Formal Language and Automata Theory

# PART III Chapter 2

## Other Equivalent models of Standard Turing machine

Transparency No. P3C2-1

#### **Outline**

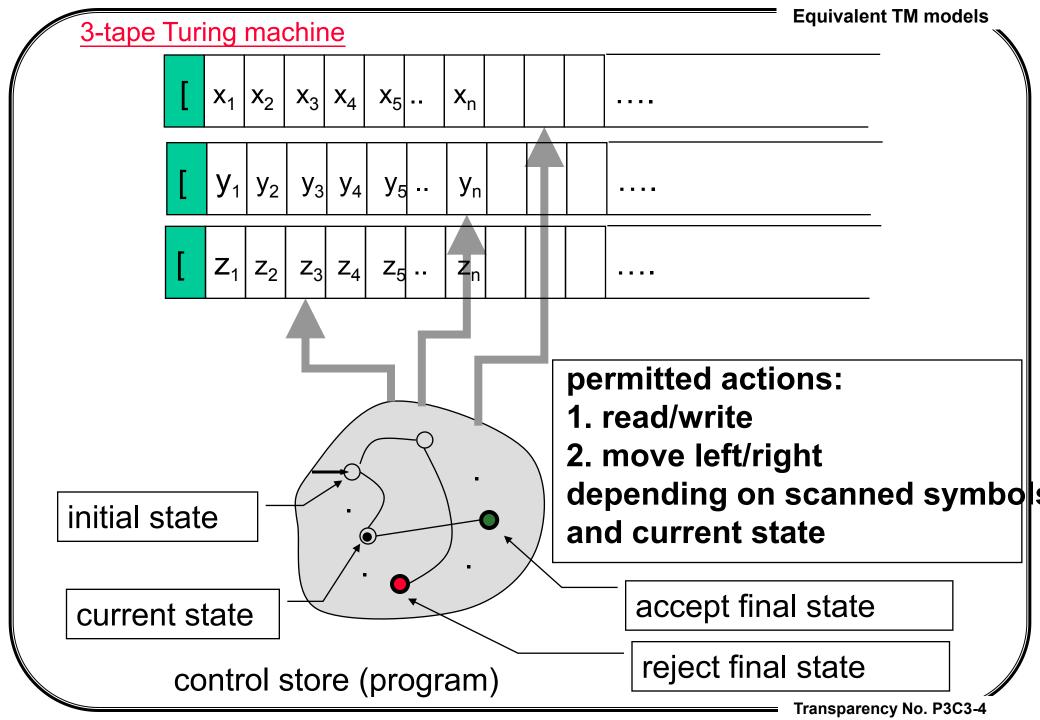
- Equivalent models of Turing machine
  - I multi-tape TMs
  - 2 2way TMs
  - Image: multi-head TMs
  - **D** 2Dimensional TMs
  - I 2Stack machine
  - Counter machine
  - I Nondeterministic TMs
- Universal TM

multi-tape TM

## A k-tape ( k≥ 1) Turing machine is a 9-tuple

 $M = (Q, \Sigma, \Gamma, [, \Box, \delta, s, t, r) \text{ where }$ 

- Q : is a finite set of (program) states like labels in traditional programs
- $\Box \Gamma$  : tape alphabet
- $\Box \ \Sigma \subset \Gamma : input alphabet$
- $\Box \ [ \in \Gamma \Sigma \ : \textbf{The left end-of-tape mark}$
- $\Box \ \Box \in \Gamma \Sigma \text{ is the blank tape symbol}$
- $\square s \in Q$ : initial state
- $\Box \ t \in Q : the \ accept \ state$
- $\Box$  r  $\neq$  t  $\in$  Q: the reject state and
- □ δ: (Q {t,r})x Γ<sup>k</sup> --> Qx(Γ∪{L,R})<sup>k</sup> is a *total* transition function with the restriction: if δ(p, x<sub>1</sub>,...,x<sub>k</sub>) =(q, y<sub>1</sub>,...,y<sub>k</sub>) then if x<sub>j</sub> = [ ==> y<sub>j</sub> = [ or R. i.e., the TM cannot overwrite other symbol at left-end and never move off the tape to the left.



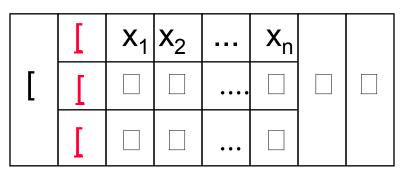
**Equivalence of STMs and Multi-tape TMs** 

- M = (Q,Σ,Γ, [, □, δ, s, t,r ): a k-tape TMs
- ==> M can be simulated by a k-track STM

 $\Box \ \Gamma' = \Gamma \ U \ (\Gamma U \underline{\Gamma})^k \text{ where } \underline{\Gamma} = \{ \underline{a} \mid a \in \Gamma \}.$ 

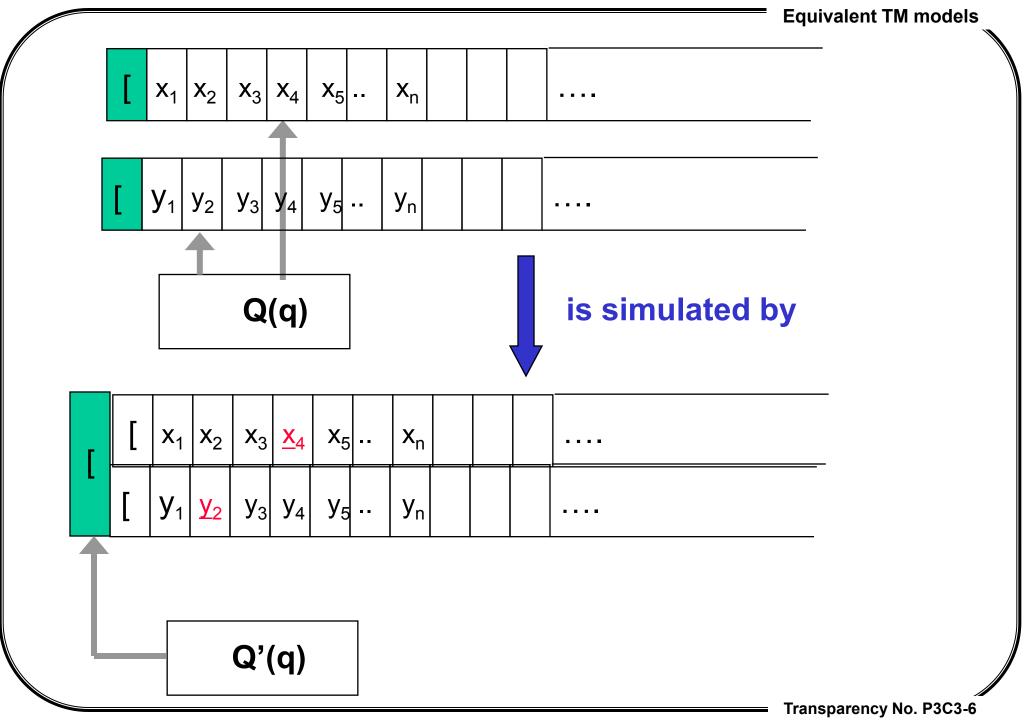
 M' = init 

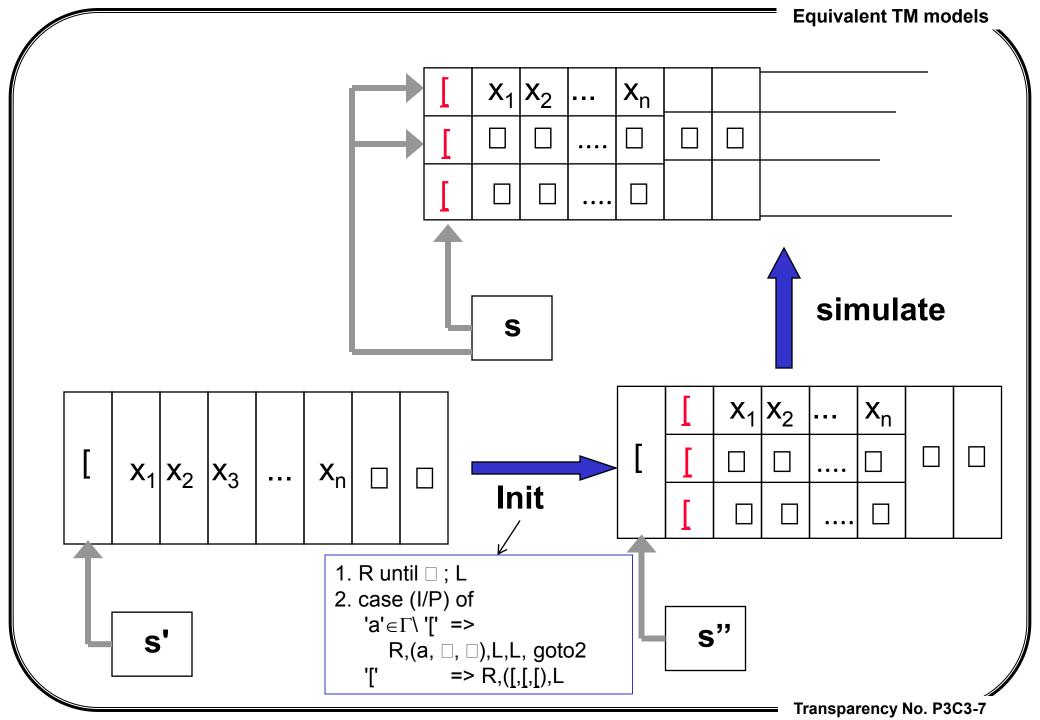
 M'' where the task of Init is to convert initial input tape content : [x<sub>1</sub>x<sub>2</sub>...x<sub>n</sub> □<sup>∞</sup> into



and then go to the initial state s" of M" to start simulation of M.

 Each state q of M is simulated by a submachine M<sub>q</sub> of M" as follows:





Equivalent TM models

#### How does M" simulate M ?

- let  $(q, x^1, p_1, y^1),...,(q, x^m, p_m, y^m)$  be the set of all instructions (starting from state q) having the form  $\delta(q, x^i) = (p_i, y^i)$ , where  $x^i, y^i = (x^i_1, x^i_2, ..., x^i_k), (y^i_1, y^i_2, ..., y^i_k)$ . Then  $M_q$  behaves as follows:
- 0. [terminate?] if q = t then accept; if q = r then reject.
- 1. [determine what symbols are scanned by tape heads] for j = 1 to k do { // determine symbol scanned by jth head move right until the symbol at the jth track is underlined, remember which symbol is underlined (say <u>a</u><sub>j</sub>) in the control store and then move to left end.}
- 2. [perform action:δ(q, a<sub>1</sub>,...,a<sub>k</sub>) = (p, b<sub>1</sub>,...,b<sub>k</sub>) for each tape head]
  for j= 1 to k do{ // perform b<sub>j</sub> at the jth tape

case1.  $b_j = b \in \Gamma = >Move R$  until  $\underline{a}_j$ ; replace symbol  $\underline{a}_j$  at jth track by  $\underline{b}_j$ 

case2.  $b_j = R ==>Move R$  unitl  $\underline{a}_j$ , replace  $\underline{a}_j$  by  $a_j$  and underline its right neighbor symbol.

case3: b<sub>j</sub> = L. Similar to case 2. Finally move to left end. }

[3. [go to next state] go to start state of M<sub>p</sub> to simulate M at state p.

#### **Running time analysis**

• How many steps of M" are needed to simulate one step of execution of M ?

Sol:

- Assume the running time of M on input x of length n is f(n).
   I step 1 requires time : O(k x 2 f(n))
  - □ Step 2 requires time: O( k x 2 f(n))
  - □ Step 3 requires O(1) time
  - $\square$  => Each step requires time O(4k x f(n)).
  - $\Box$  and total time required to simulate M = f(n) x O(4k f(n))

 $\Box = O(f(n)^2).$ 

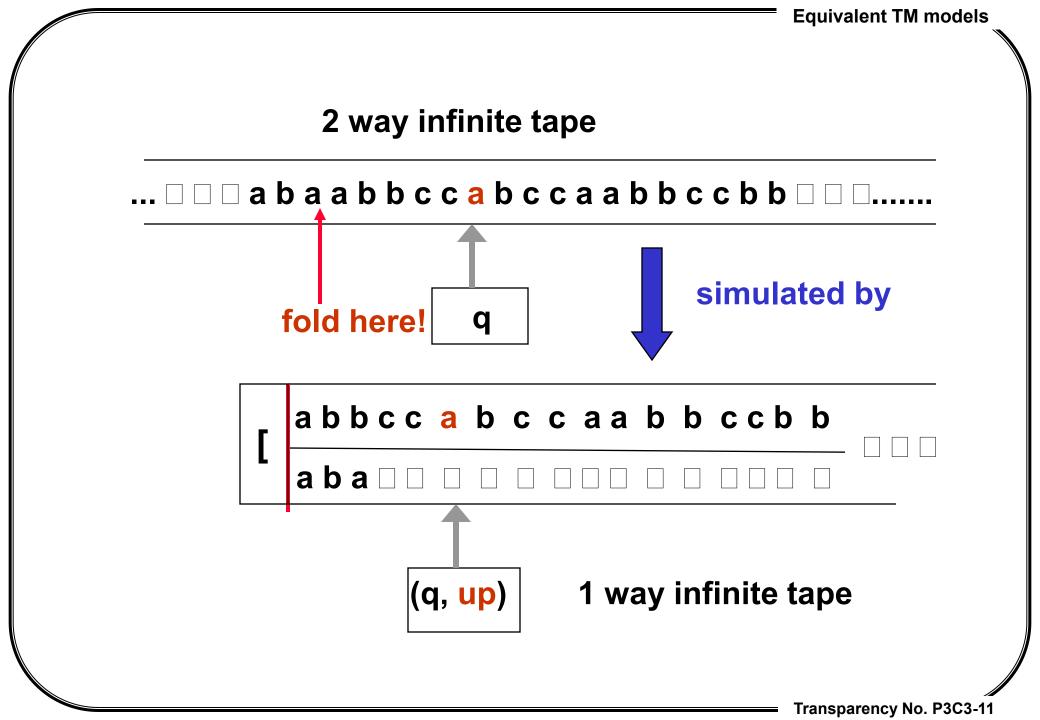
**Turing machine with 2 way infinite tape** 

• A 2way single tape Turing machine is a 8-tuple

 $M = (Q, \Sigma, \Gamma, [, \Box, \delta, s, t, r) \text{ where }$ 

- Q : is a finite set of (program) states like labels in traditional programs
- $\Box \Gamma$  : tape alphabet
- $\Box \Sigma \subset \Gamma$  : input alphabet
- $\Box \ [ \in \Gamma \Sigma \ : \text{The left end-of-tape mark (no longer needed!!)}$
- $\Box \ \Box \in \Gamma \Sigma \text{ is the blank tape symbol}$
- $\square s \in Q$ : initial state
- $\Box$  t  $\in$  Q : the accept state
- $\Box$  r  $\neq$  t  $\in$  Q: the reject state and

 $\Box$  δ: (Q - {t,r})x  $\Gamma$  --> Qx( $\Gamma \cup$  {L,R}) is a *total* transition function.



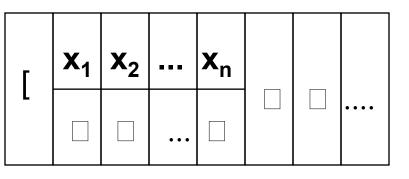
**Equivalence of STMs and 2way TMs** 

- M = (Q,Σ,Γ, □ , δ, s, t,r): a 2way TM
- ==> M can be simulated by a 2-track STM:

**M'** = (**Q**',Σ,Γ', [, 
$$\Box$$
 , δ', s, t',r') where

$$\Box Q' = Q U (Qx{u,d}) U {...},$$

- $\Box \Gamma' = \Gamma \cup \Gamma^2 \cup \{ [ \},$
- □ M' = init M'' where the task of lnit is to convert initial input tape content : □<sup>∞</sup> x<sub>1</sub>x<sub>2</sub>...x<sub>n</sub>□<sup>∞</sup> into



and then go to the initial state s" of M" to start simulation of M.

• Each instruction of M is simulated by one or two instructions of M" as follows:

How to simulate 2way tape TM using 1way tape TM Let  $\delta(q,x) = (p, y)$  be an instruction of M then: case 1:  $\mathbf{y} \in \Gamma$  $\Box => \delta''((q,u), (x,z)) = ((p,u), (y,z))$  and  $\delta''((q,d),(z,x)) = ((p,d), (z,y))$  for all  $z \in \Gamma$ case2 : y = R.  $\Box => \delta''((q,u), (x,z)) = ((p,u),R) \text{ and } \delta''((q,d),(z,x)) = ((p,d), L)$ Π for all  $z \in \Gamma$ . case 3: y = L.  $\Box => \delta''((q,u), (x,z)) = ((p,u),L) \text{ and } \delta'((q,d),(z,x)) = ((p,d), R)$ **for all**  $z \in \Gamma$ **.** +additional conditions □ 1. left end => change direction: δ''((q,u), [) = ((q,d),R), δ''((q,d),[)=((q,u),R) for all q∉ {t,r}.  $\Box 2. \Box \rightarrow (\Box, \Box): \delta''((q, \alpha), \Box) = ((q, \alpha), (\Box, \Box))$ Transparency No. P3C3-13

#### **Properties of r.e. languages**

- Theorem: If both L and ~L are r.e., then L ( and ~L) is recursive.
- Pf: Suppose L=L(M<sub>1</sub>) and ~L = L(M<sub>2</sub>) for two STM M<sub>1</sub> and M<sub>2</sub>. Now construct a new 2 -tape TM M as follows: on input: x
- 1. copy x from tape 1 to tape 2. // COPY
- 2. Repeat { execute 1 step of  $M_1$  on tape 1; execute 1 step of  $M_2$  on tape 2 } until either  $M_1$  halts or  $M_2$  halts.
- 3. If  $M_1$  accepts or  $M_2$  rejects then [M] accept
  - If  $M_2$  accepts or  $M_1$  rejects then [M] reject. // 2+3 =  $M_1 \parallel M_2$  defined later

So if  $x \in L \Rightarrow M_1$  accept x or  $M_2$  rejects  $\Rightarrow M$  accept if  $x \notin L \Rightarrow M_2$  accept or  $M_1$  rejects  $\Rightarrow M$  reject. Hence M is total and L =L(M) and L is recursive. Interleaved execution of two TMs

- $M_1 = (Q_1, \Sigma, \Gamma, [, \Box, \delta_1, s_1, t_1, r_1); M_2 = (Q_2, \Sigma, \Gamma, [, \Box, \delta_2, s_2, t_2, r_2)$  where  $\delta_1: Q_1 \times \Gamma \dashrightarrow Q_1 \times (\Gamma \cup \{L, R\}); \delta_2: Q_2 \times \Gamma \dashrightarrow Q_2 \times \Gamma \cup (\{L, R\});$
- $=> M =_{def} M_1 || M_2 = (Q_1 x Q_2 x \{1,2\} U \{T,R\}, \Sigma,\Gamma, [, \Box, \delta,s,T,R] where$
- 1.  $\delta$ : Q<sub>1</sub>xQ<sub>2</sub>x{1,2}x  $\Gamma^2 --> (Q_1 x Q_2 x \{1,2\}) x (\Gamma U\{L,R\})^2$  is given by
  - $\Box$  Let  $\delta_1(q_1,a) = (p_1,a')$  and  $\delta_2(q_2,b) = (p_2,b')$  then
  - □  $\delta$  ((q<sub>1</sub>,q<sub>2</sub>,1),(a,b)) = ((p<sub>1</sub>,q<sub>2</sub>,2), a',b) and
  - $\Box \ \delta ((q_1,q_2,2),(a,b)) = ((q_1,p_2,1), a,b')$
- **2.** M has initial state  $s = (s_1, s_2, 1)$ .
- 3. M has an accepting state T from states  $\{(t_1,q_2,1) \mid q_2 \in Q_2\} \cup \{(q_1,r_2,2) \mid q_1 \in Q_1\}$  and a rejecting state R from states  $\{(q_1,t_2,2) \mid q_1 \in Q_1\} \cup \{(r_1,q_2,2) \mid q_2 \in Q_2\}$ .

 $\Box \ \delta((t_1,q_2,1),(a,b)) \rightarrow (\mathsf{T},(a,b)), \ \delta((q_1,r_2,2),(a,b)) \rightarrow (\mathsf{T},(a,b))$ 

 $\Box \ \delta((\mathbf{r}_1,\mathbf{q}_2,\mathbf{1}),(\mathbf{a},\mathbf{b})) \rightarrow (\mathsf{R},(\mathbf{a},\mathbf{b})), \ \delta((\mathbf{q}_1,\mathbf{t}_2,\mathbf{2}),(\mathbf{a},\mathbf{b})) \rightarrow (\mathsf{R},(\mathbf{a},\mathbf{b}))$ 

4. By suitably designating halting states of M as accept or reject states, we can construct machine accepting languages that are boolean combination of  $L(M_1)$  and  $L(M_2)$ . Ex: T = { $(t_1,q_2,1) | q_2 \in Q_2$ } and R = { $(q_1,t_2,2) | q_1 \in Q_1$ } in previous example.

A programming Language for TMs and Universal TM

- Proposed Computation models
  - I TMs (DTM, NDTM, RATM, multi-tape, 2way, multi Dimensional, multi-head, and their combinations,...)
  - **Grammars**
  - □ u-recursive function,
  - $\Box \lambda$ -calculus
  - Counter Machine
  - I 2STACK machine
  - □ Post system,...
- All can be shown to have the same computation power
- Church-Turing Thesis:
  - A language or function is computable iff it is Turingcomputable (i.e., can be computed by a total TM).
  - An algorithm is one that can be carried out by a total TM.

"M(w)

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UTM

(general-purpose

computer)

#### **Universal Turing machine**

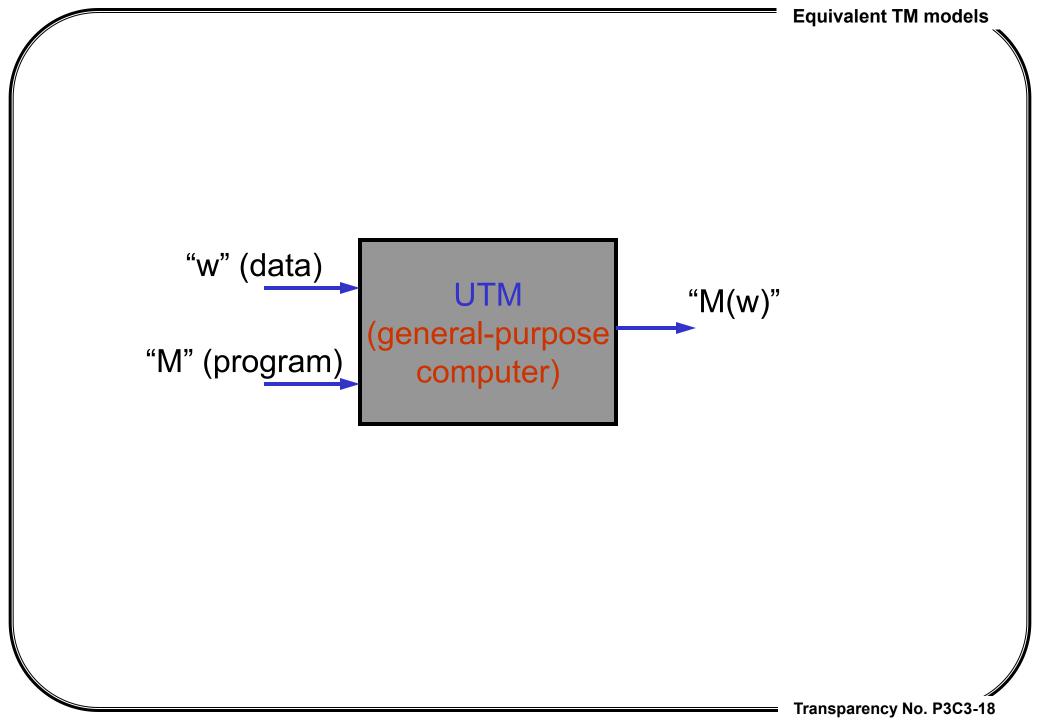
- TMs considered so far are dedicated in the sense that each of their control store is hard-wired to solve one particular problem
  - □ e.g., TMs for +, x, copy,...
- Problem: Is there any TM that can compute what all TMs can compute ?

"M" (program)

- Yes!! we call it universal TM (UTM), which is nothing special but a general-purpose TM.
- UTM is a TM simulator, i.e.,

given a spec "M" of a TM M "w" (data) and a desc "w" of an input w,

UTM can simulate the execution of M on w.



**TL : a programming language for TMs** 

- M = (Q,Σ,Γ, [, □, δ, s, t,r ) : a STM.
- TM M can be described by a string (i.e., a TL-program) as follows:
- Tape symbols of M are encoded by strings from a{0,1}\*
   | blank(|) ==> a0 | left-end [ ==> a1
   R ==> a00 | L ==> a01
  - □ others => a10,a11,a000,a001,....
- State symbols of M are encoded by strings from q{0,1}\*
  - □ start state s ==> q0;
  - □ accept state t ==> q1, reject state r ==> q00;

□ other states => q01,q10,q11, q111,...

For b ∈ ΓU{R,L} U Q, we use e(b) ∈ a{0,1}\* U q{0,1}\* to denote the encoding of b.

#### An example

- M = (Q,Σ,Γ, [, □, δ, s, t,r) where
  Q = {s, g, r,t}, Γ = { [, a, □} and
  δ = { (s, a, g, □), (s, □, t, □), (s, [, s, R),
  (g, a, s, a), (g, U, s, R), (g, [, r, R) }
  - Suppose state and tape symbols are represented in TL as follows:
  - □ s => q0 ; t => q1; r => q00; g => q01
  - □ □ => a0; [ => a1; R => a00; L => a01;

□ a => a10

- **I** Hence a string: '[aa $\Box$ a'  $\in \Gamma$ \* can be encoded in TL as
- □ e([aa□a) = "[aa□a" =<sub>def</sub> a1a10a10a0a10

#### **Describe a TM by TL**

Let δ = { α<sub>j</sub> | α<sub>j</sub> = (p<sub>j</sub>, a<sub>j</sub>, q<sub>j</sub>, b<sub>j</sub>); j = 1 ...n} be the set of all instructions. ==>

M can be encoded in TL as a string

$$=_{def} e(\alpha_1), e(\alpha_2), e(\alpha_3), \dots, e(\alpha_n)$$

 $\Box$  where for j = 1 to n,

Π

e(α<sub>j</sub>) =<sub>def</sub> '(' e(p<sub>j</sub>) ',' e(a<sub>j</sub>) ',' e(q<sub>j</sub>) ',' e(b<sub>j</sub>) ')'
 ex: for the previous example: we have
 δ = { (s, a, g, □), (s, □,t, □), (s, [, s, R),
 (g, a, s, a), (g, U, s, R), (g, [, r, R) } hence
 e(M) = "M" = '(q0,a10,q01,a0),(q0,a0,q1,a0),...
 ...,(q01,a1,q00,a00)'

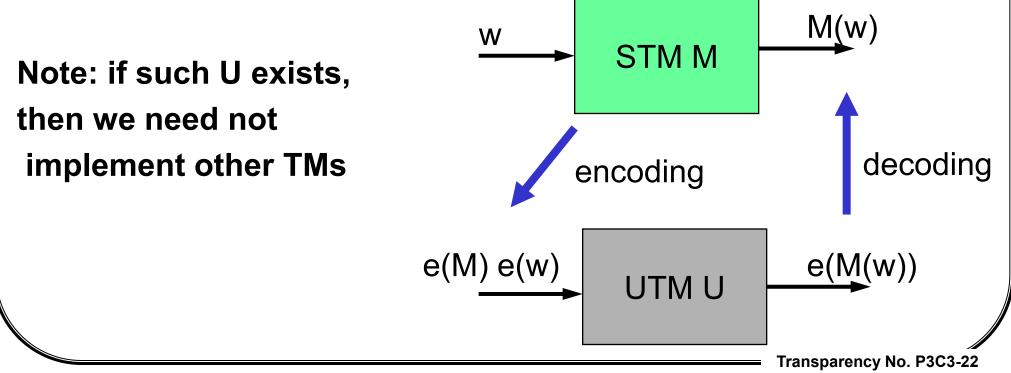
#### TL and UTM U

• Let  $\Sigma_0 = \{q,a,0,1,(,), \} ==>$  the set of TL-programs, TL =<sub>def</sub> { x | x = e(M) for some STM M }

is the set of all string representations of STMs.

and  $\Gamma_0 = \Sigma_0 \cup \{ \Box, [\} \text{ is the tape alphabet of UTM U.} \}$ 

- Note: Not only encoding TMs, TL can also encode data.
- Relationship between TM, input and UTM:



#### The design of UTM U

- We use U(e(M)e(w)) to denote the result of UTM U on executing input e(M)e(w).
- U will be shown to have the property: for all machine M and input w,
  - M halts on input w with result M(w) iff
  - U halts on input e(M)e(w) with result e(M(w))
  - i.e., e(M(w)) = U(e(M)e(w)).

## • U can be designed as a 3-tape TM.

- Ist tape : first store input "M" "w"; and then used as the [only working] tape of M and finally store the output.
- **2nd tape:** store the program "M" (instruction table)
- **3rd tape:** store the current state of M (program counter)

## • U behaves as follows:

## 1. [Initially:]

- □ 1. copy "M" from 1st tape to 2nd tape.
- **2.** shift "w" at the 1st tape down to the left-end
- □ 3. place 'q0' at the 3rd tape (PC).
- 2. [simulation loop:] // between each simulation step, 2,3rd tape heads point to left-end; and 1st tape head points to the a pos of the encoded version of the symbol which the simulated machine M would be scanning.

Each step of M is simulated by U as follows:

- 2.1 [halt or not] If PC =e(t) or e(r) ==> acept or reject, respectively.
- 2.2 [Instruction fetch] U scans its 2nd tape until it finds a tuple  $(q\alpha,a\beta,q\gamma,a\lambda)$  s.t. (1)  $q\alpha$  matches PC and (2)  $a\beta$  matches 1st tape's encoded scanned symbol

### **2.3 [Instruction execution] :**

- **1. change PC to**  $q\gamma$ **.**
- $\Box$  2. perform action suggested by  $a\lambda$ .
- I if  $a\lambda = e(b)$  with  $b \in \Gamma ==>$  write  $a\lambda$  at the 1st tape head pos.
- Image: if  $a\lambda = e(L)$ ==> U move 1st tape head to the previousImage: a position.
- if aλ = e(R) ==> U move 1st tape head to the next a
   position or append a0<sup>J</sup> to the 1st tape in case such an 'a' cannot be found.

**Theorem:** M(w) accepts, rejects or does not halt iff U("M""w") accepts, rejects or does not halt, respectively.