



# Algorithms

Topological Sort  
Minimum Spanning Trees

# Review: Breadth-First Search

```
BFS(G, s) {
    initialize vertices;
    Q = {s};           // Q is a queue (duh); initialize to s
    while (Q not empty) {
        u = RemoveTop(Q);
        for each v ∈ u->adj {
            if (v->color == WHITE)
                v->color = GREY;
                v->d = u->d + 1;   v->d represents depth in tree
                v->p = u;         v->p represents parent in tree
                Enqueue(Q, v);
        }
        u->color = BLACK;
    }
}
```

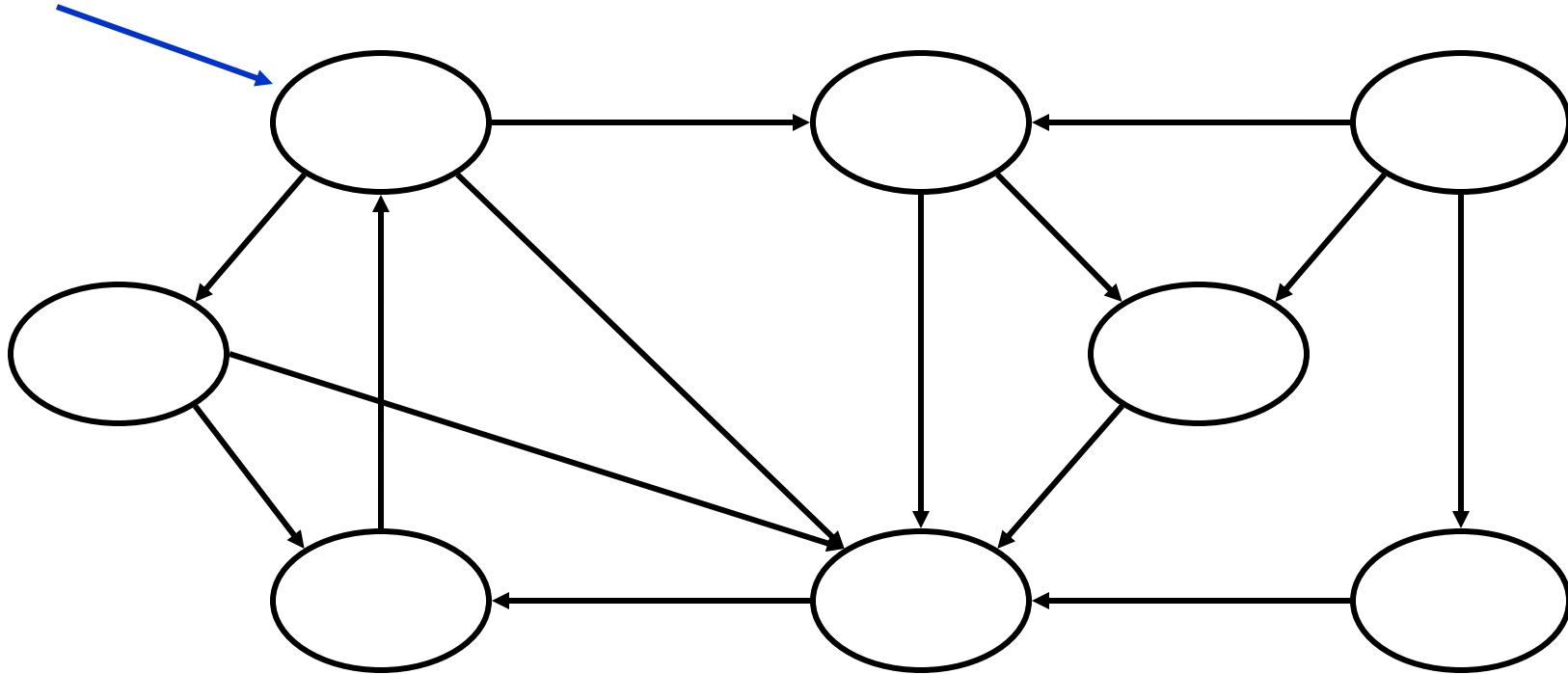
# Review: DFS Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = YELLOW;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

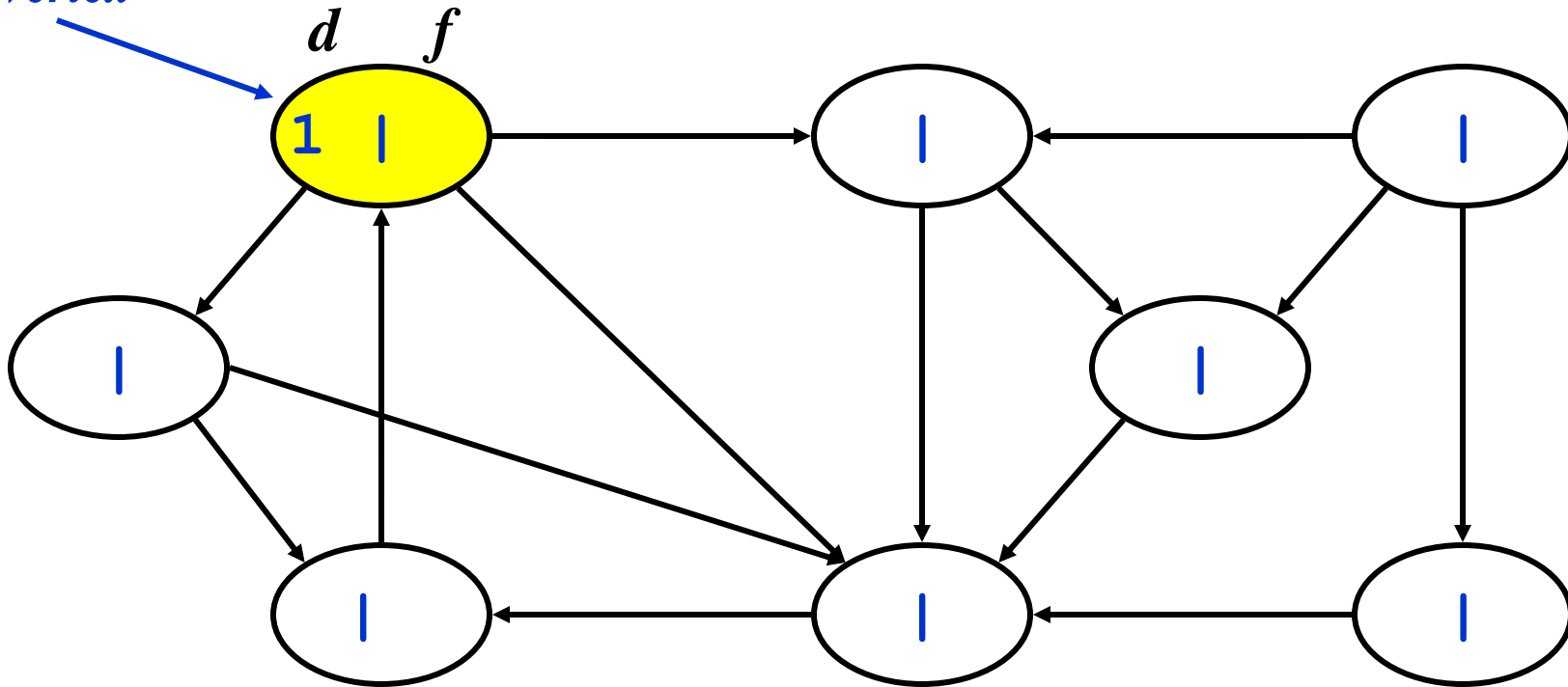
# Review: DFS Example

*source  
vertex*



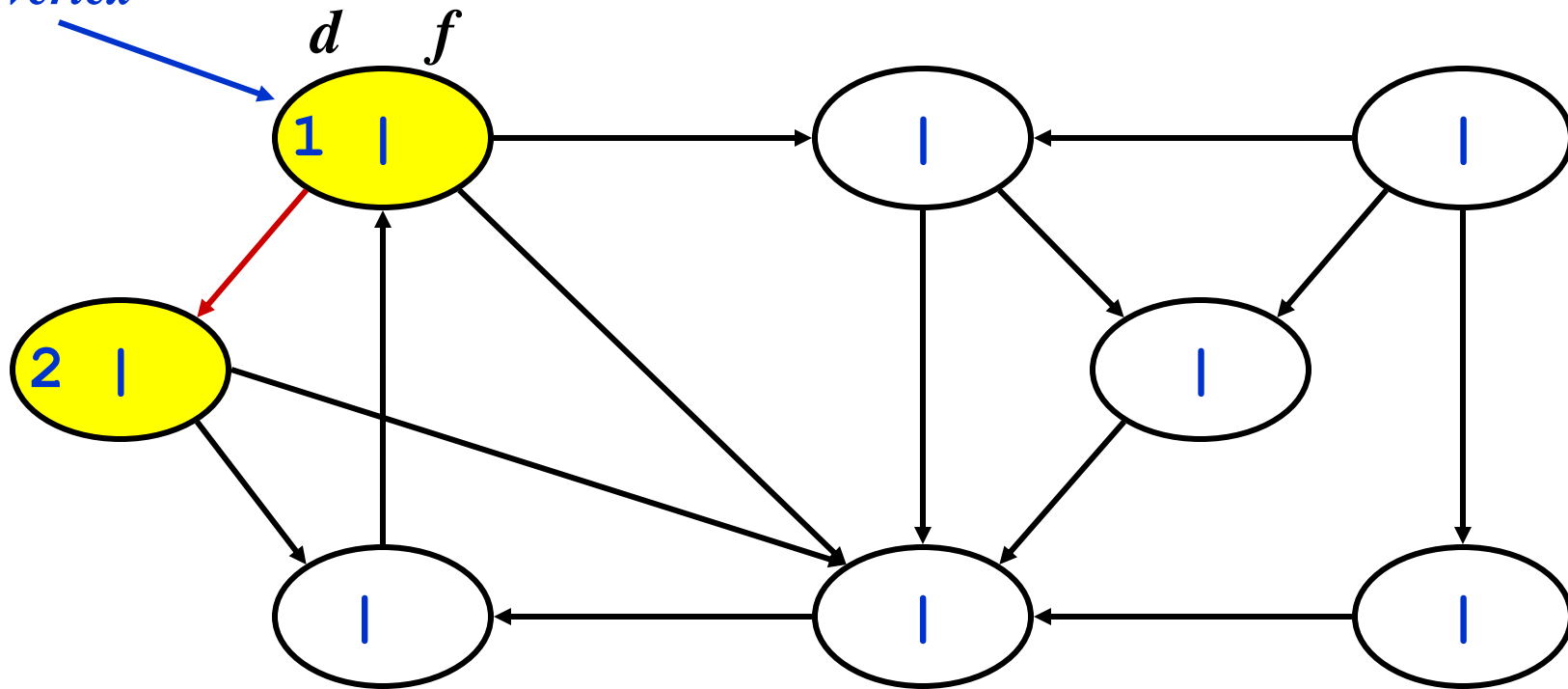
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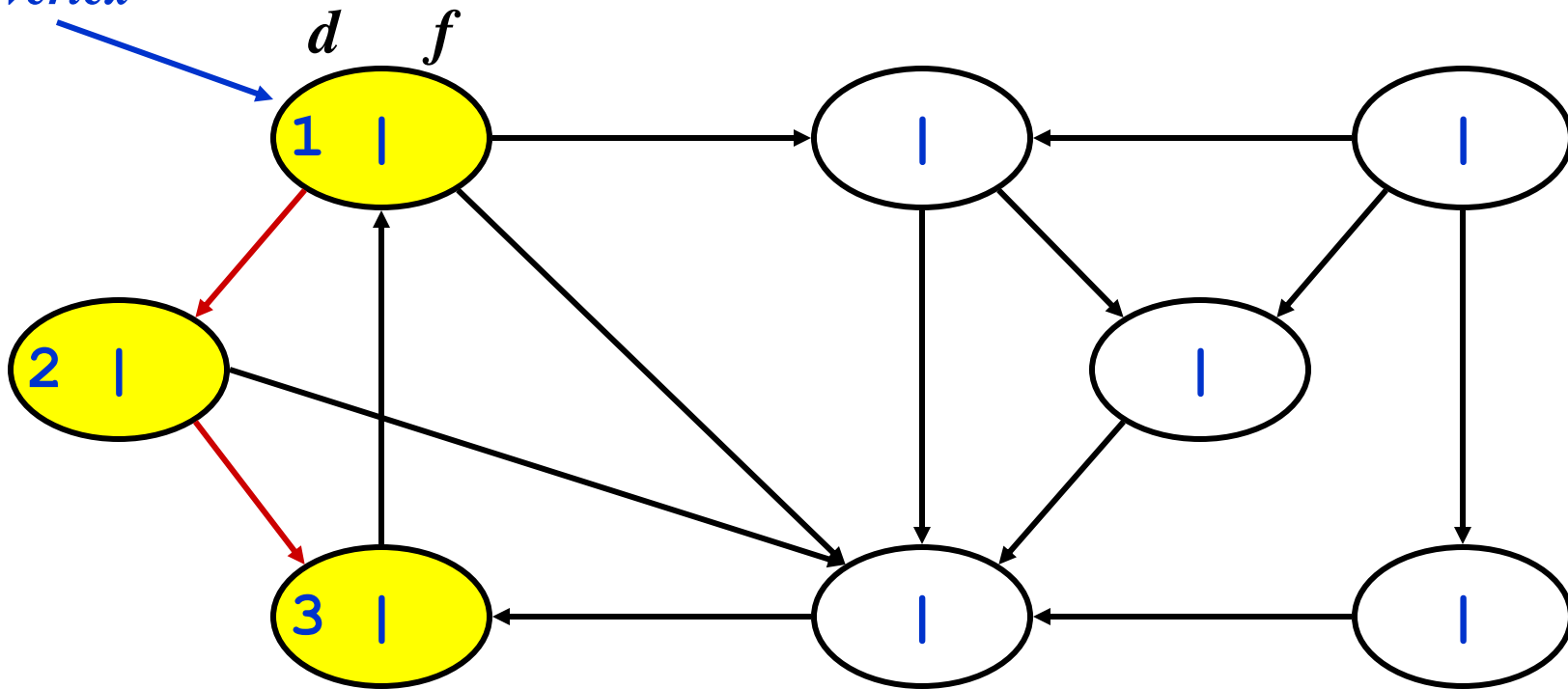
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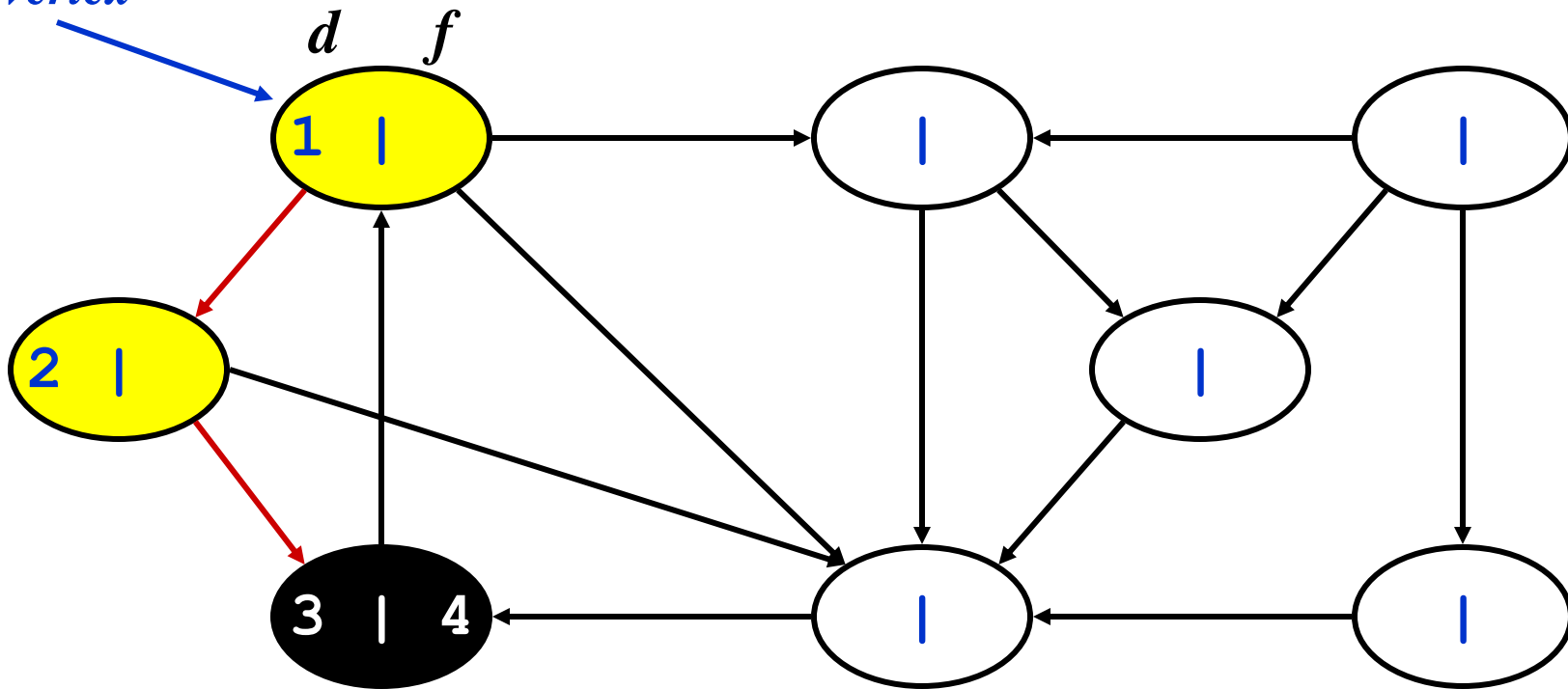
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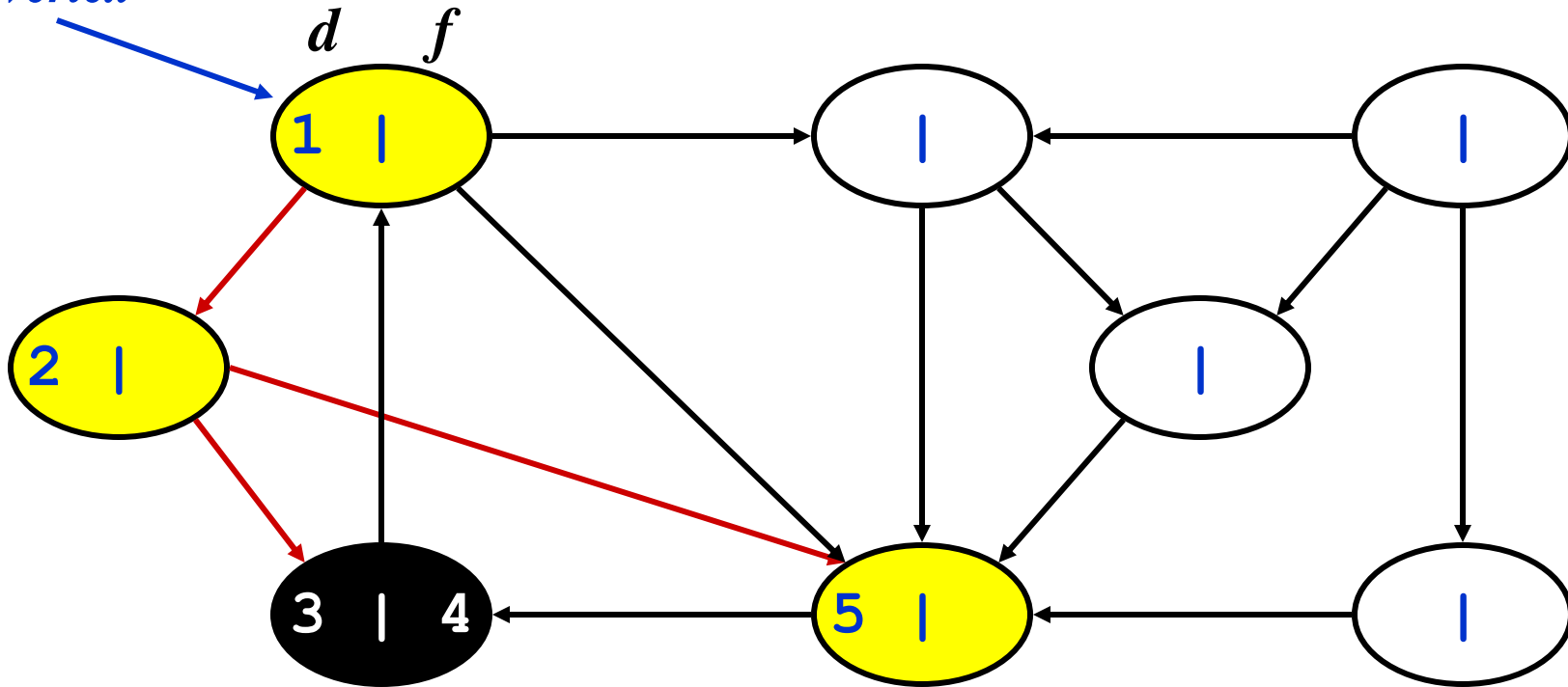
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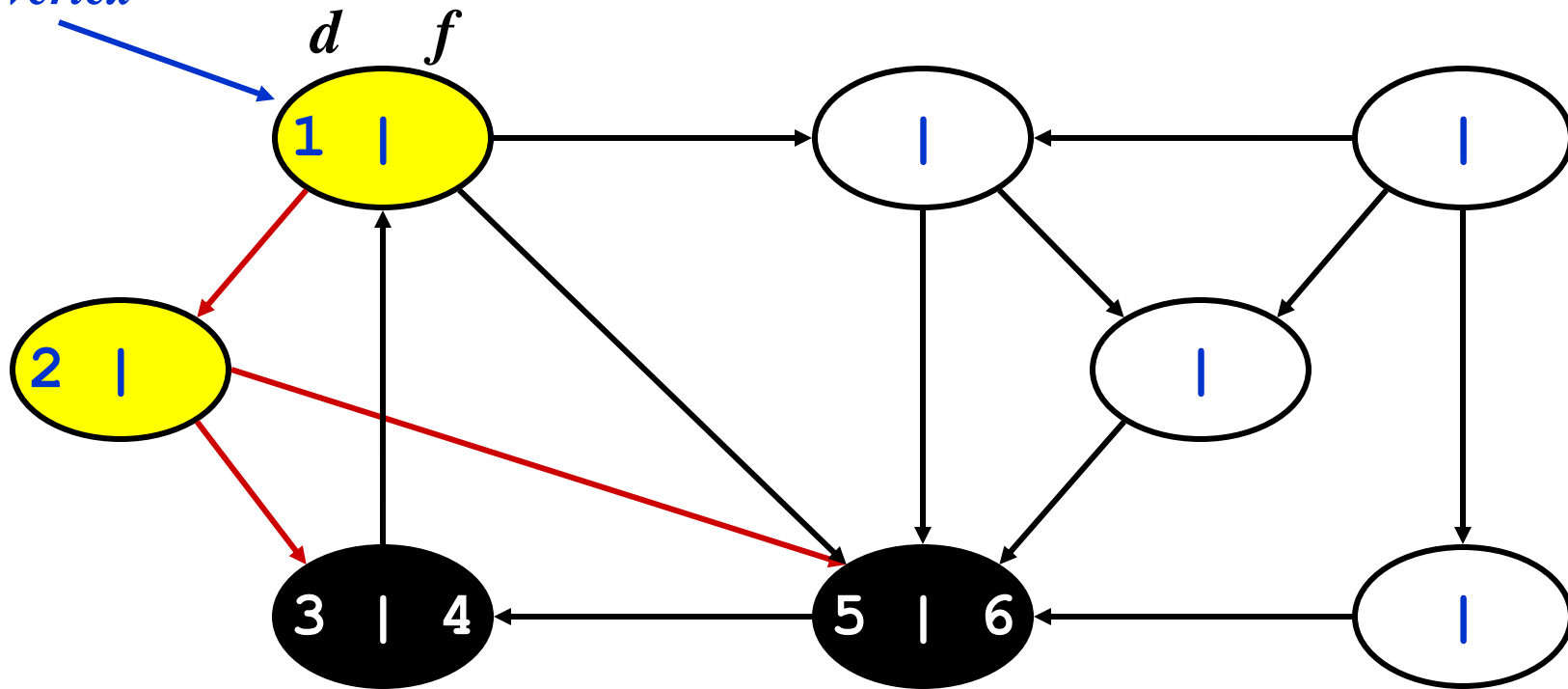
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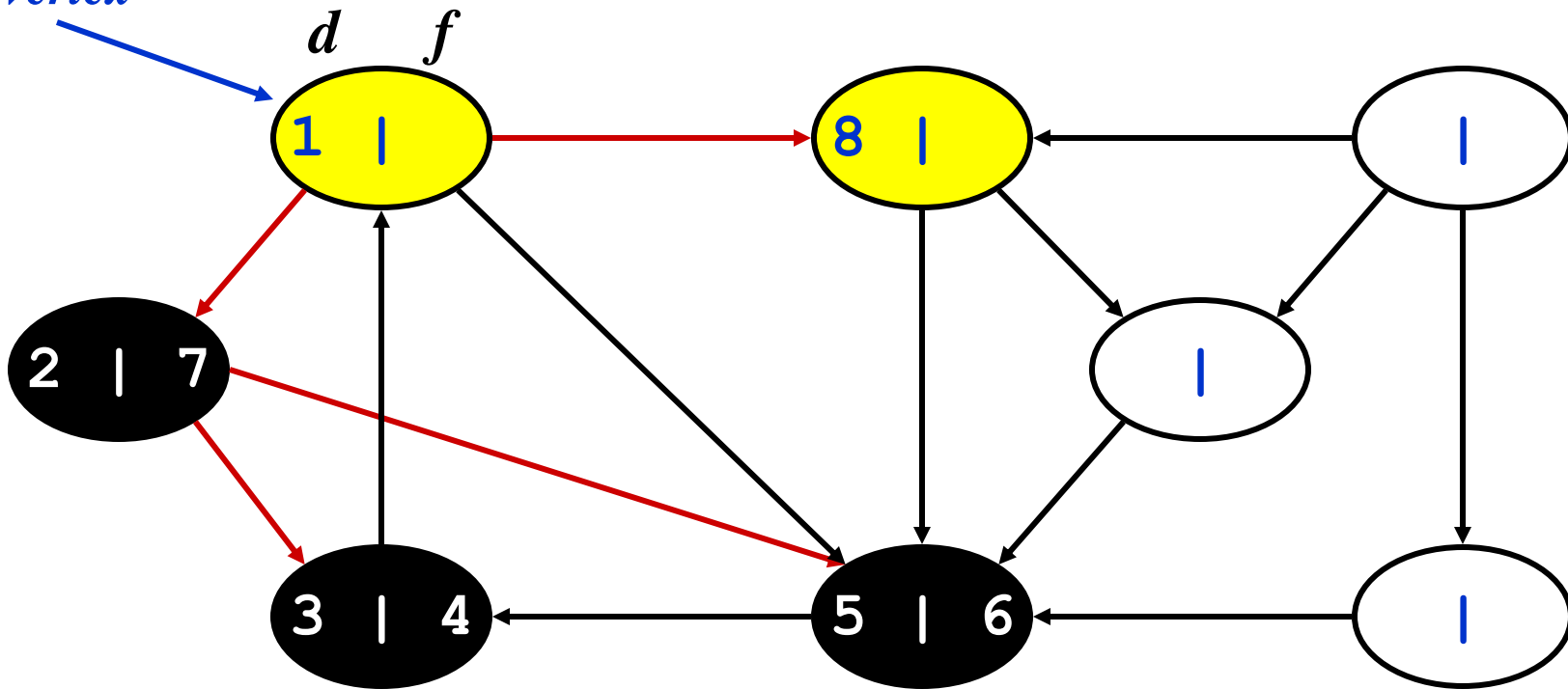
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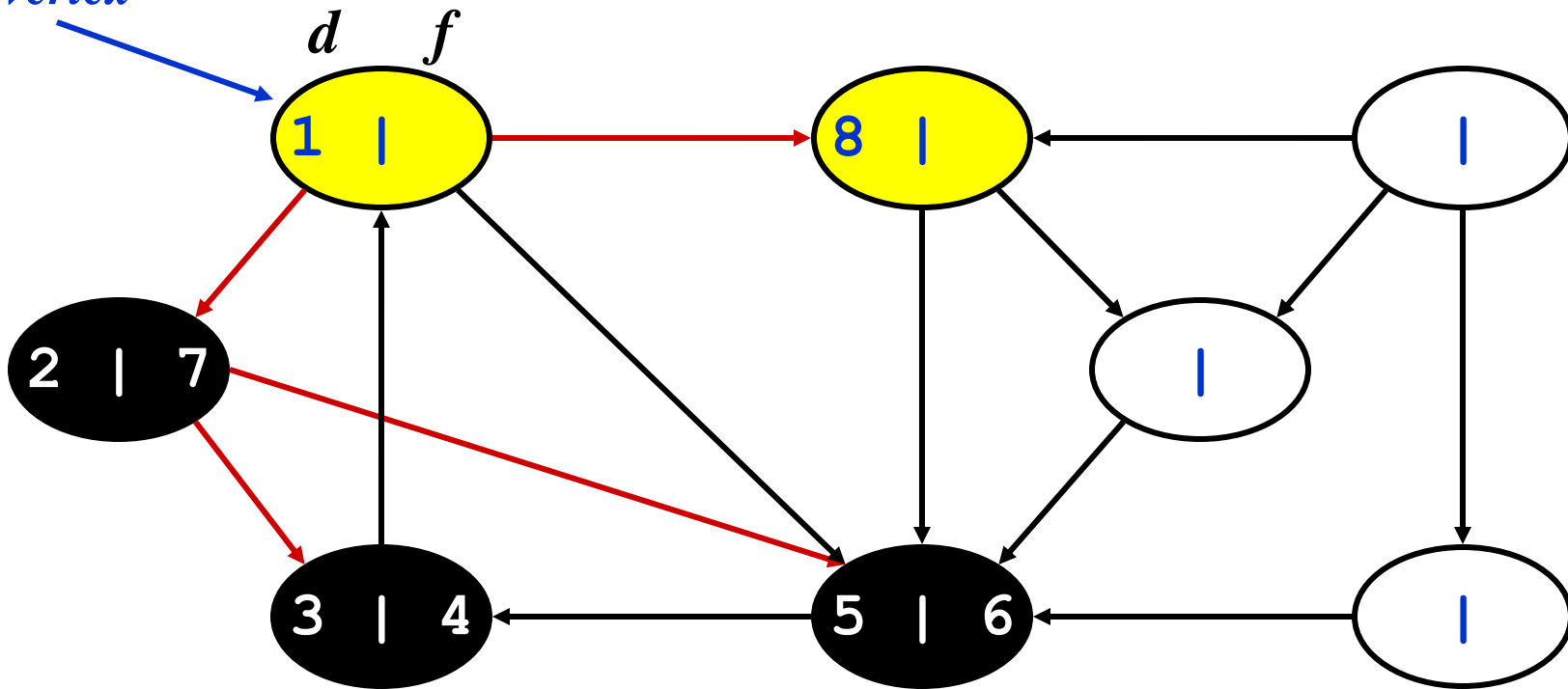
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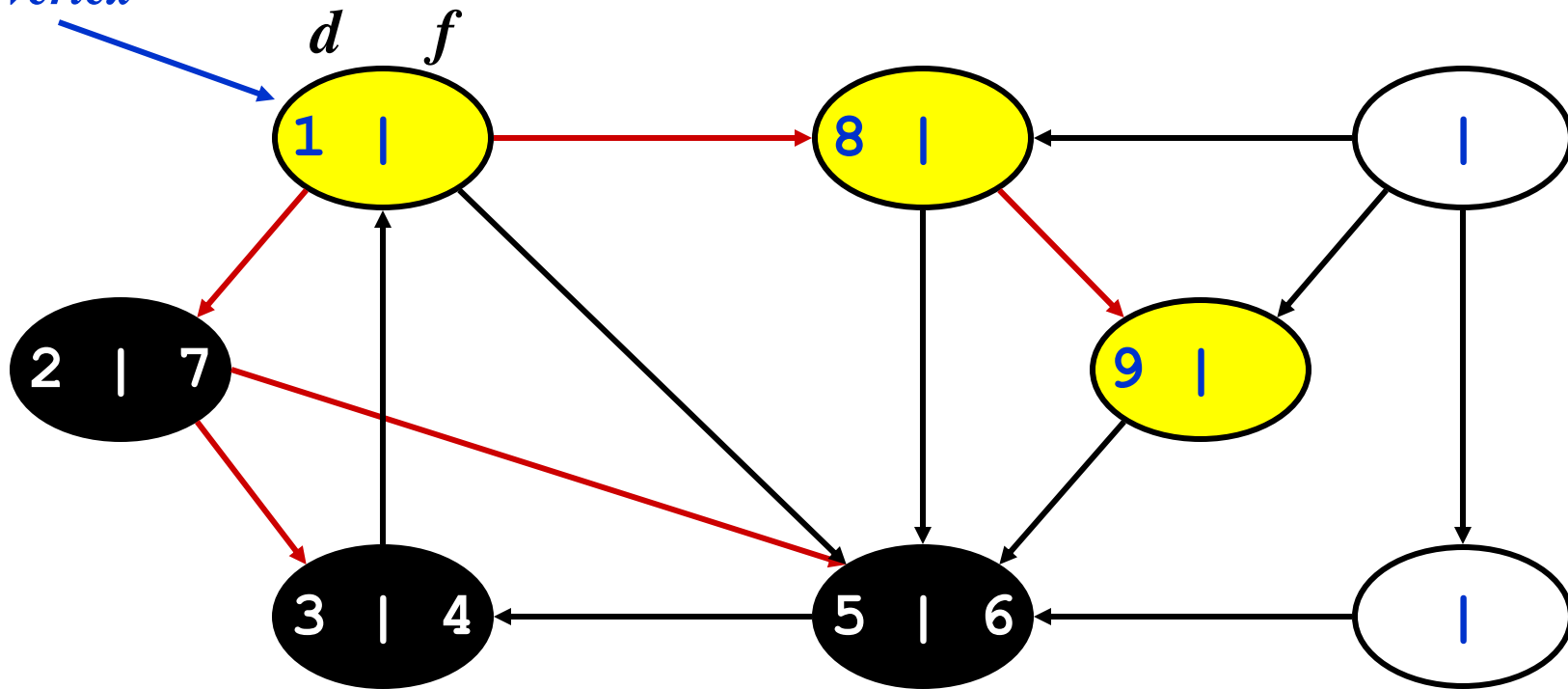
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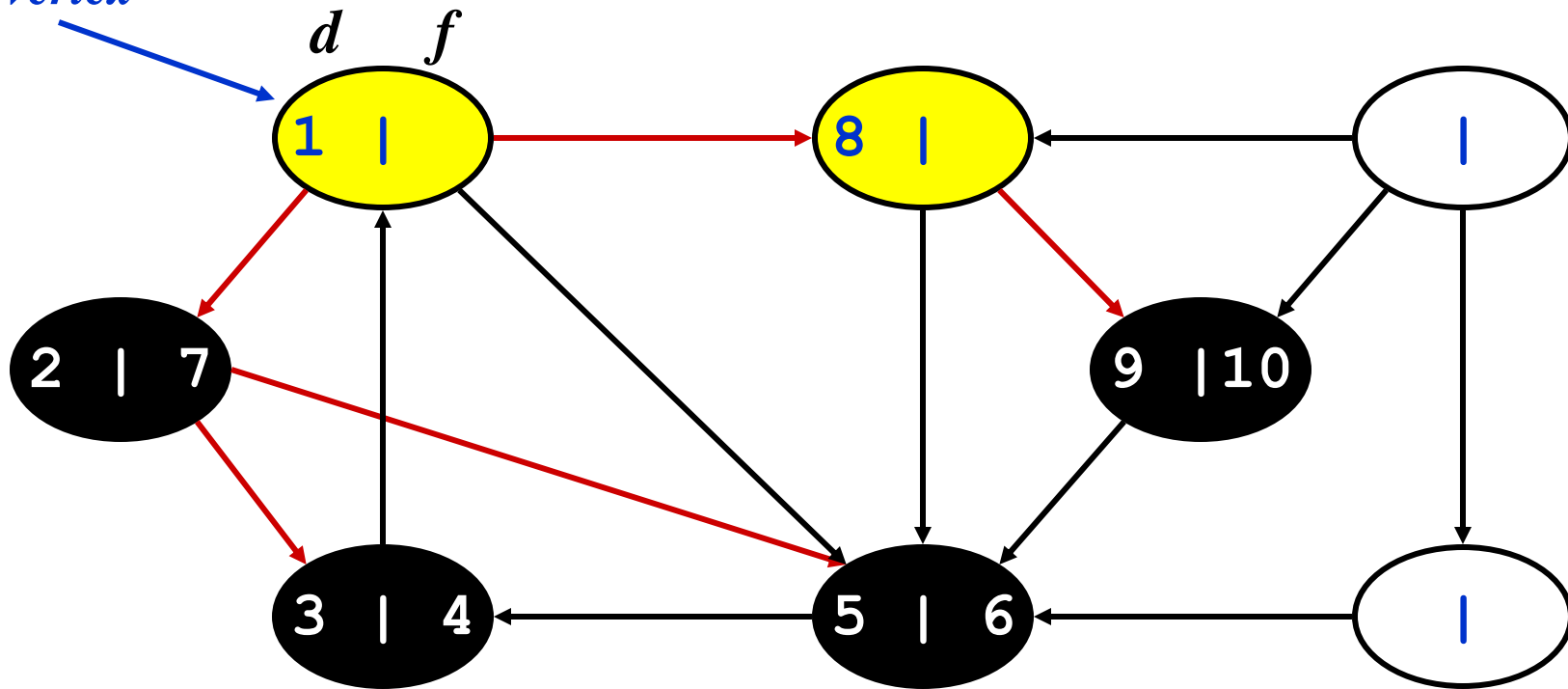
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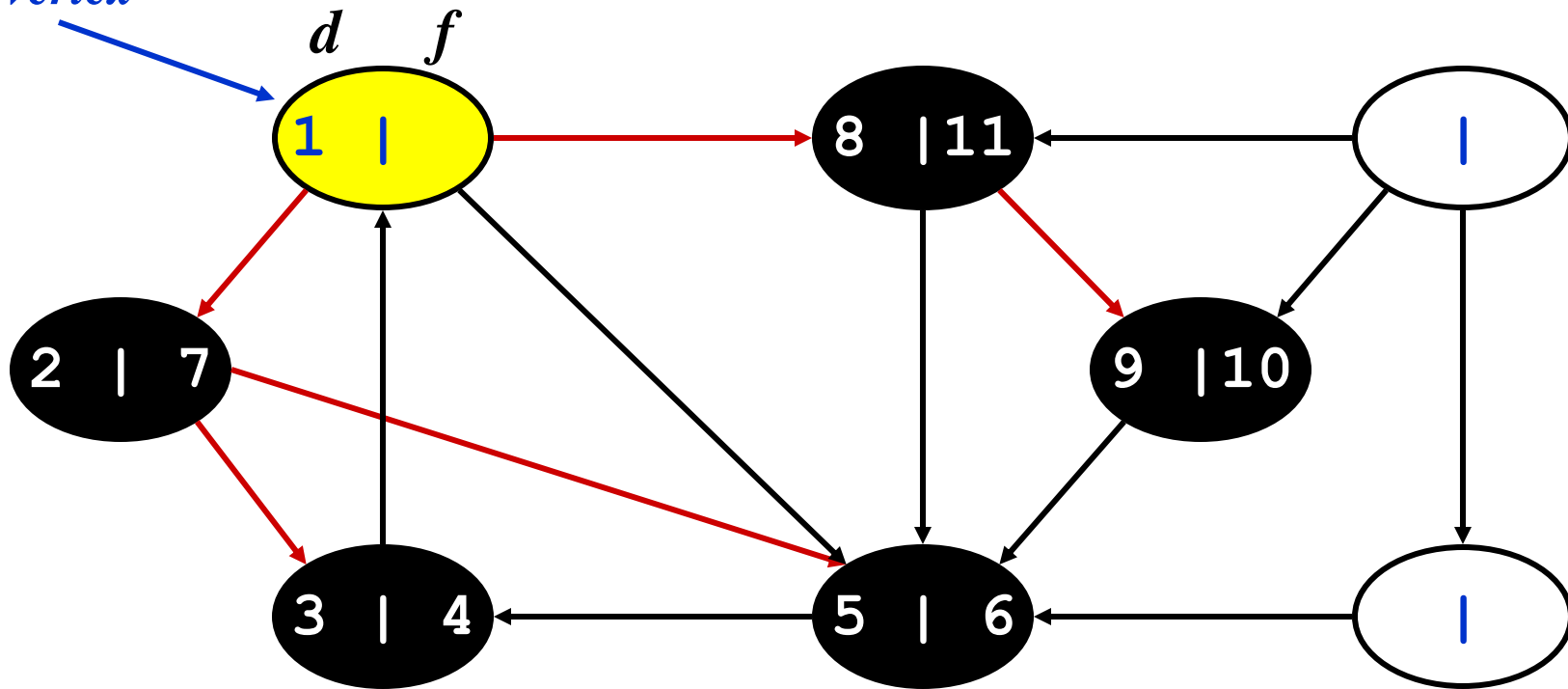
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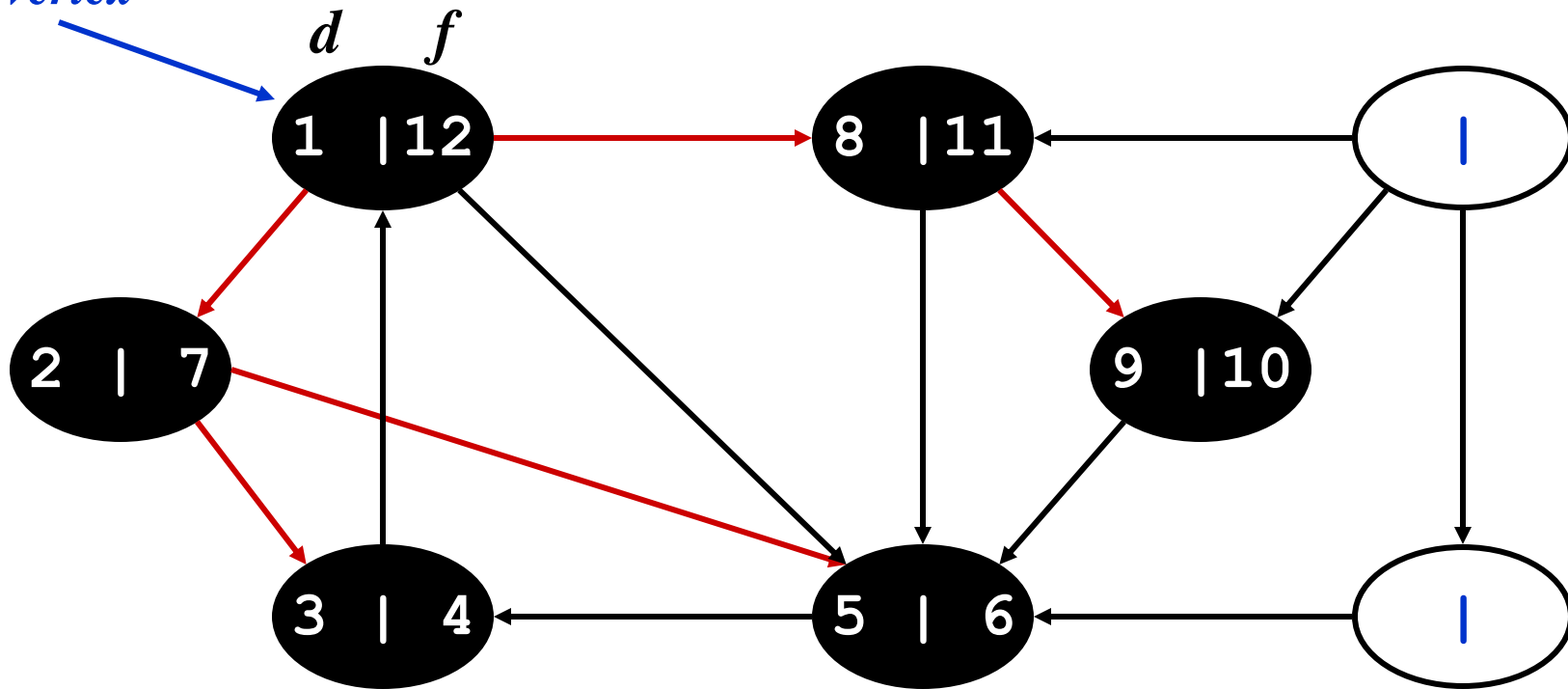
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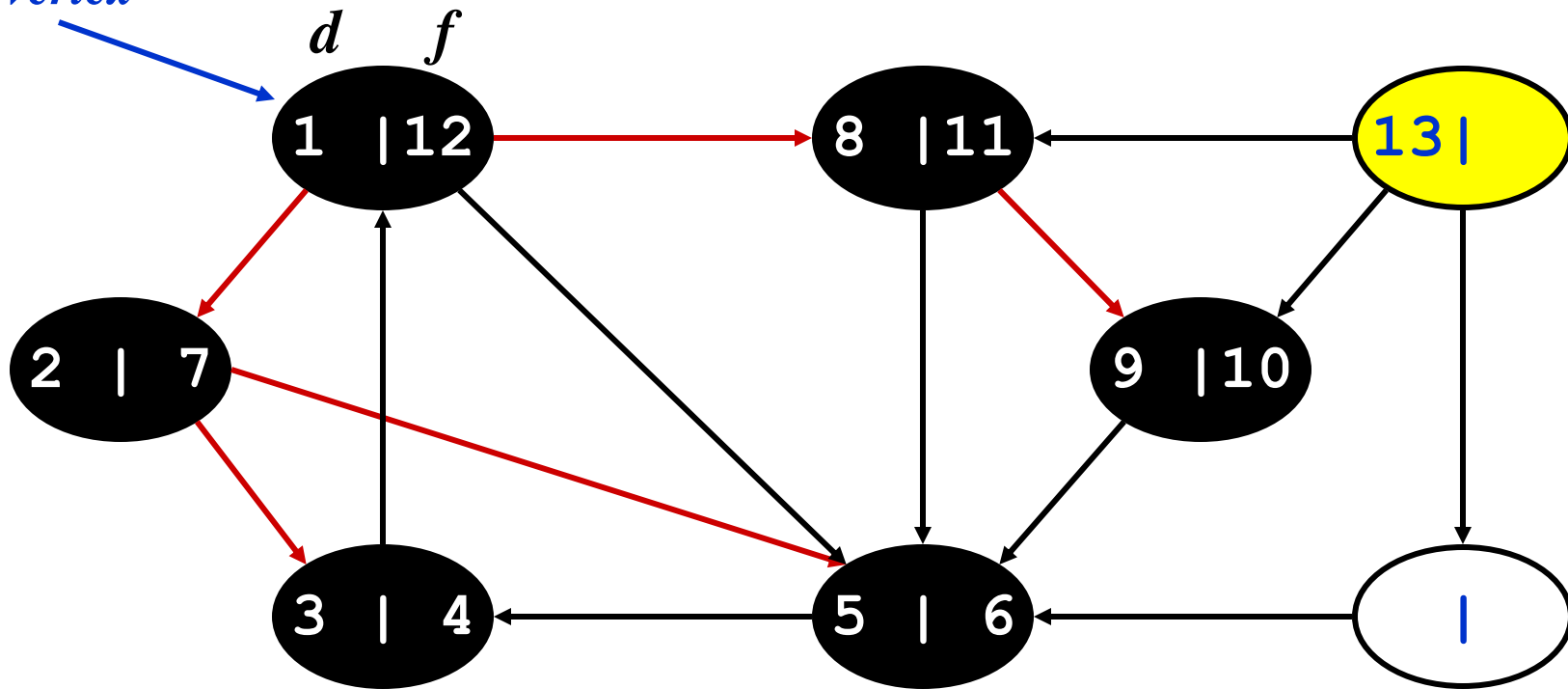
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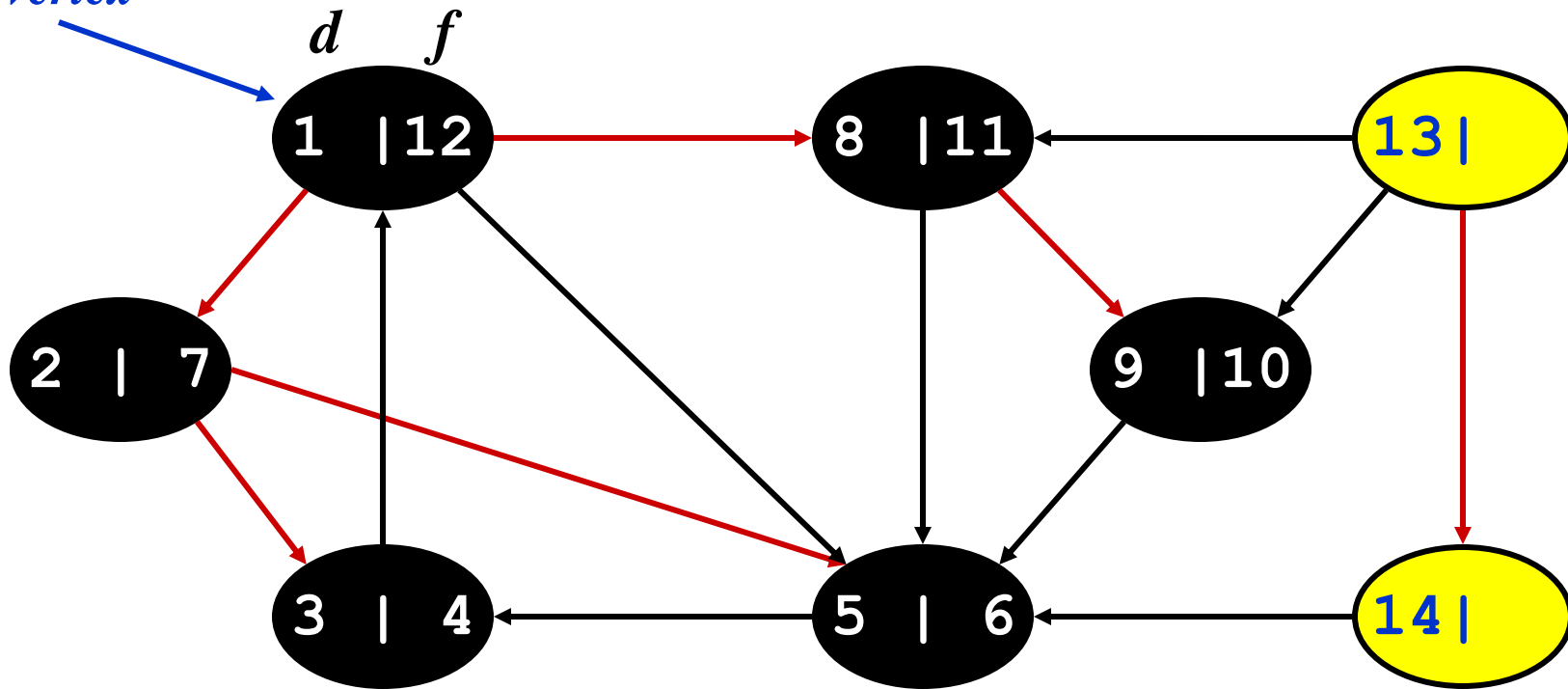
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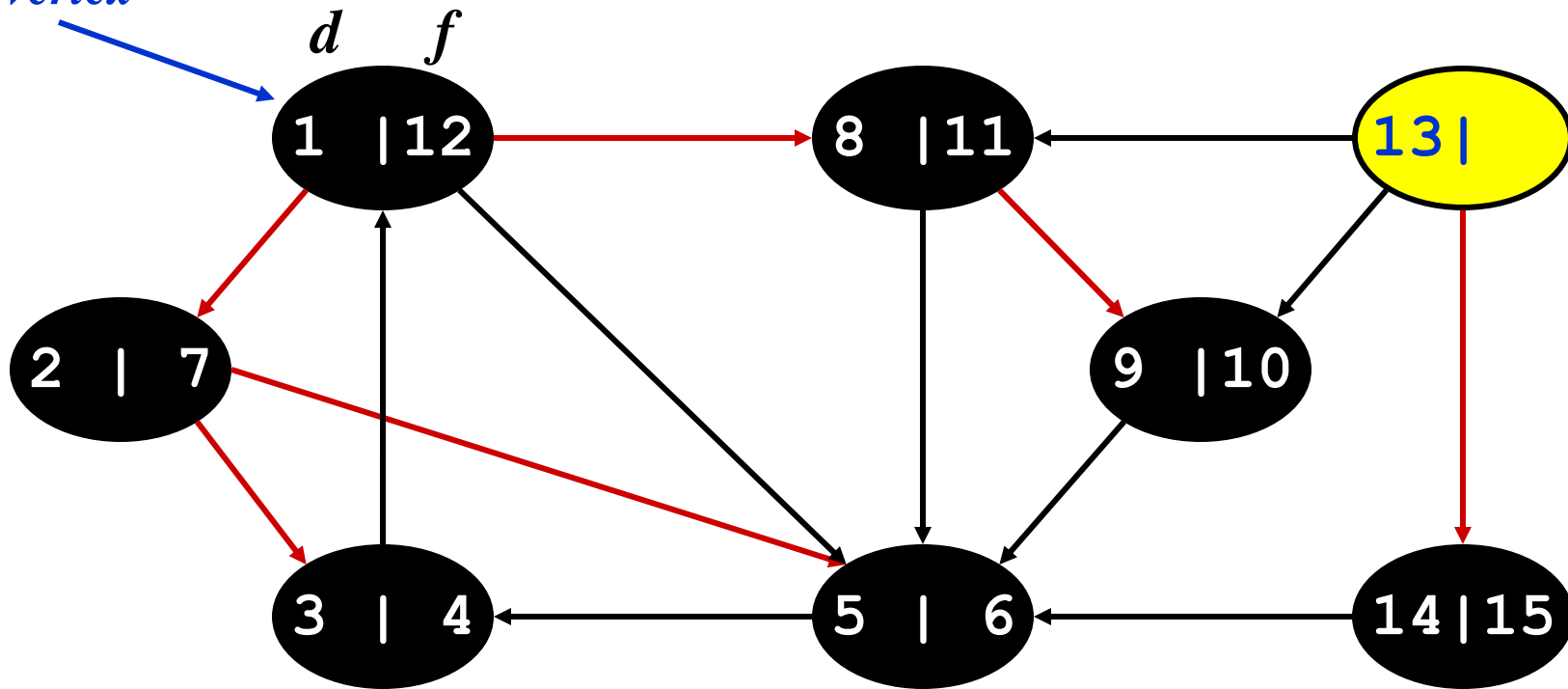
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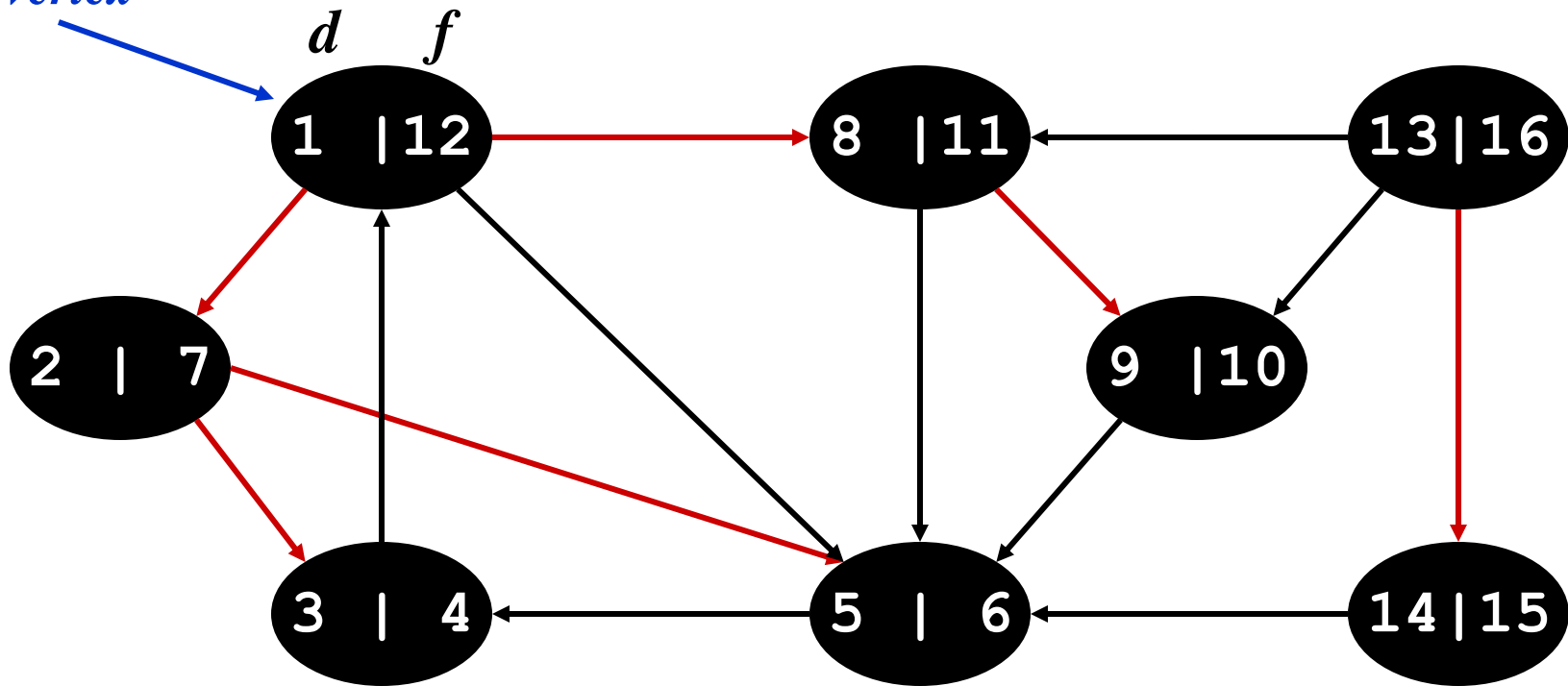
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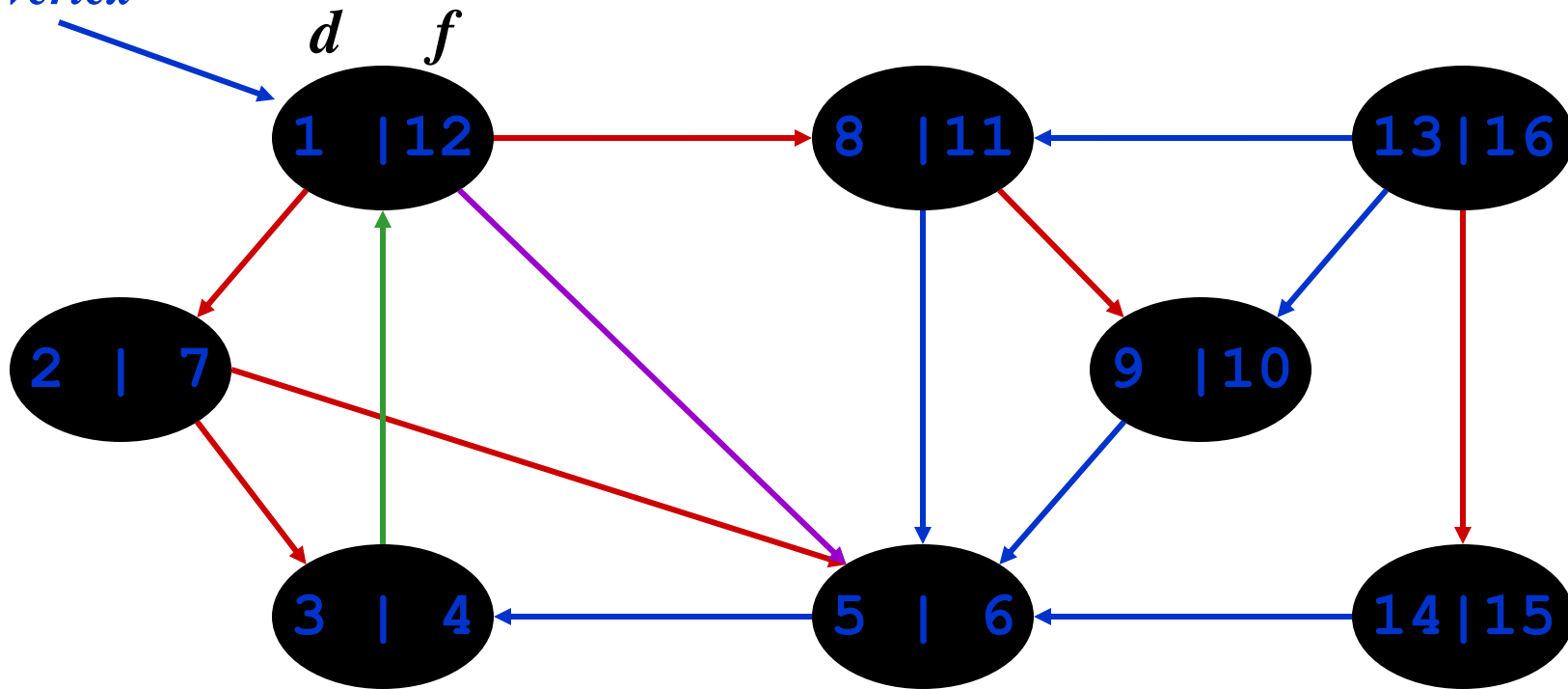
# Review: Kinds Of Edges

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- Thm: If  $G$  is undirected, a DFS produces only tree and back edges
- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
- Thus, can run DFS to find cycles

# Review: Kinds of Edges

*source  
vertex*



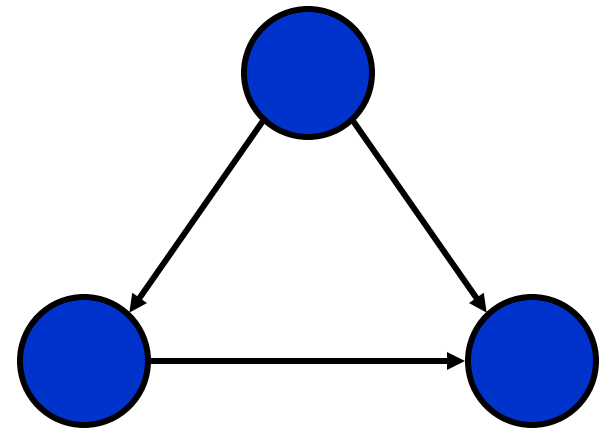
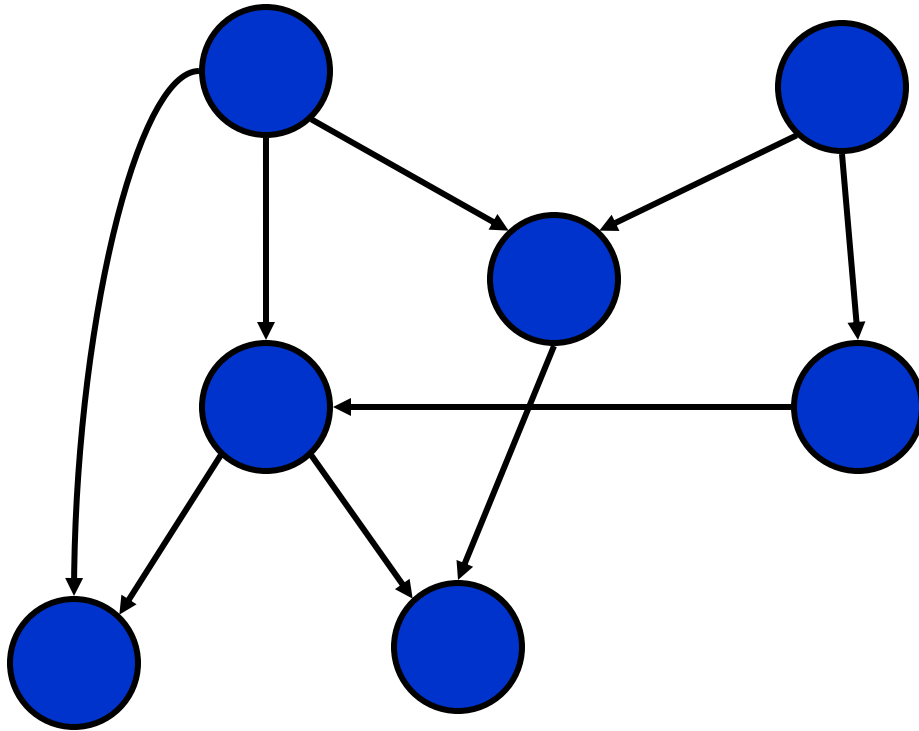
*Tree edges*   *Back edges*   *Forward edges*   *Cross edges*

# DFS And Cycles

- Running time:  $O(V+E)$
- We can actually determine if cycles exist in  $O(V)$  time:
  - In an undirected acyclic forest,  $|E| \leq |V| - 1$
  - So count the edges: if ever see  $|V|$  distinct edges, must have seen a back edge along the way
  - *Why not just test if  $|E| < |V|$  and answer the question in constant time?*

# Directed Acyclic Graphs

- A *directed acyclic graph* or *DAG* is a directed graph with no directed cycles:





# DFS and DAGs

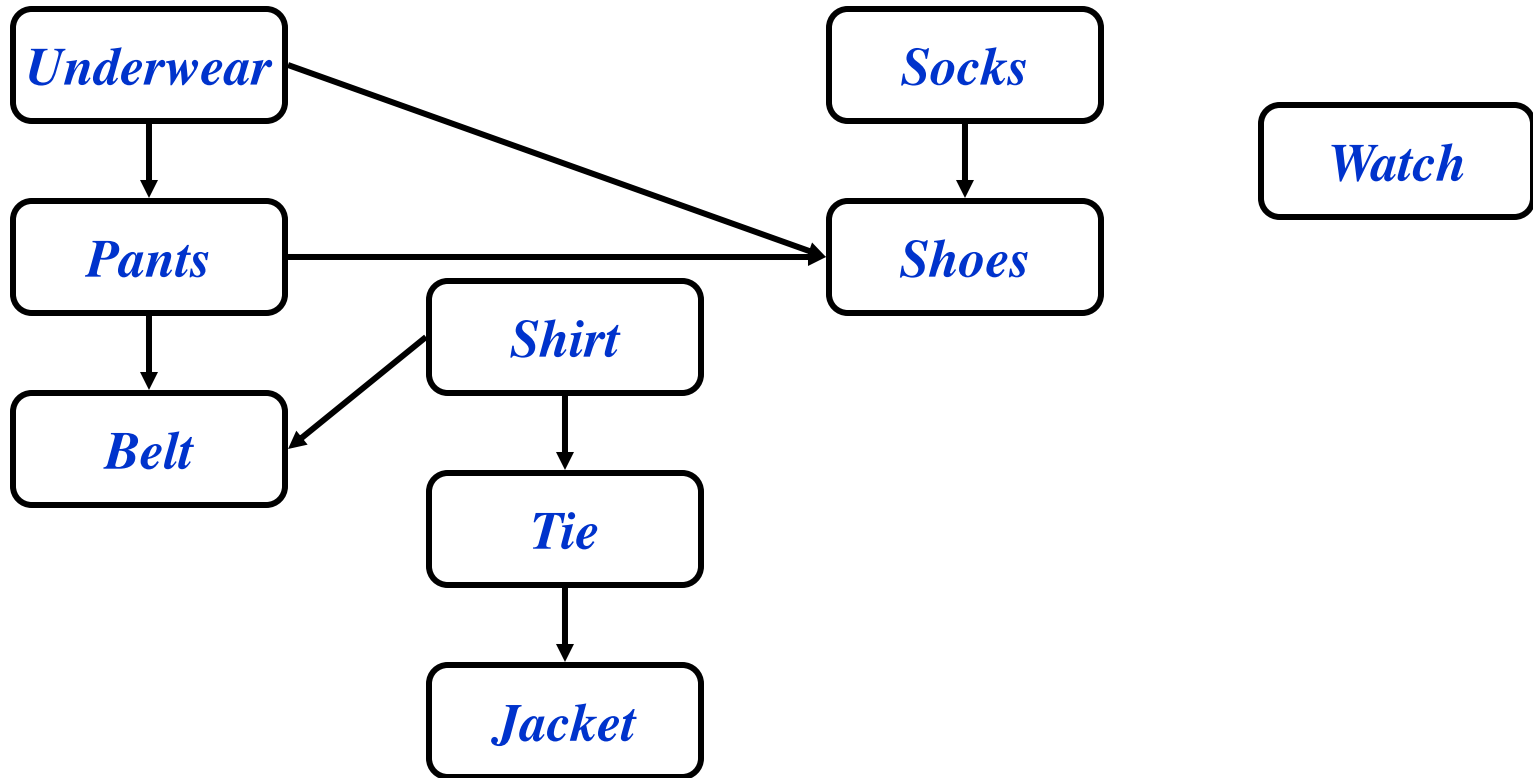
- Argue that a directed graph  $G$  is acyclic iff a DFS of  $G$  yields no back edges:
  - Forward: if  $G$  is acyclic, will be no back edges
    - Trivial: a back edge implies a cycle
  - Backward: if no back edges,  $G$  is acyclic
    - Argue contrapositive:  $G$  has a cycle  $\Rightarrow \exists$  a back edge
      - ◆ Let  $v$  be the vertex on the cycle first discovered, and  $u$  be the predecessor of  $v$  on the cycle
      - ◆ When  $v$  discovered, whole cycle is white
      - ◆ Must visit everything reachable from  $v$  before returning from DFS-Visit()
      - ◆ So path from  $u \rightarrow v$  is yellow  $\rightarrow$  yellow, thus  $(u, v)$  is a back edge

# Topological Sort

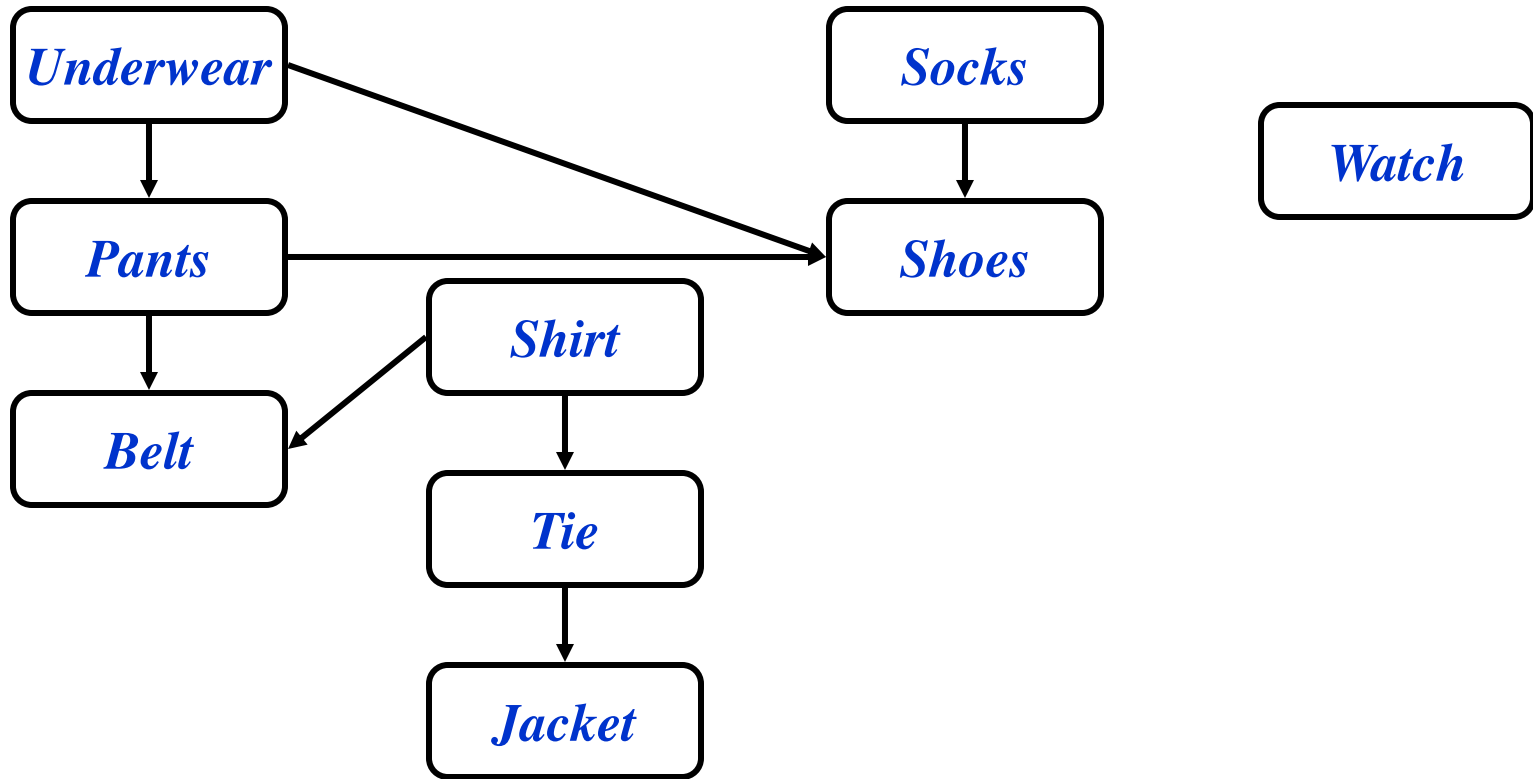
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- *Topological sort* of a DAG:
  - Linear ordering of all vertices in graph  $G$  such that vertex  $u$  comes before vertex  $v$  if edge  $(u, v) \in G$
- Real-world example: getting dressed

# Getting Dressed



# Getting Dressed



# Topological Sort Algorithm

```
Topological-Sort()
```

```
{  
    Run DFS  
    When a vertex is finished, output it  
    Vertices are output in reverse  
    topological order  
}
```

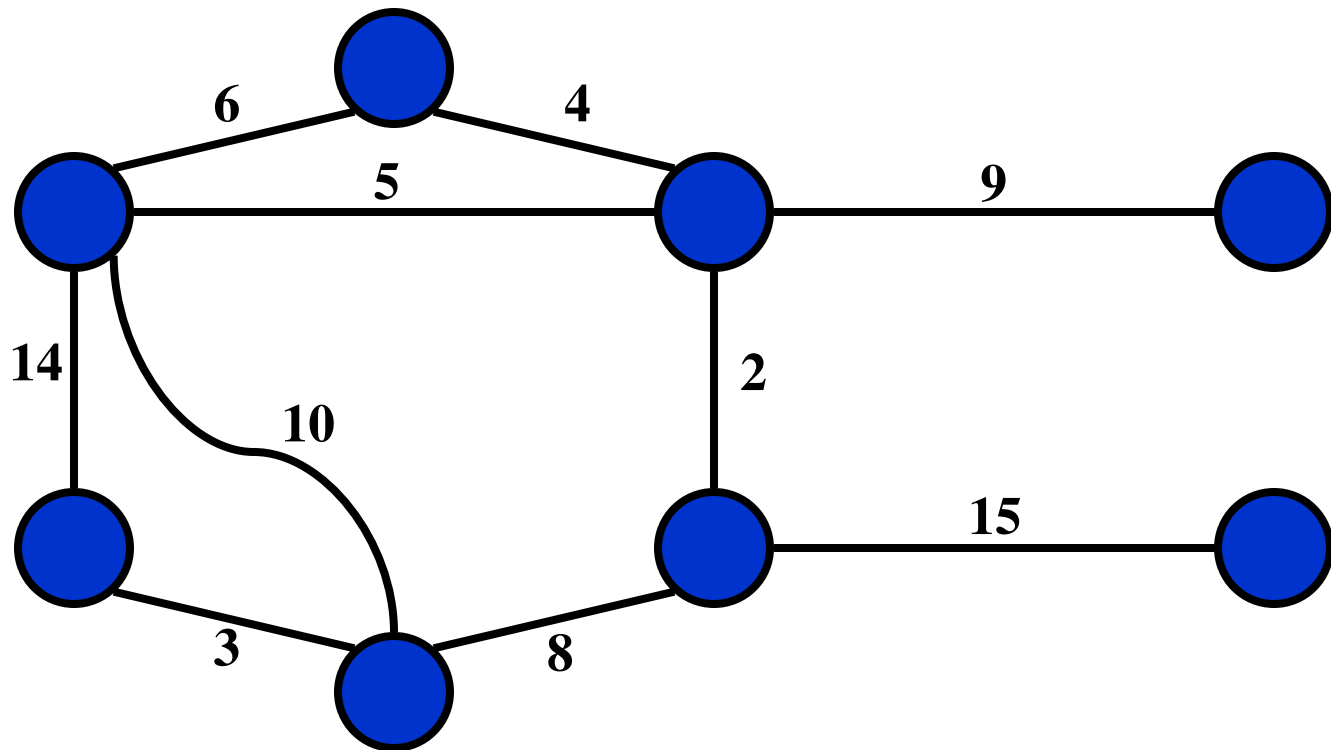
- Time:  $O(V+E)$
- Correctness: Want to prove that  
 $(u,v) \in G \Rightarrow u \rightarrow f > v \rightarrow f$

# Correctness of Topological Sort

- Claim:  $(u, v) \in G \Rightarrow u \rightarrow f > v \rightarrow f$ 
  - When  $(u, v)$  is explored,  $u$  is yellow
    - $v = \text{yellow} \Rightarrow (u, v)$  is back edge. Contradiction (*Why?*)
    - $v = \text{white} \Rightarrow v$  becomes descendent of  $u \Rightarrow v \rightarrow f < u \rightarrow f$   
(since must finish  $v$  before backtracking and finishing  $u$ )
    - $v = \text{black} \Rightarrow v$  already finished  $\Rightarrow v \rightarrow f < u \rightarrow f$

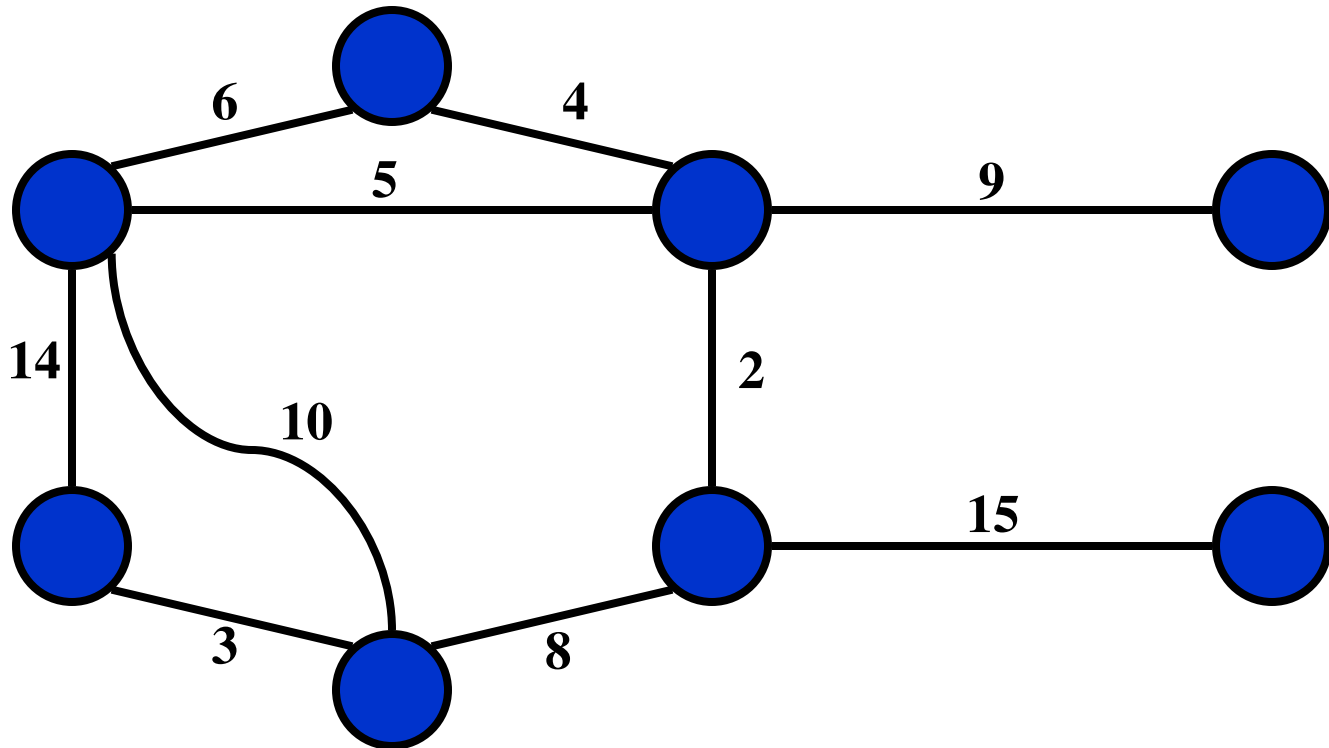
# Minimum Spanning Tree

- Problem: given a connected, undirected, weighted graph:



# Minimum Spanning Tree

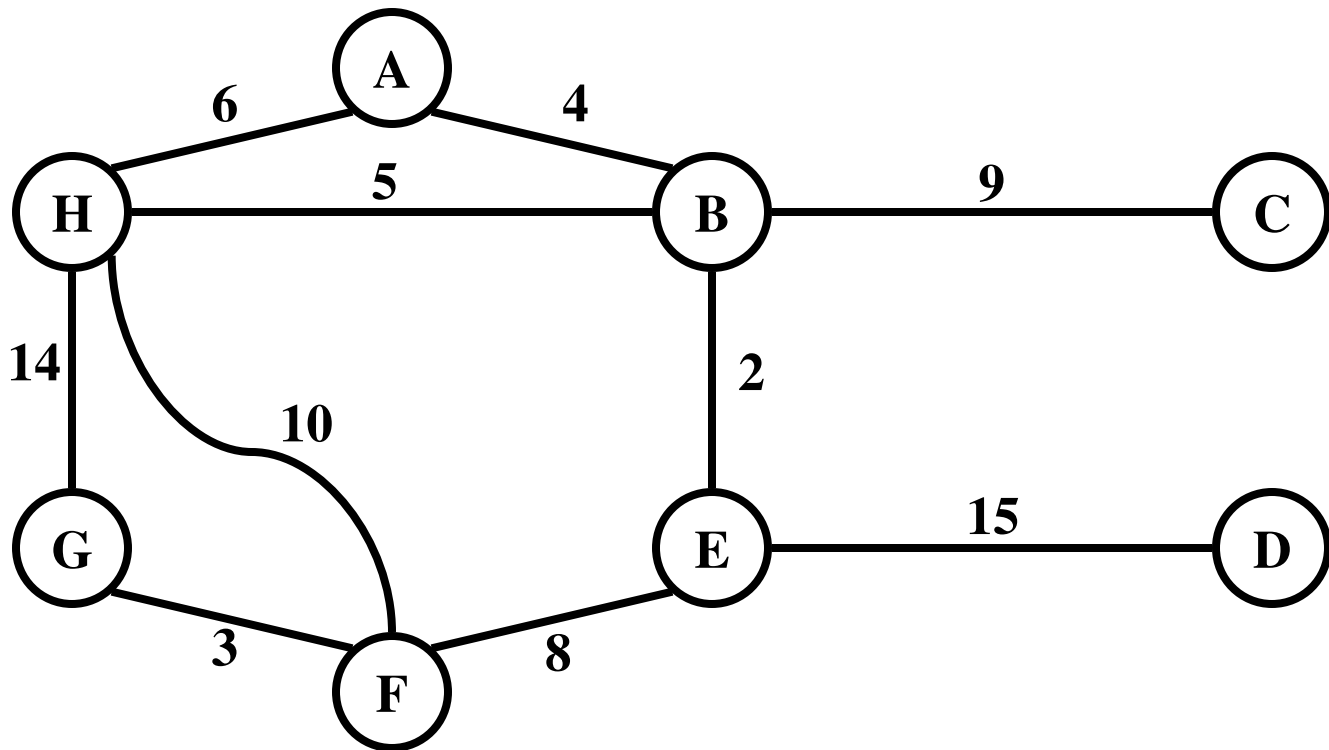
- Problem: given a connected, undirected, weighted graph, find a *spanning tree* using edges that minimize the total weight





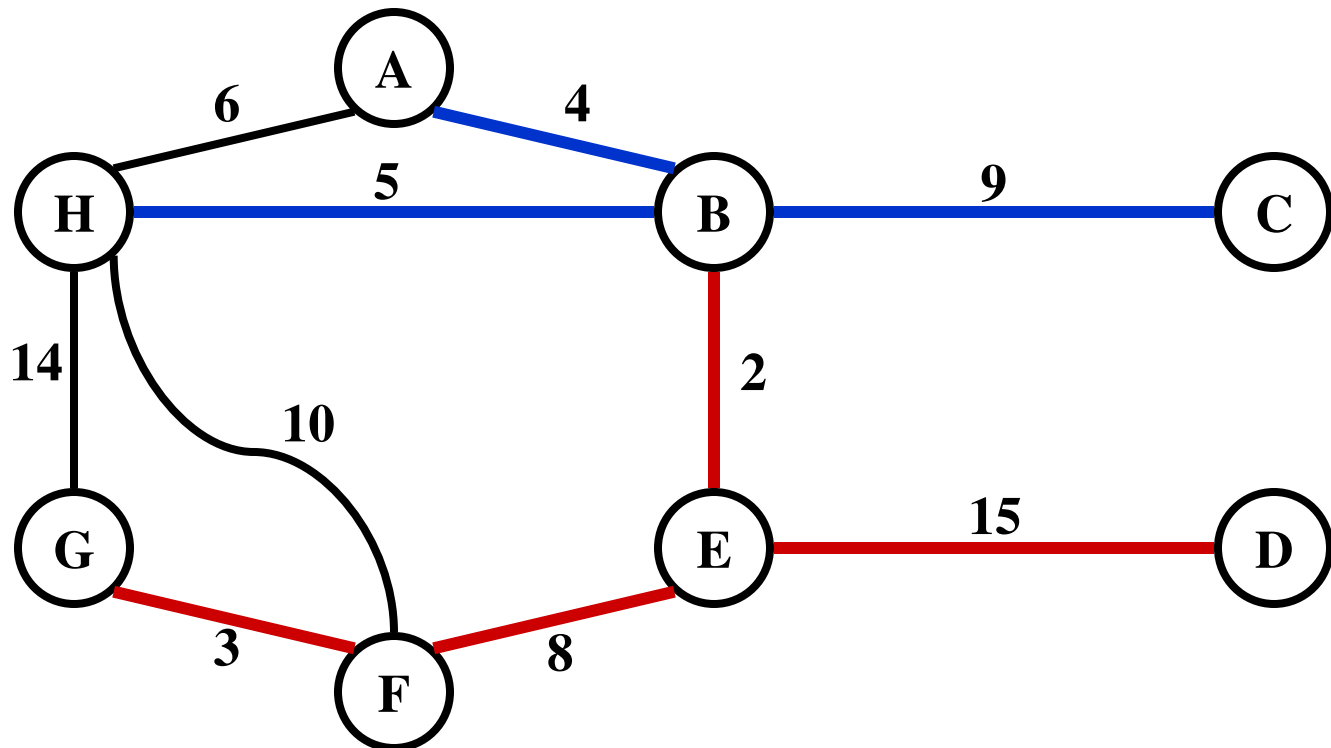
# Minimum Spanning Tree

- Which edges form the minimum spanning tree (MST) of the below graph?



# Minimum Spanning Tree

- Answer:



# Minimum Spanning Tree

- MSTs satisfy the *optimal substructure* property: an optimal tree is composed of optimal subtrees
  - Let  $T$  be an MST of  $G$  with an edge  $(u, v)$  in the middle
  - Removing  $(u, v)$  partitions  $T$  into two trees  $T_1$  and  $T_2$
  - Claim:  $T_1$  is an MST of  $G_1 = (V_1, E_1)$ , and  $T_2$  is an MST of  $G_2 = (V_2, E_2)$  (*Do  $V_1$  and  $V_2$  share vertices? Why?*)
  - Proof:  $w(T) = w(u, v) + w(T_1) + w(T_2)$   
(There can't be a better tree than  $T_1$  or  $T_2$ , or  $T$  would be suboptimal)

# Minimum Spanning Tree

---

- Thm:
  - Let  $T$  be MST of  $G$ , and let  $A \subseteq T$  be subtree of  $T$
  - Let  $(u, v)$  be min-weight edge connecting  $A$  to  $V-A$
  - Then  $(u, v) \in T$

# Minimum Spanning Tree

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  - Let  $T$  be MST of  $G$ , and let  $A \subseteq T$  be subtree of  $T$
  - Let  $(u, v)$  be min-weight edge connecting  $A$  to  $V-A$
  - Then  $(u, v) \in T$
- Proof: in book (see Thm 24.1)

# Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$ 
```

```
   $key[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < key[v]$ )
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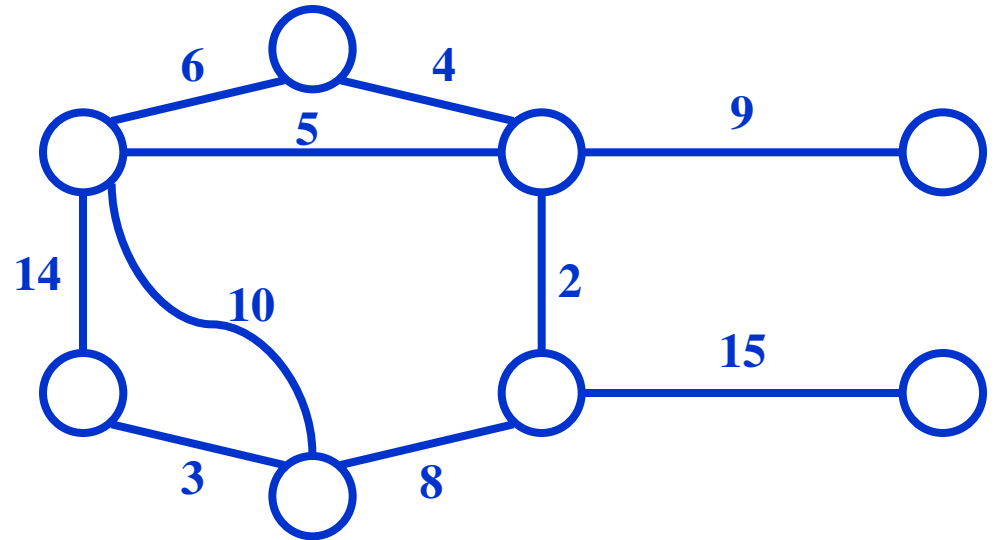
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*Run on example graph*

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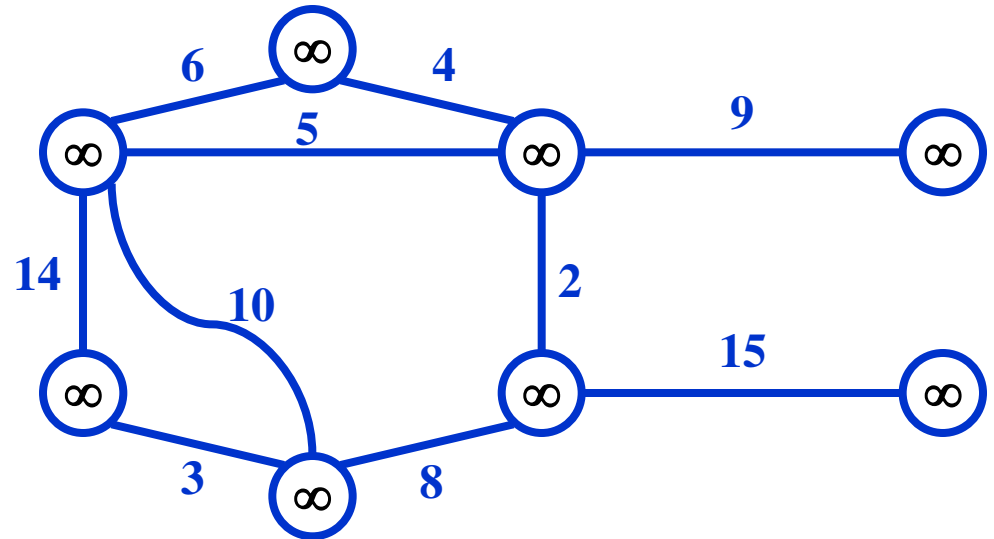
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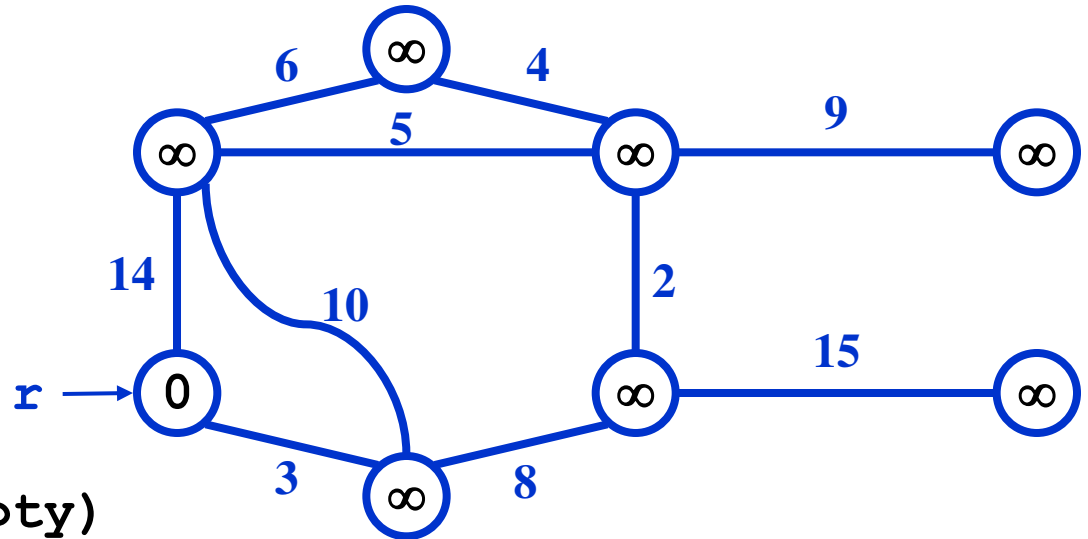
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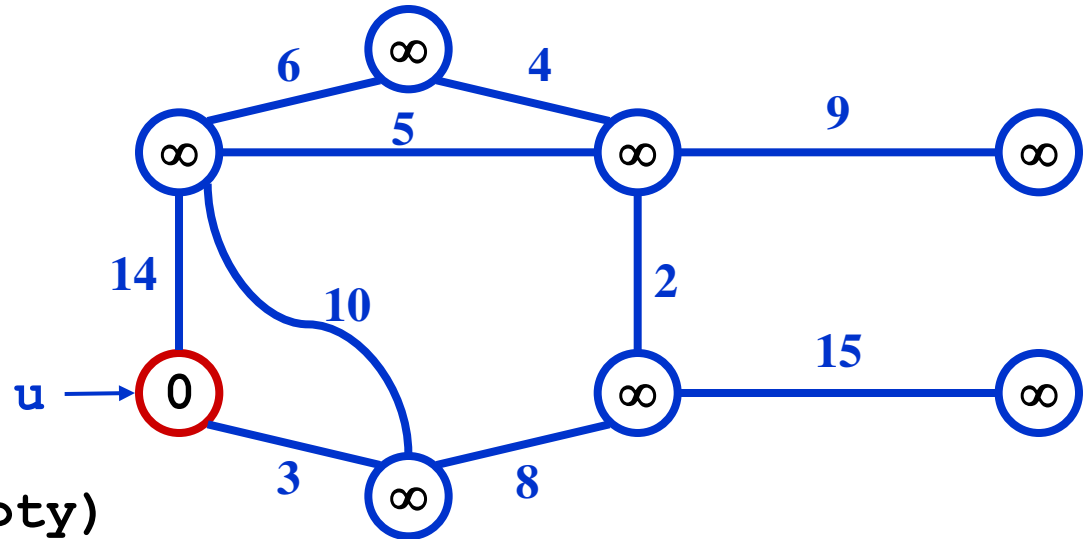
```
     $u = \text{ExtractMin}(Q);$  Red vertices have been removed from  $Q$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
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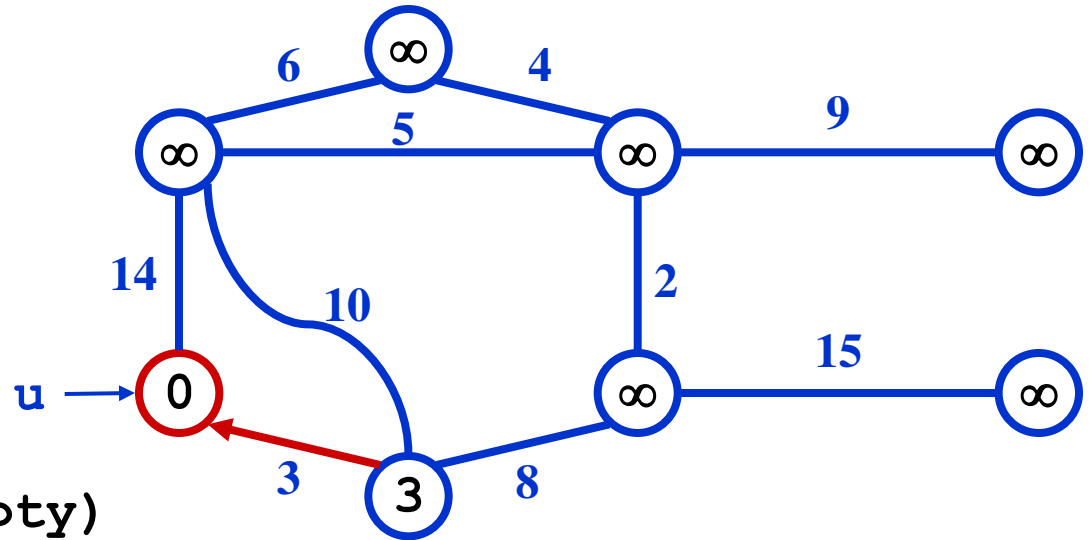
```
     $u = \text{ExtractMin}(Q);$      Red arrows indicate parent pointers
```

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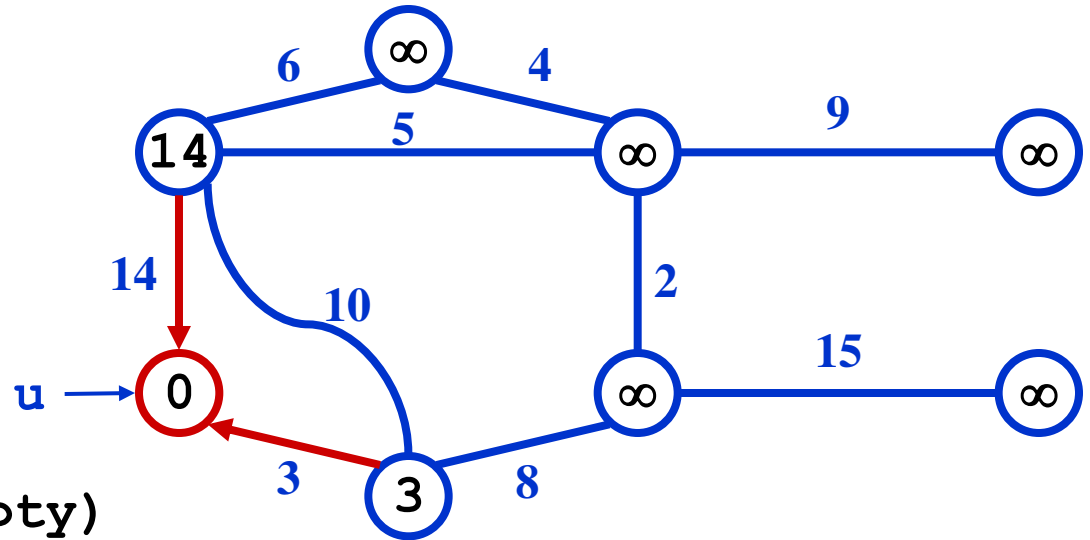
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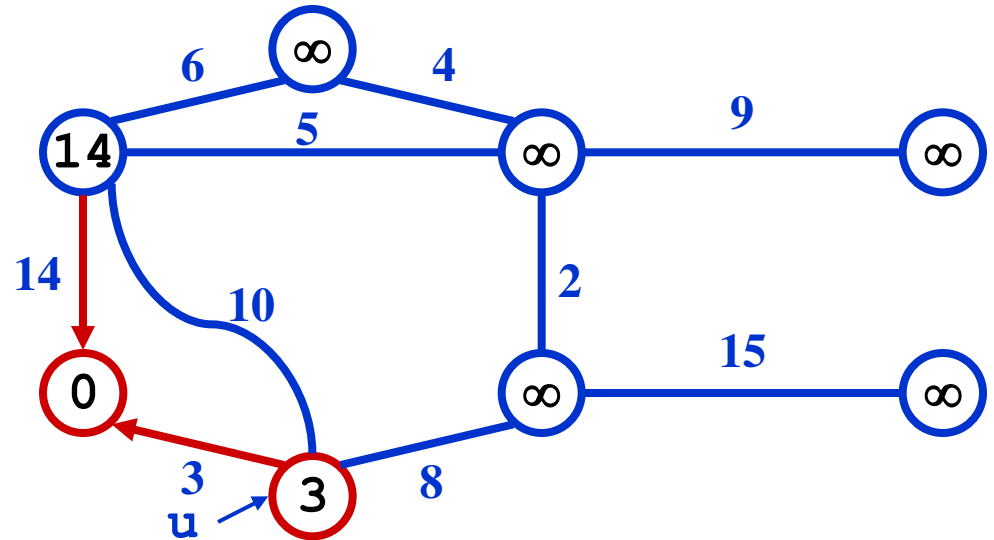
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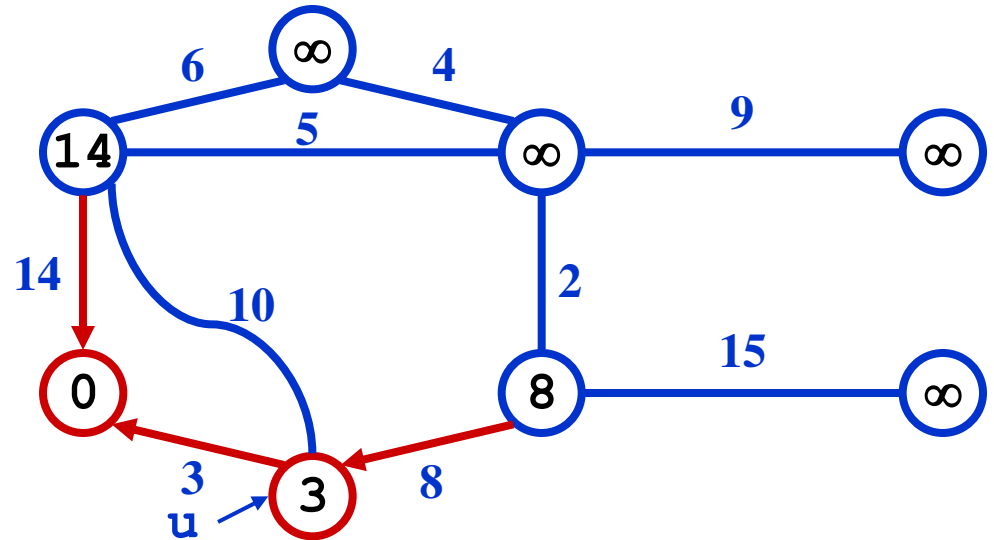
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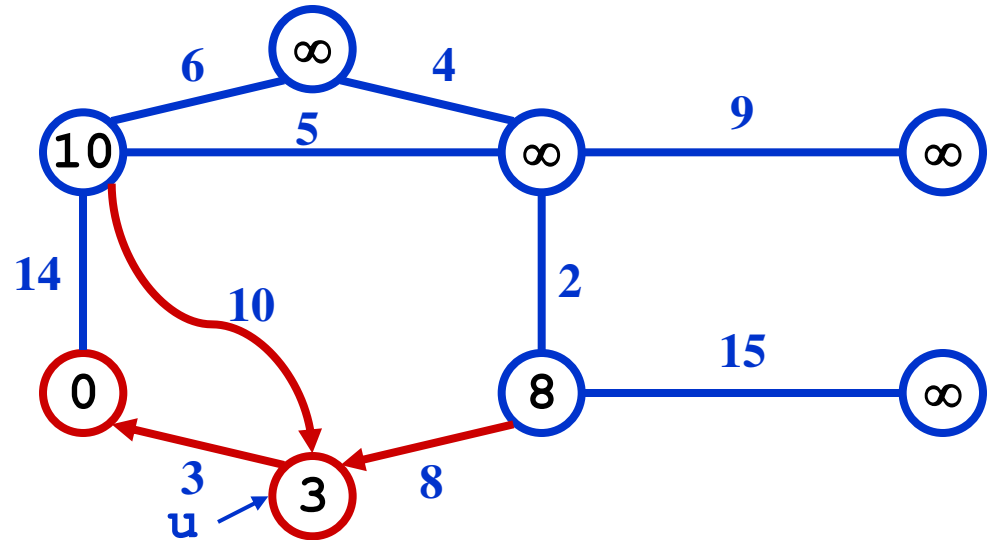
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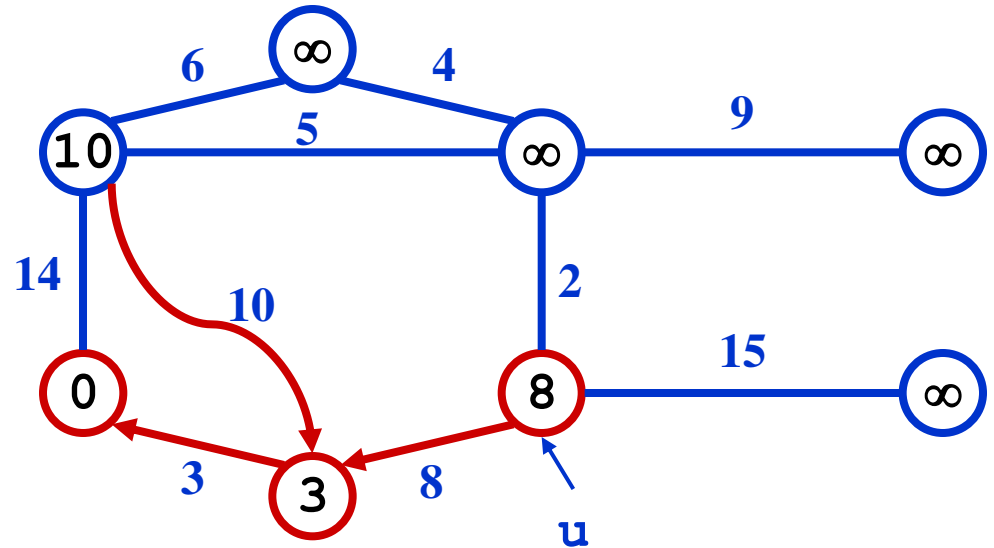
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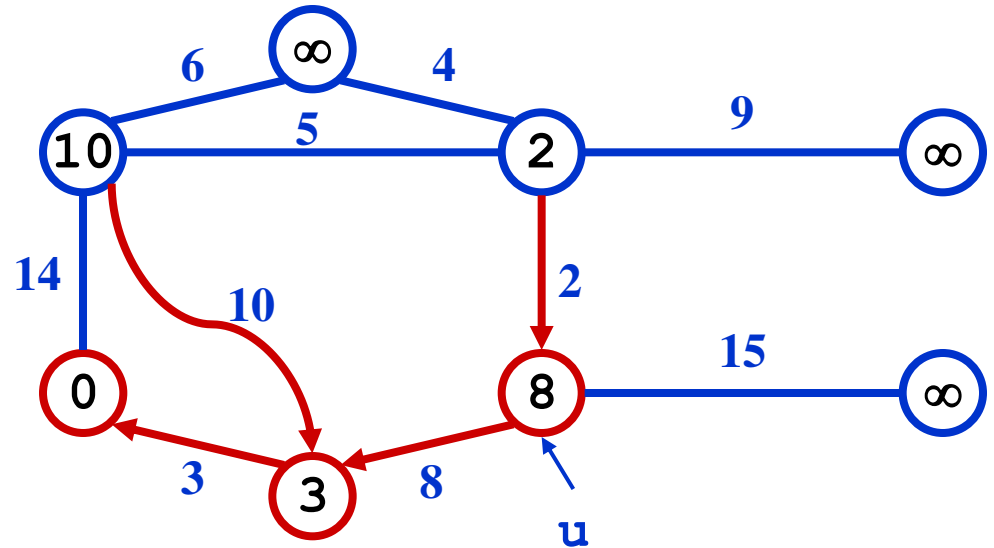
```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
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```
        if ( $v \in Q$  and  $w(u, v) < key[v]$ )
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             $p[v] = u;$ 
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# Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
 $Q = V[G];$ 
```

```
for each  $u \in Q$ 
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```
     $key[u] = \infty;$ 
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 $key[r] = 0;$ 
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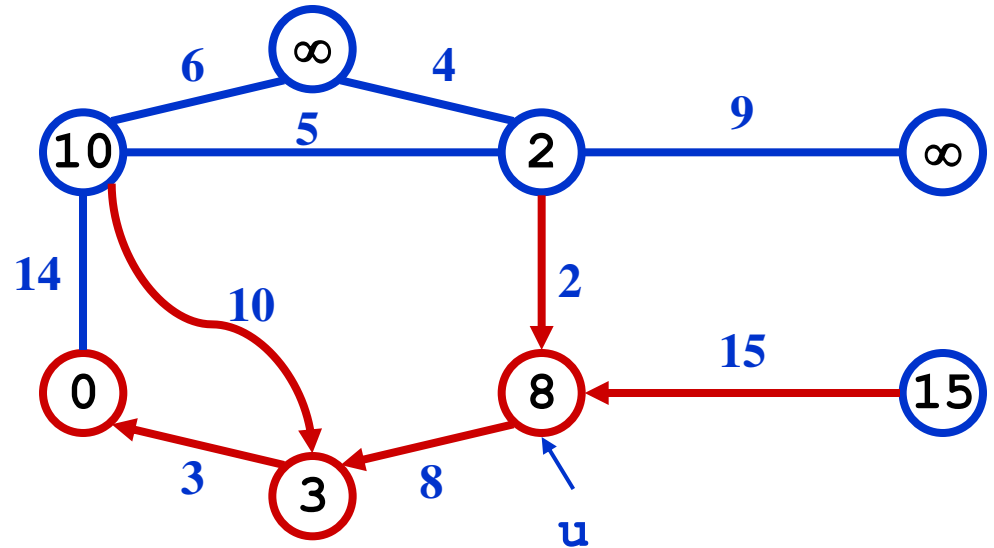
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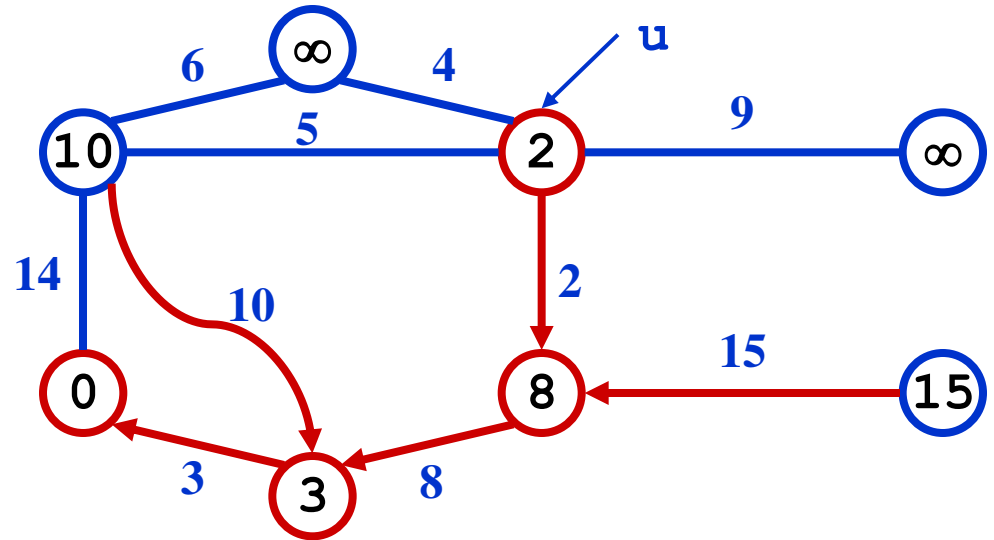
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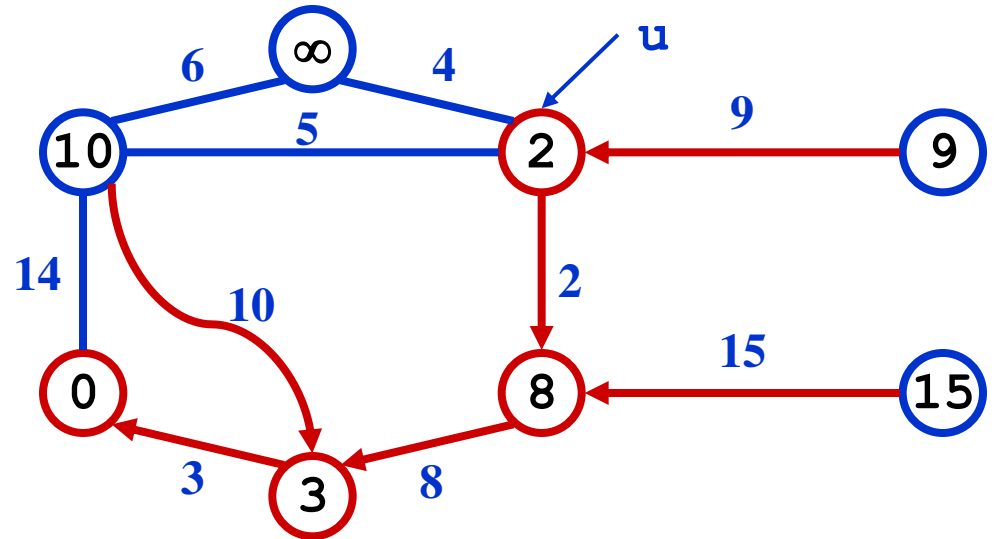
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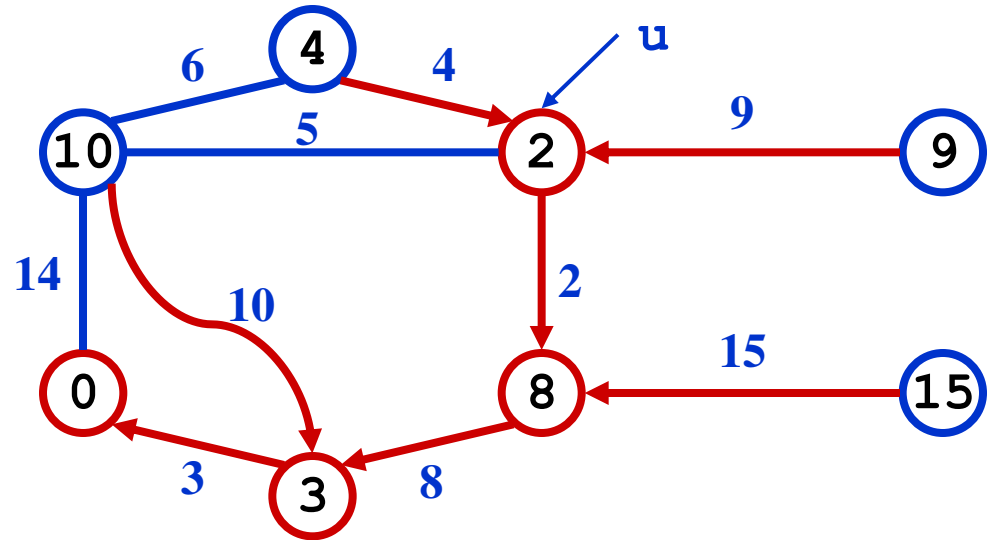
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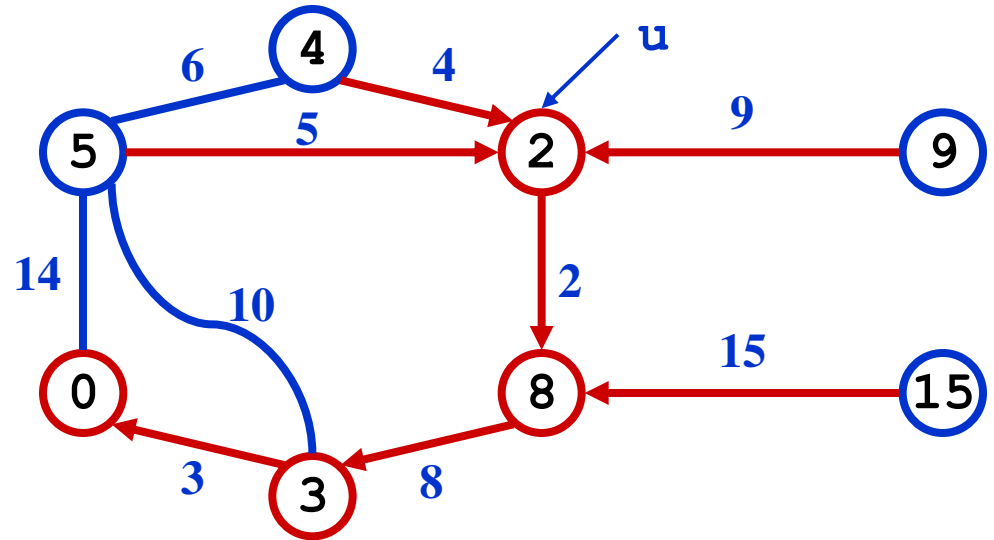
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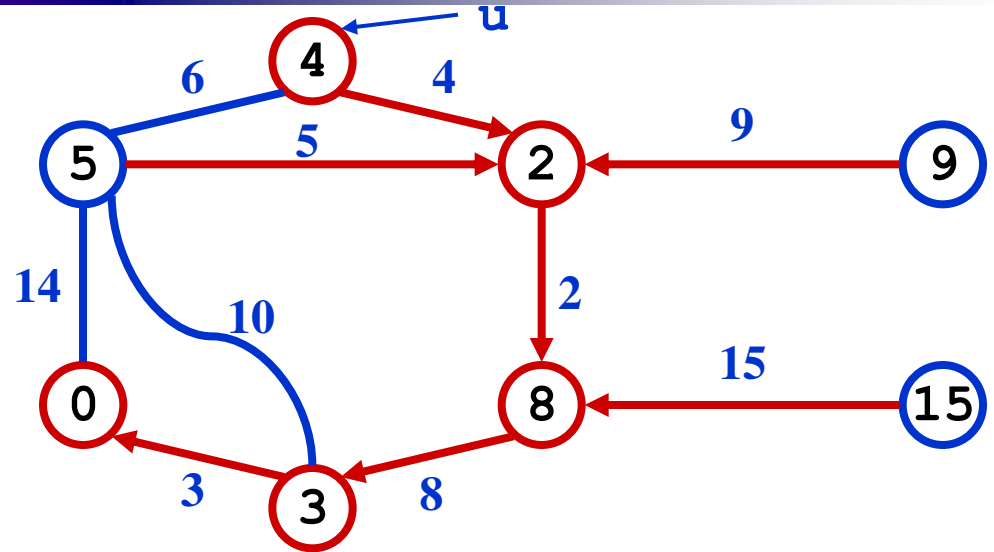
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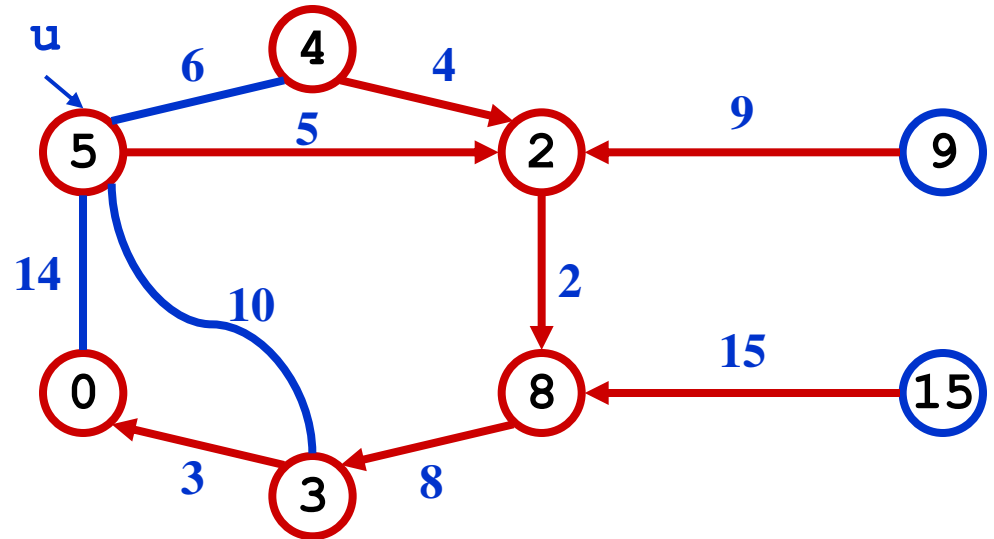
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```
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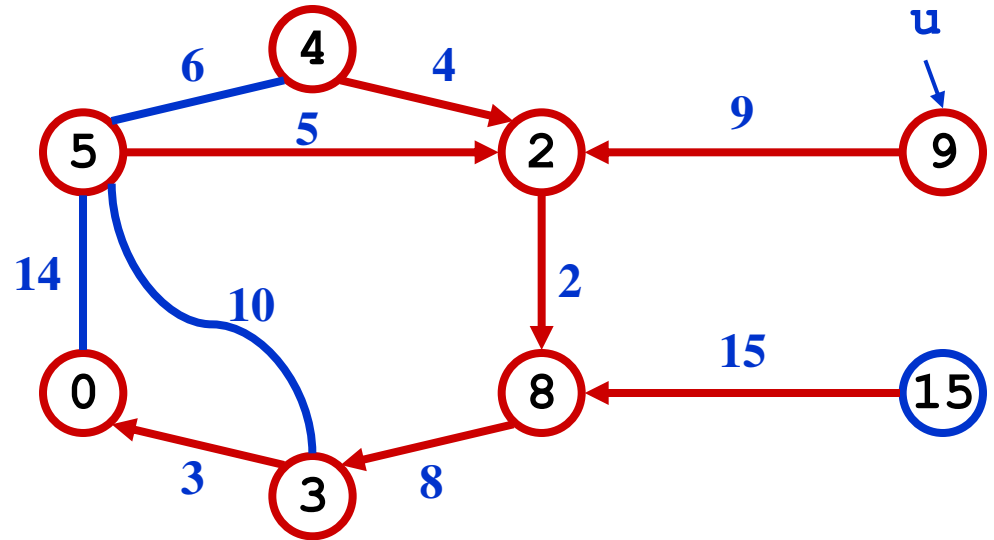
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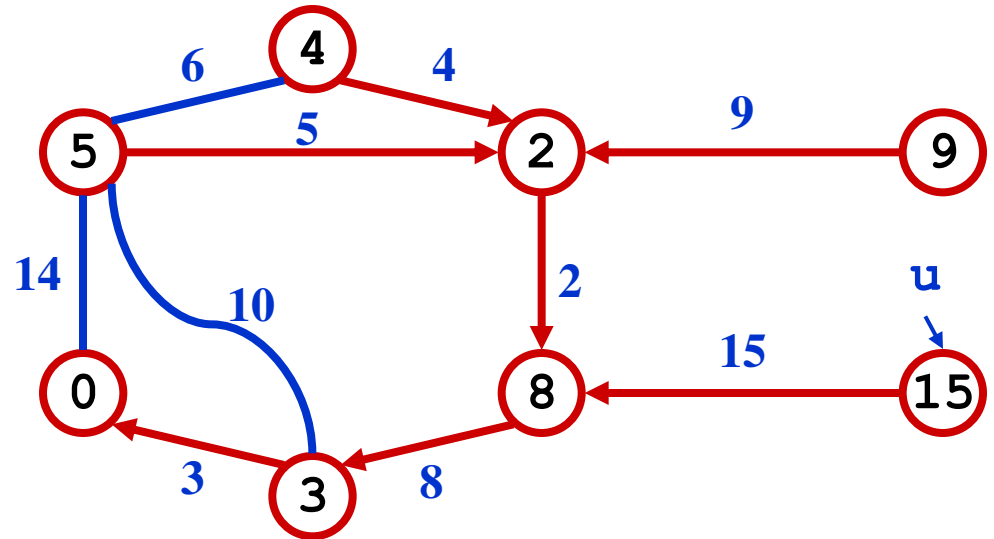
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```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
        if ( $v \in Q$  and  $w(u, v) < key[v]$ )
```

```
             $p[v] = u;$ 
```

```
             $key[v] = w(u, v);$ 
```



# Review: Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$ 
```

```
   $key[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < key[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $key[v] = w(u, v);$ 
```

*What is the hidden cost in this code?*

# Review: Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$ 
```

```
   $key[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < key[v]$ )
```

```
         $p[v] = u;$ 
```

```
        DecreaseKey( $v, w(u, v)$ );
```

# Review: Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$  How often is ExtractMin() called?
```

```
   $key[r] = 0;$ 
```

*How often is DecreaseKey() called?*

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < key[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{DecreaseKey}(v, w(u, v));$ 
```

# Review: Prim's Algorithm

```
MST-Prim(G, w, r)
```

```
  Q = V[G];
```

```
  for each  $u \in Q$ 
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    key[u] =  $\infty$ ;
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  key[r] = 0;
```

```
  p[r] = NULL;
```

```
  while (Q not empty)
```

```
    u = ExtractMin(Q);
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u, v) < \text{key}[v]$ )
```

```
        p[v] = u;
```

```
        key[v] =  $w(u, v)$ ;
```

*What will be the running time?*

**A: Depends on queue**

**binary heap:  $O(E \lg V)$**

**Fibonacci heap:  $O(V \lg V + E)$**

# Single-Source Shortest Path

- Problem: given a weighted directed graph  $G$ , find the minimum-weight path from a given source vertex  $s$  to another vertex  $v$ 
  - “Shortest-path” = minimum weight
  - Weight of path is sum of edges
  - E.g., a road map: what is the shortest path from Chapel Hill to Charlottesville?