\Box 2. f(s') = f([\varepsilon]) = \Delta(s, \varepsilon) = s$$

 $\Box 3. [x] \in F' \iff x \in R \iff \Delta(s,x) \in F \iff f([x]) \in F.$ 

 $\Box 4. f(\delta'([x],a)) = f([xa]) = \Delta(s,xa) = \delta(\Delta(s,x),a) = \delta(f([x]), a)$ 

**By 1~4, f is an isomorphism from M \equiv\_M to M. QED** 

#### **Relations b/t DFAs and Myhill-Nerode relations**

Theorem 15.4: R: a regular set over  $\Sigma$ . Then up to isomorphism of FAs, there is a 1-1 correspondence b/t DFAs w/o inaccessible states accepting R and Myhill-Nerode relations for R.

- I.e., Different DFAs accepting R correspond to different Myhill-Nerode relations for R, and vice versa.
- □ We now show that there exists a coarsest Myhill-Neorde relation ≡<sub>R</sub> for any R, which corresponds to the unique minimal DFA for R.

Def 16.1:  $\equiv_1$ ,  $\equiv_2$ : two relations. If  $\equiv_1 \subseteq \equiv_2$  (i.e., for all x,y, x  $\equiv_1$  y => x  $\equiv_2$  y) we say  $\equiv_1$  refines  $\equiv_2$ .

Note:1. If  $\equiv_1$  and  $\equiv_2$  are equivalence relations, then  $\equiv_1$  refines  $\equiv_2$  iff every  $\equiv_1$ -class is included in a  $\equiv_2$ -class.

2. The refinement relation on equivalence relations is a partial order. (since  $\subseteq$  is ref, transitive and antisymmetric).

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The refinement relation

Note:

3. If ,  $\equiv_1 \subseteq \equiv_2$ , we say  $\equiv_1$  is the finer and  $\equiv_2$  is the coarser of the two relations.

4. The finest equivalence relation on a set U is the identity relation  $I_U = \{(x,x) \mid x \in U\}$ 

5. The coarsest equivalence relation on a set U is universal relation  $U^2 = \{(x,y) \mid x, y \in U\}$ 

Def. 16.1: R: a language over  $\Sigma$  (possibly not regular). Define a relation  $\equiv_{\mathsf{R}}$  over  $\Sigma^*$  by

 $\mathbf{x} \equiv_{\mathsf{R}} \mathbf{y}$  iff for all  $\mathbf{z} \in \Sigma^*$  ( $\mathbf{xz} \in \mathsf{R} \leq \mathbf{yz} \in \mathsf{R}$ )

i.e., x and y are related iff whenever appending the same string to both of them, the resulting two strings are either both in R or both not in R.

#### <u>Properties of $\equiv_{R}$ </u>

Lemma 16.2: Properties of  $\equiv_{R}$ :

- □ 0.  $\equiv_{\mathsf{R}}$  is an equivalence relation over  $\Sigma^*$ .
- □ 1.  $\equiv_{\mathsf{R}}$  is right congruent
- □ 2.  $\equiv_{\mathsf{R}}$  refines **R**.
- □ 3.  $\equiv_{R}$  the coarsest of all relations satisfying 0,1 and 2.
- □ [4. If R is regular =>  $\equiv_{R}$  is of finite index.]

Pf: (0) : trivial; (4) immediate from (3) and theorem 15.2.

(1) 
$$x \equiv_R y \Rightarrow$$
 for all  $z \in \Sigma^*$  ( $xz \in R \iff yz \in R$ )

(2) 
$$\mathbf{x} \equiv_{\mathsf{R}} \mathbf{y} \Rightarrow (\mathbf{x} \in \mathsf{R} \leq \mathbf{y} \in \mathsf{R})$$

(3) Let  $\equiv$  be any relation satisfying 0~2. Then

 $x \equiv y \Rightarrow \forall z \ xz \equiv yz \quad \text{--- by ind. on } |z| \text{ using property (1)}$ 

 $\Rightarrow \forall z (xz \in R \le yz \in R) \dashrightarrow by (2) \implies x \equiv_R y.$ 

#### **Myhill-Nerode theorem**

Thorem16.3: Let R be any language over  $\Sigma$ . Then the following statements are equivalent:

(a) R is regular;

(b) There exists a Myhill-Nerode relation for R;

(c) the relation  $\equiv_{R}$  is of finite index.

pf: (a) =>(b) : Let M be any DFA for R. The construction  $M \rightarrow \equiv_M$  produces a Myhill-Nerode relation for R.

(b) => (c): By lemma 16.2, any Myhill-Nerode relation for R is of finite index and refines  $R => \equiv_R$  is of finite index.

(c)=>(a): If  $\equiv_{R}$  is of finite index, by lemma 16.2, it is a Myhill-Nerode relation for R, and the construction  $\equiv \rightarrow M_{\equiv}$  produce a DFA for R.

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The Myhill-Nerode theorem

#### <u>Relations b/t $\equiv$ <sub>R</sub> and collapsed machine</u>

- Note: 1. Since  $\equiv_{R}$  is the coarsest Myhill-Nerode relation for a regular set R, it corresponds to the DFA for R with the fewest states among all DFAs for R.
  - (i.e., let M = (Q,...) be any DFA for R and M = (Q',...) the DFA induced by  $\equiv_R$ , where Q' = the set of all  $\equiv_R$ -classes

==> 
$$|\mathbf{Q}| = |$$
 the set of  $\equiv_{\mathbf{M}}$ -classes  $| >= |$  the set of  $\equiv_{\mathbf{R}}$  -classes  $= |\mathbf{Q}'|$ .

Fact: M=(Q,S,s,d,F): a DFA for R that has been collapsed (i.e., M = M/ $\approx$ ). Then  $\equiv_R = \equiv_M$  (hence M is the unique DFA for R with the fewest states).

pf: 
$$x \equiv_R y$$
 iff  $\forall z \in \Sigma^* (xz \in R \iff yz \in R)$   
iff  $\forall z \in \Sigma^* (\Delta(s,xz) \in F \iff \Delta(s,yz) \in F)$   
iff  $\forall z \in \Sigma^* (\Delta(\Delta(s,x),z) \in F \iff \Delta(\Delta(s,y),z) \in F)$   
iff  $\Delta(s,x) \approx \Delta(s,y)$  iff  $\Delta(s,x) = \Delta(s,y)$  -- since M is collapsed  
iff  $x \equiv_M y$  Q.E.D.

#### An application of the Myhill-Nerode relation

 Can be used to determine whether a set R is regular by determining the number of ≡<sub>R</sub> -classes.

• Ex: Let A = 
$$\{a^nb^n \mid n \ge 0\}$$
.

- If k ≠ m => a<sup>k</sup> not ≡<sub>A</sub> a<sup>m</sup>, since a<sup>k</sup>b<sup>k</sup>∈ A but a<sup>m</sup>b<sup>k</sup> ∉ A.
   Hence ≡<sub>A</sub> is not of finite index => A is not regular.
- □ In fact  $\equiv_A$  has the following  $\equiv_A$ -classes:

$$\Box \quad \mathbf{G}_{\mathbf{k}} = \{\mathbf{a}^{\mathbf{k}}\}, \ \mathbf{k} \geq \mathbf{0}$$

$$\Box \quad H_{k} = \{a^{n+k} \ b^{n} \mid n \geq 1 \}, \ k \geq 0$$

$$\Box \quad \mathsf{E} = \Sigma^* - \mathsf{U}_{k \ge 0} \ (\mathsf{G}_k \mathsf{U} \ \mathsf{H}_k) = \Sigma^* - \{a^m b^n \mid m \ge n \ge 0 \}$$

#### **Uniqueness of Minimal NFAs**

Problem: Does the conclusion that minimal DFA accepting a language is unique applies to NFA as well ?

Ans : ?

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The Myhill-Nerode theorem

Minimal NFAs are not unique up to isomorphism

- Example: let L = {  $x1 | x \in \{0,1\}$  }\*
- 1. What is the minimum number k of states of all FAs accepting L ?

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Analysis : k \neq 1. Why ?
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2. Both of the following two 2-states FAs accept L.



#### **Collapsing NFAs**

- Minimal NFAs are not unique up to isomorphism
- Part of the Myhill-Nerode theorem generalize to NFAs based on the notion of *bisimulation*.
- Bisimulation:

Def:  $M=(Q_M, \Sigma, \delta_M, S_M, F_M)$ ,  $N=(Q_N, \Sigma, \delta_N, S_N, F_N)$ : two NFAs,

- $\approx$  : a binary relation from  $\mathbf{Q}_{M}$  to  $\mathbf{Q}_{N}.$
- $\Box \text{ For } B \subseteq Q_N \text{ , define } C_{\approx}(B) = \{p \in Q_M \mid \exists q \in B \ p \approx q \}$
- $\Box \text{ For } A \subseteq Q_M \text{, define } C_{\approx}(A) = \{q \in Q_N \mid \exists P \in A \ p \approx q \}$

Extend  $\approx$  to subsets of  $Q_M$  and  $Q_N$  as follows:

 $\Box A \approx B <=>_{def} A \subseteq C_{\approx}(B) \text{ and } B \subseteq C_{\approx}(A)$ 

 $\exists \quad \text{iff} \ \forall p \in A \ \exists q \in B \ \text{s.t.} \ p \approx q \ \text{and} \ \forall \ q \in B \ \exists p \in A \ \text{s.t.} \ p \approx q \\$ 



**Bisimulation** 

# ● Def B.1: A relation ≈ is called a bisimulation if

- $\Box 1. S_{M} \approx S_{N}$
- □ 2. if  $p \approx q$  then  $\forall a \in \Sigma$ ,  $\delta_M(p,a) \approx \delta_N(q,a)$
- □ 3. if  $p \approx q$  then  $p \in F_M$  iff  $q \in F_N$ .
- M and N are *bisimilar* if there exists a bisimulation between them.
- For each NFA M, the *bisimilar class* of M is the family of all NFAs that are bisimilar to M.

# Properties of bisimulaions:

1.Bisimulation is symmetric: if ≈ is a bisimulation b/t M and N, then its reverse {(q,p)|p≈q} is a bisimulation b/t N and M.
2.Bisimulation is transitive: M ≈₁ N and N ≈₂ P => M ≈₁ ≈₂ P
3.The union of any nonempty family of bisimulation b/t M and N is a bisimulation b/t M and N.

#### **Properties of bisimulations**

Pf: 1,2: direct from the definition.

(3): Let  $\{\approx_i \mid i \in I\}$  be a nonempty indexed set of bisimulations b/t M and N. Define  $\approx =_{def} U_{i \in I} \approx_i$ .

Thus  $p \approx q$  means  $\exists i \in I p \approx_i q$ .

1. Since I is not empty,  $S_M \approx_i S_N$  for some  $i \in I$ , hence  $S_M \approx S_N$ 

2. If  $p \approx q \implies \exists i \in I \ p \approx_i q \implies \delta_M(p,a) \approx_i \delta_N(q,a) \implies \delta_M(p,a) \approx \delta_N(q,a)$ 3. If  $p \approx q \implies p \approx_i q$  for some  $i \implies (p \in F_M \iff q \in F_N)$ 

Hence  $\approx$  is a bisimulation b/t M and N.

Lem B.3:  $\approx$  : a bisimulation b/t M and N. If A  $\approx$  B, then for all x in  $\Sigma^*$ ,  $\Delta(A,x) \approx \Delta(B,x)$ .

pf: by induction on |x|. Basis: 1.  $x = \varepsilon \implies \Delta(A, \varepsilon) = A \approx B = \Delta(B, \varepsilon)$ .

2.  $\mathbf{x} = \mathbf{a}$  : since  $\mathbf{A} \subseteq \mathbf{C}_{\approx}(\mathbf{B})$ , if  $\mathbf{p} \in \mathbf{A} \Longrightarrow \exists \mathbf{q} \in \mathbf{B}$  with  $\mathbf{p} \approx \mathbf{q}$ .  $\Longrightarrow \delta_{\mathbf{M}}(\mathbf{p}, \mathbf{a})$  $\subseteq \mathbf{C}_{\approx}(\delta_{\mathbf{N}}(\mathbf{q}, \mathbf{a})) \subseteq \mathbf{C}_{\approx}(\Delta_{\mathbf{N}}(\mathbf{B}, \mathbf{a}))$ .  $\Longrightarrow \Delta_{\mathbf{M}}(\mathbf{A}, \mathbf{a}) \equiv \mathbf{U}_{\mathbf{p} \in \mathbf{A}} \delta_{\mathbf{M}}(\mathbf{p}, \mathbf{a}) \subseteq \mathbf{C}_{\approx}(\Delta_{\mathbf{N}}(\mathbf{B}, \mathbf{a}))$ .

By a symmetric argument,  $\Delta_N(B,a) \subseteq C_{\approx}(\Delta_M(A,a))$ .

So  $\Delta_{M}$  (A,a)  $\approx \Delta_{N}$ (B,a)).

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**Bisimilar automata accept the same set.** 

3. Ind. case: assume  $\Delta_M(A,x) \approx \Delta_N(B,x)$ . Then  $\Delta_M(A,xa) = \Delta_M(\Delta_M(A,x), a) \approx \Delta_N(\Delta_N(B,x),a) = \Delta_N(B,xa)$ . Q.E.D.

Theorem B.4: Bisimilar automata accept the same set. Pf: assume  $\approx$  : a bisimulation b/t two NFAs M and N. Since  $S_M \approx S_N \implies \Delta_M (S_M, x) \approx \Delta_N (S_N, x)$  for all x. Hence for all x,  $x \in L(M) \iff \Delta_M(S_M, x) \cap F_M \neq \{\} \iff \Delta_N(S_N, x) \cap F_N \neq \{\} \iff x \in L(N)$ . Q.E.D.

Def:  $\approx$  : a bisimulation b/t two NFAs M and N The support of  $\approx$  in M is the states of M related by  $\approx$  to some state of N, i.e., {p  $\in Q_M \mid p \approx q$  for some  $q \in Q_N$ } = C<sub> $\approx$ </sub>(Q<sub>N</sub>). **Autobisimulation** 

- Lem B.5: A state of M is in the support of all bisimulations involving M iff it is accessible.
- **Pf:** Let  $\approx$  be any bisimulation b/t M and another FA.

By def B.1(1), every start state of M is in the support of  $\approx$ .

- By B.1(2), if p is in the support of  $\approx$ , then every state in  $\delta(p,a)$  is in the support of  $\approx$ . It follows by induction that every accessible state is in the support of  $\approx$ .
- Conversely, since the relation B.3 = {(p,p) | p is accessible} is a bisimulation from M to M and all inaccessible states of M are not in the support of B.3. It follows that no inaccessible state is in the support of all bisimulations. Q.E.D.

Def. B.6: An autobisimulation is a bisimlation b/t an automaton and itself.

**Property of autobisimulations** 

- **Theorem B.7:** Every NFA M has a coarsest autobisimulation  $\equiv_M$ , which is an equivalence relation.
- Pf: let B be the set of all autobisimulations on M.
  - B is not empty since the identity relation  $I_M = \{(p,p) \mid p \text{ in } Q\}$  is an autobisimulation.
  - 1. let  $\equiv_M$  be the union of all bisimualtions in B. By Lem B.2(3),  $\equiv_M$  is also a bisimualtion on M and belongs to B. So  $\equiv_M$  is the largest (i.e., coarsest) of all relations in B.
- 2.  $≡_M$  is ref. since for all state p (p,p) ∈  $I_M \subseteq ≡_M$ .
- 3.  $≡_M$  is sym. and tran. by Lem B.2(1,2).
- 4. By 2,3,  $\equiv_{M}$  is an equivalence relation on Q.

**Find minimal NFA bisimilar to a NFA** 

- $M = (Q, \Sigma, \delta, S, F)$  : a NFA.
- Since accessible subautomaton of M is bisimilar to M under the bisimulation B.3, we can assume wlog that M has no inaccessible states.

Let ≡ be ≡<sub>M</sub>, the maximal autobisimulation on M. for p in Q, let [p] = {q | p ≡ q } be the ≡-class of p, and let « be the relation relating p to its ≡-class [p], i.e., « ⊆ Qx2<sup>Q</sup> =<sub>def</sub> {(p,[p]) | p in Q } for each set of states A ⊆ Q, define [A] = {[p] | p in A }. Then Lem B.8: For all A,B ⊆ Q,

□ 1. A ⊆ C<sub>=</sub> (B) iff [A] ⊆ [B], 2. A = B iff [A] = [B], 3. A « [A] pf:1. A ⊆ C<sub>=</sub>(B) <=>∀p in A ∀ q in B s.t. p = q <=> [A] ⊆ [B] 2. Direct from 1 and the fact that A = B iff A ⊆ C<sub>=</sub>(B) and B ⊆ C<sub>=</sub>(A) 3. p ∈ A => p ∈ [p] ∈ [A], B ∈ [A] => ∃ p ∈ A with p « [p] = B.

The Myhill-Nerode theorem

Minimal NFA bisimilar to an NFA (cont'd)

Now define M' = {Q', S, d', S',F'} = M/≡ where

$$\Box Q' = [Q] = \{[p] | p \in Q\},\$$

 $\Box S' = [S] = \{[p] \mid p \in S\}, F' = [F] = \{[p] \mid p \in F\} \text{ and }$ 

$$δ'([p],a) = [\delta(p,a)],$$

 $\Box$  Note that  $\delta'$  is well-defined since

$$[p] = [q] \Rightarrow p \equiv q \Rightarrow \delta(p,a) \equiv \delta(q,a) \Rightarrow [\delta(p,a)] = [\delta(q,a)] = \delta((q,a)] = \delta((p,a)) = \delta((p$$

Lem B.9: The relation « is a bisimulation b/t M and M'.

2. If 
$$p \ll [q] \Rightarrow p \equiv q \Rightarrow \delta(p,a) \equiv \delta(q,a)$$

=> 
$$[\delta(p,a)] = [\delta(q,a)] => \delta(p,a) \ll [\delta(p,a)] = [\delta(q,a)].$$

3. if 
$$p \in F \Rightarrow [p] \in [F] = F'$$
 and

if  $[p] \in F'= [F] \Rightarrow \exists q \in F$  with  $[q] = [p] \Rightarrow p \equiv q \Rightarrow p \in F$ .

By theorem B.4, M and M' accept the same set.

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**Autobisimulation** 

- Lem B.10: The only autobisimulation on M' is the identity relation =.
- Pf: Let ~ be an autobisimulation of M'. By Lem B.2(1,2), the relation « ~ » is a bisimulation from M to itself.
- 1. Now if there are  $[p] \neq [q]$  (hence not  $p \equiv q$ ) with  $[p] \sim [q]$

=>  $p \ll [p] \sim [q] \gg q \Rightarrow p \ll \sim \gg q \Rightarrow \ll \sim \gg \not \equiv =, a \text{ contradiction }!.$ 

- On the other hand, if [p] not~ [p] for some [p] => for any [q],
  - [p] not~ [q] (by 1. and the premise)
- => p not (« ~ » ) q for any q (p « [p] [q] » q )
- => p is not in the support of « ~ »
- => p is not accessible, a contradiction.

#### **Quotient automata are minimal FAs**

- Theorem B11: M: an NFA w/t inaccessible states, ≡ : maximal autobisimulation on M. Then M' = M /≡ is the minimal automata bisimilar to to M and is unique up to isomorphism.
- pf: N: any NFA bisimilar to M w/t inaccessible states.

N' = N/  $\equiv_{N}$  where  $\equiv_{N}$  is the maximal autobisimulation on N.

- => M' bisimiar to M bisimilar to N bisimiar to N'.
- Let  $\approx$  be any bisimulation b/t M' and N'.
- Under  $\approx$ , every state p of M' has at least on state q of N' with p  $\approx$  q and every state q of N' has exactly one state p of M' with p  $\approx$  q.
- O/w p  $\approx$  q  $\approx$  <sup>-1</sup> p'  $\neq$  p =>  $\approx \approx$  <sup>-1</sup> is a non-identity autobisimulation on M, a contradiciton!.
- Hence  $\approx$  is 1-1. Similarly,  $\approx^{-1}$  is 1-1 =>  $\approx$  is 1-1 and onto and hence is an isomorphism b/t M' and N'. Q.E.D.

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#### **Algorithm for computing maximal bisimulation**

 a generalization of that of Lec 14 for finding equivalent states of DFAs

The algorithm: Find maximal bisimulation of two NFAs M and N

- **1.** write down a table of all pairs (p,q) of states, initially
- I unmarked

Π

- □ 2. mark (p,q) if  $p \in F_M$  and  $q \notin F_N$  or vice versa.
- 3. repeat until no more change occur: if (p,q) is unmarked and if for some a ∈ Σ, either
   ∃p' ∈ δ<sub>M</sub>(p,a) s.t. ∀ q' ∈ δ<sub>N</sub>(q,a), (p',q') is marked, or
   ∃q' ∈ δ<sub>N</sub>(q,a) s.t. ∀ p' ∈ δ<sub>M</sub>(p,a), (p',q') is marked, then mark (p,q).
  - $\frac{1}{4} = \frac{1}{2} \frac{$
- **4.** define  $p \equiv q$  iff (p,q) are never marked.
- □ 5. If  $S_M \equiv S_N \Rightarrow \equiv$  is the maximal bisimulation

o/w M and N has no bisimulation.