

# Mechanics of Rigid Bodies

# Rigid body

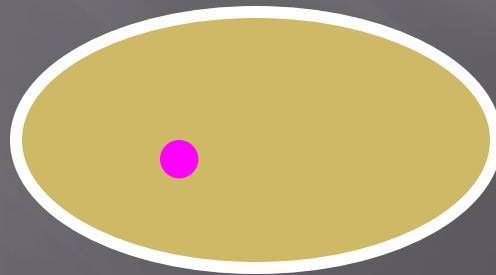
- **Rigid body**: a system of mass points subject to the holonomic constraints that the distances between all pairs of points remain constant throughout the motion
- If there are  $N$  free particles, there are  $3N$  degrees of freedom
- For a rigid body, the number of degrees of freedom is reduced by the constraints expressed in the form:

$$r_{ij} = c_{ij}$$

- How many **independent coordinates** does a rigid body have?

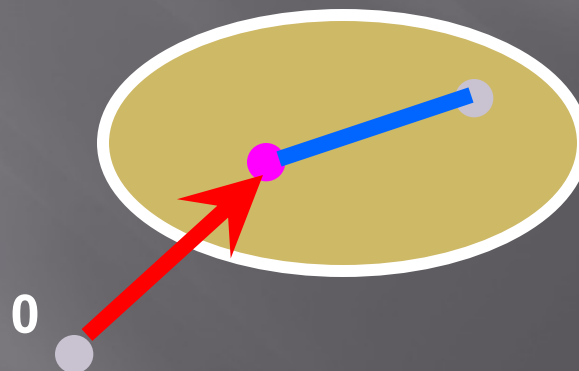
# The independent coordinates of a rigid body

- Rigid body has to be described by its **orientation** and **location**
- Position of the rigid body is determined by the position of any **one point** of the body, and the orientation is determined by the relative position of all other points of the body relative to that point



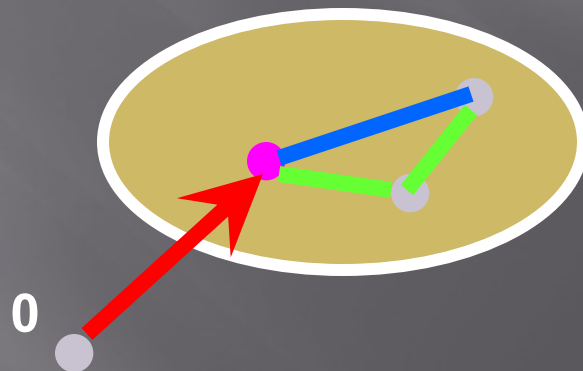
# The independent coordinates of a rigid body

- Position of one point of the body requires the specification of **3** independent coordinates
- The position of a second point lies at a fixed distance from the first point, so it can be specified by **2** independent angular coordinates



# The independent coordinates of a rigid body

- The position of any other third point is determined by only **1** coordinate, since its distance from the first and second points is fixed
- Thus, the total number of independent coordinates necessary do completely describe the position and orientation of a rigid body is **6**



# Orientation of a rigid body

- The **position** of a rigid body can be described by **three** independent coordinates,
- Therefore, the **orientation** of a rigid body can be described by the **remaining three** independent coordinates
- There are many ways to define the three orientation coordinates
- One common way is via the definition of direction cosines

# Direction cosines

- **Direction cosines** specify the orientation of one Cartesian set of axes relative to another set with common origin

$$\hat{i}' = \hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}$$

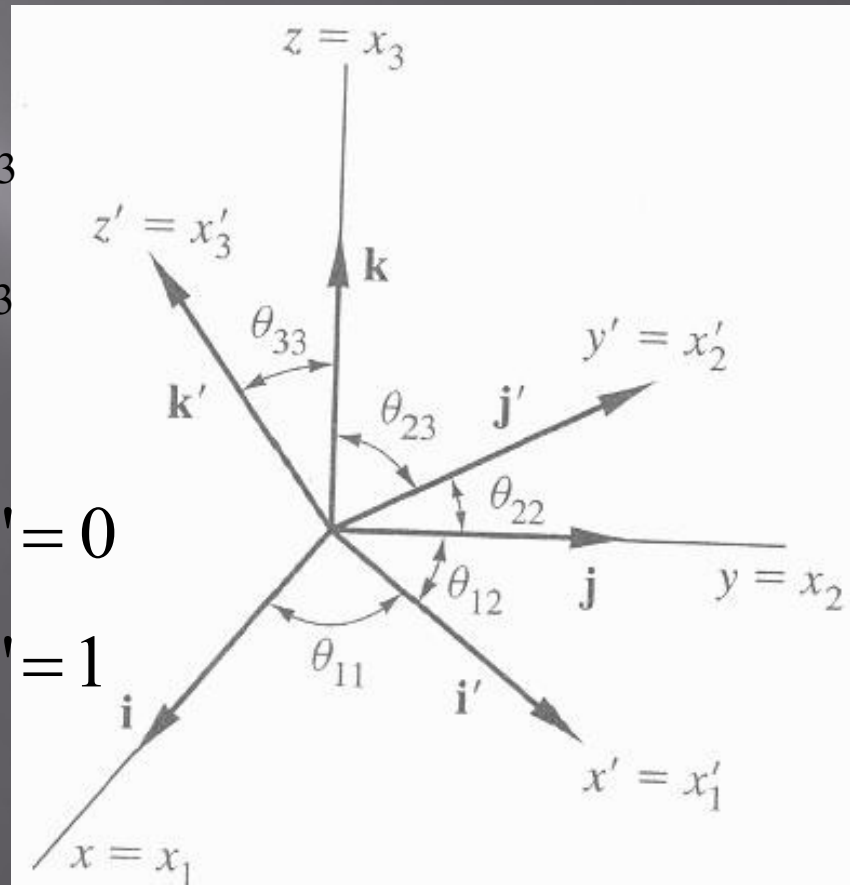
$$\hat{j}' = \hat{i} \cos \theta_{21} + \hat{j} \cos \theta_{22} + \hat{k} \cos \theta_{23}$$

$$\hat{k}' = \hat{i} \cos \theta_{31} + \hat{j} \cos \theta_{32} + \hat{k} \cos \theta_{33}$$

- **Orthogonality conditions:**

$$\hat{i} \cdot \hat{j} = \hat{j} \cdot \hat{k} = \hat{k} \cdot \hat{i} = \hat{i}' \cdot \hat{j}' = \hat{j}' \cdot \hat{k}' = \hat{k}' \cdot \hat{i}' = 0$$

$$\hat{i} \cdot \hat{i} = \hat{j} \cdot \hat{j} = \hat{k} \cdot \hat{k} = \hat{i}' \cdot \hat{i}' = \hat{j}' \cdot \hat{j}' = \hat{k}' \cdot \hat{k}' = 1$$



# Orthogonality conditions

$$\hat{i}' \cdot \hat{i}' =$$

$$\begin{aligned} &= (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \cdot (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \\ &= \cos^2 \theta_{11} + \cos^2 \theta_{12} + \cos^2 \theta_{13} = 1 \end{aligned}$$

$$\hat{i}' \cdot \hat{j}' =$$

$$\begin{aligned} &= (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \cdot (\hat{i} \cos \theta_{21} + \hat{j} \cos \theta_{22} + \hat{k} \cos \theta_{23}) \\ &= \cos \theta_{11} \cos \theta_{21} + \cos \theta_{12} \cos \theta_{22} + \cos \theta_{13} \cos \theta_{23} = 0 \end{aligned}$$

- Performing similar operations for the remaining 4 pairs we obtain orthogonality conditions in a compact form:

$$\sum_{l=1}^3 \cos \theta_{li} \cos \theta_{lk} = \delta_{ik}$$



# Orthogonal transformations

- For an arbitrary vector  $\vec{G} = \hat{i}G_1 + \hat{j}G_2 + \hat{k}G_3$
- We can find components in the primed set of axes

as follows:

$$\begin{aligned}G_1' &= \hat{i}' \cdot \vec{G} = \hat{i}' \cdot \hat{i}G_1 + \hat{i}' \cdot \hat{j}G_2 + \hat{i}' \cdot \hat{k}G_3 \\&= (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \cdot \hat{i}G_1 \\&\quad + (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \cdot \hat{j}G_2 \\&\quad + (\hat{i} \cos \theta_{11} + \hat{j} \cos \theta_{12} + \hat{k} \cos \theta_{13}) \cdot \hat{k}G_3 \\&= \cos \theta_{11}G_1 + \cos \theta_{12}G_2 + \cos \theta_{13}G_3\end{aligned}$$

- Similarly

$$G_2' = \cos \theta_{21}G_1 + \cos \theta_{22}G_2 + \cos \theta_{23}G_3$$

$$G_3' = \cos \theta_{31}G_1 + \cos \theta_{32}G_2 + \cos \theta_{33}G_3$$

# Orthogonal transformations

- Therefore, **orthogonal transformations** are defined as:

$$G_i' = \sum_{j=1}^3 a_{ij} G_j; \quad a_{ij} \equiv \cos \theta_{ij}$$

- Orthogonal transformations can be expressed as a matrix relationship with a **transformation matrix A**

$$\mathbf{G}' = \mathbf{A}\mathbf{G}$$

- With orthogonality conditions imposed on the transformation matrix A

$$\sum_{l=1}^3 a_{li} a_{lk} = \delta_{ik}$$

# Properties of the transformation matrix

- Introducing a matrix **inverse** to the transformation matrix

$$\mathbf{A}\mathbf{A}^{-1} = \mathbf{1}$$

$$\sum_{l=1}^3 a_{kl} \bar{a}_{li} = \delta_{ki}$$

- Let us consider a matrix element

$$a_{ij} = \sum_{k=1}^3 a_{kj} \delta_{ki}$$

$$\begin{aligned} &= \sum_{k=1}^3 \left( a_{kj} \left( \sum_{l=1}^3 a_{kl} \bar{a}_{li} \right) \right) = \sum_{k=1}^3 \sum_{l=1}^3 a_{kj} a_{kl} \bar{a}_{li} = \sum_{l=1}^3 \left( \bar{a}_{li} \left( \sum_{k=1}^3 a_{kj} a_{kl} \right) \right) \\ &= \sum_{l=1}^3 \bar{a}_{li} \delta_{jl} = \bar{a}_{ji} = a_{ij} \end{aligned}$$

$$\mathbf{A}^{-1} = \tilde{\mathbf{A}}$$

- Orthogonality conditions

$$\sum_{k=1}^3 a_{kj} a_{kl} = \delta_{jl}$$

# Properties of the transformation matrix

$$\tilde{\mathbf{A}} = \mathbf{A}^{-1}$$

$$\mathbf{A}\tilde{\mathbf{A}} = \mathbf{A}\mathbf{A}^{-1}$$

$$\mathbf{A}\tilde{\mathbf{A}} = \mathbf{1}$$

- Calculating the determinants

$$|\mathbf{A}\tilde{\mathbf{A}}| = |\mathbf{A}||\tilde{\mathbf{A}}| = |\mathbf{A}||\mathbf{A}^{-1}| = |\mathbf{A}|^2 = |\mathbf{1}| = 1$$

$$\therefore |\mathbf{A}| = \pm 1$$

- The case of a negative determinant corresponds to a complete inversion of coordinate axes and is **not physical** (a.k.a. **improper**)

# Properties of the transformation matrix

- In a general case, there are **9** non-vanishing elements in the transformation matrix

$$\mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

- In a general case, there are **6** independent equations in the orthogonality conditions

$$\sum_{l=1}^3 \cos \theta_{li} \cos \theta_{lk} = \delta_{ik}$$

$$\hat{i}' \cdot \hat{j}' = \hat{j}' \cdot \hat{k}' = \hat{k}' \cdot \hat{i}' = 0$$

$$\hat{i}' \cdot \hat{i}' = \hat{j}' \cdot \hat{j}' = \hat{k}' \cdot \hat{k}' = 1$$

- Therefore, there are **3** independent coordinates that describe the orientation of the rigid body

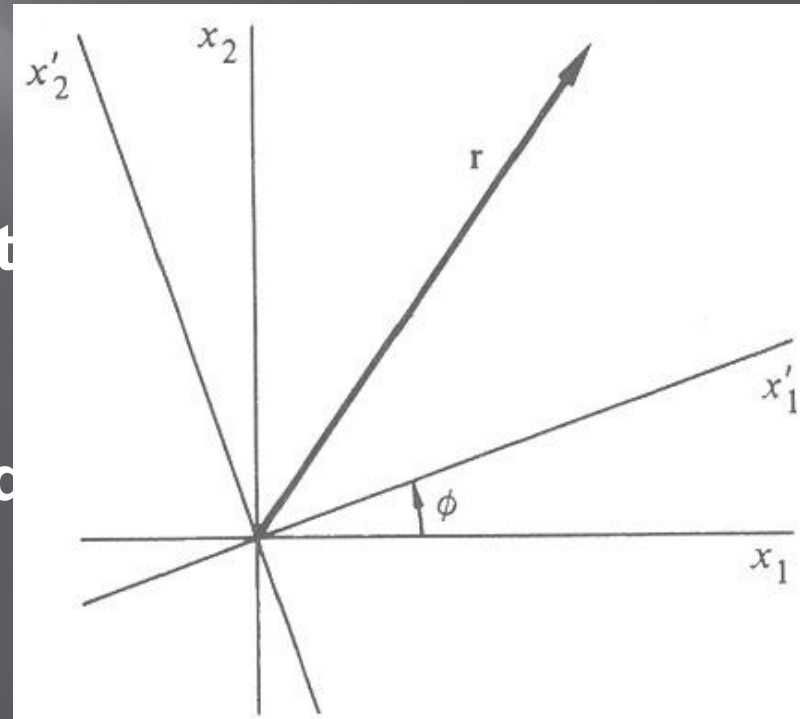
# Example: rotation in a plane

- Let's consider a 2D rotation of a position vector  $r$
- The z component of the vector is not affected, therefore the transformation matrix should look like

$$\mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & 0 \\ a_{21} & a_{22} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- With the orthogonality condition
- $$\sum_{k=1}^2 a_{kj} a_{kl} = \delta_{jl} \quad j, l = 1, 2$$

- The total number of independent coordinates is  
 $4 - 3 = 1$



## Example: rotation in a plane

- The most natural choice for the independent coordinate would be the **angle of rotation**, so that

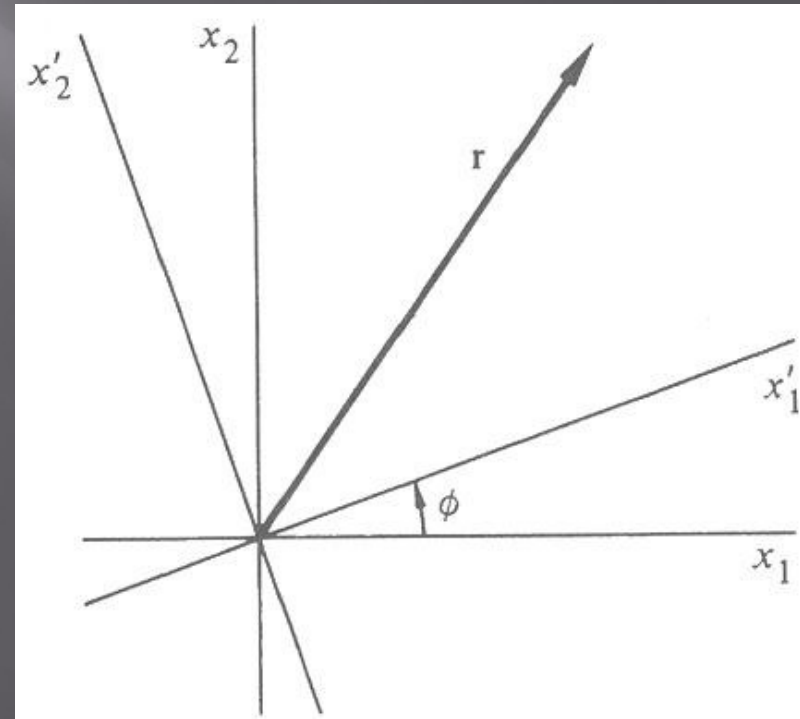
$$x_1' = x_1 \cos \phi + x_2 \sin \phi$$

$$x_2' = -x_1 \sin \phi + x_2 \cos \phi$$

$$x_3' = x_3$$

- The transformation matrix

$$\mathbf{A} = \begin{bmatrix} \cos \phi & \sin \phi & 0 \\ -\sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



# Example: rotation in a plane

- The three orthogonality conditions

$$a_{11}a_{11} + a_{21}a_{21} = 1$$

$$a_{12}a_{12} + a_{22}a_{22} = 1$$

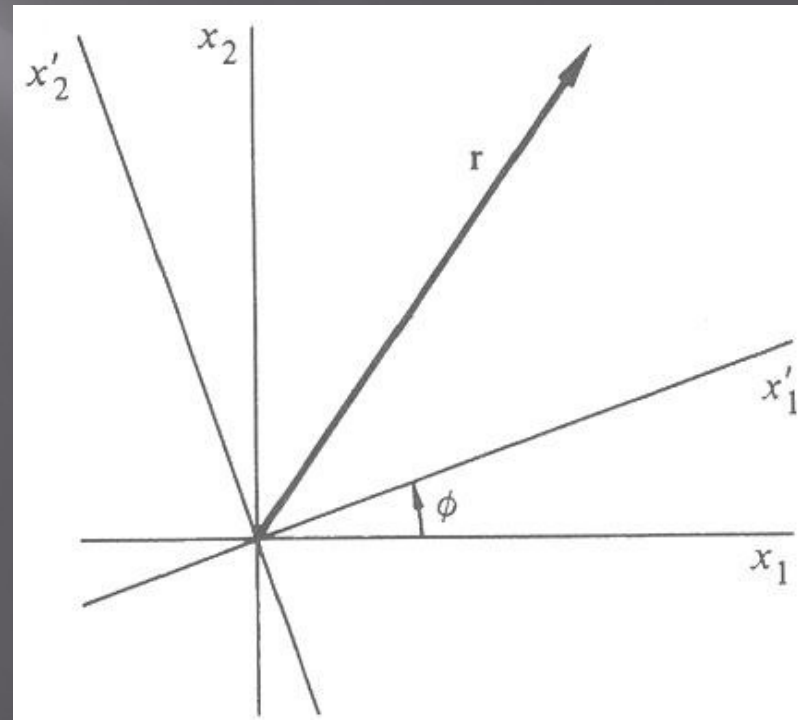
$$a_{11}a_{12} + a_{21}a_{22} = 0$$

- They are rewritten as

$$\cos^2 \phi + \sin^2 \phi = 1$$

$$\sin^2 \phi + \cos^2 \phi = 1$$

$$\cos \phi \sin \phi - \sin \phi \cos \phi = 0$$

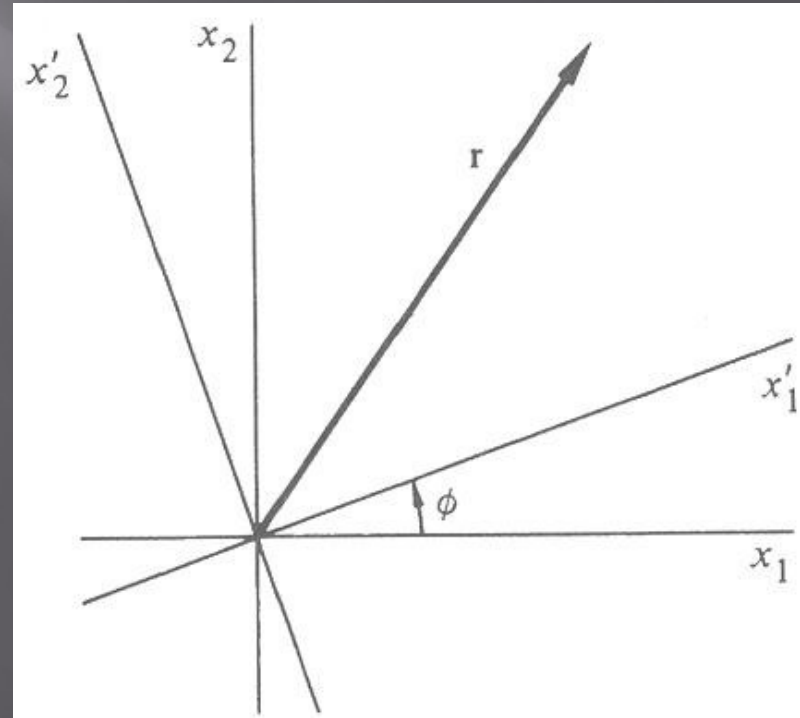
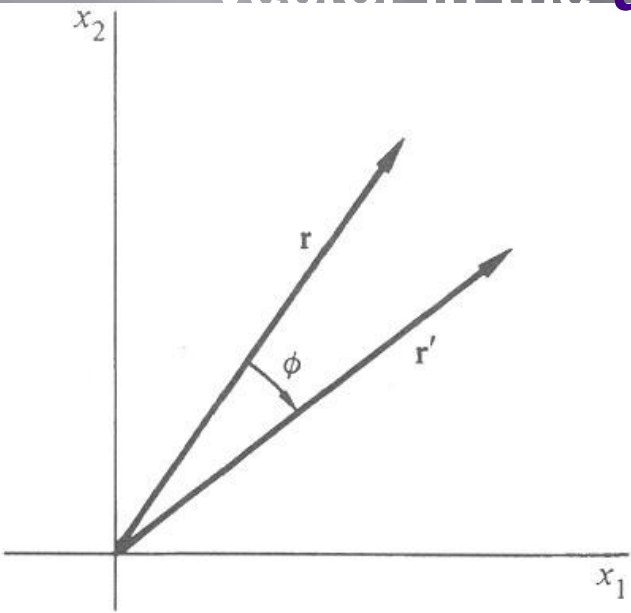




# Example: rotation in a plane

- The 2D transformation matrix
- It describes a **CCW** rotation of the coordinate axes
- Alternatively, it can describe a **CW** rotation of the same vector in the **unchanged** system

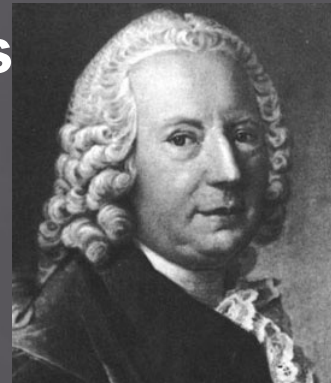
$$\mathbf{A} = \begin{bmatrix} \cos \phi & \sin \phi & 0 \\ -\sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



# The Euler angles

- In order to describe the motion of rigid bodies in the canonical formulation of mechanics, it is necessary to seek **three** independent parameters that specify the orientation of a rigid body
- The most common and useful set of such parameters are the **Euler angles**
- The Euler angles correspond to an orthogonal transformation via three successive rotations performed in a specific sequence
- The Euler transformation matrix is proper

$$|\mathbf{A}| = 1$$



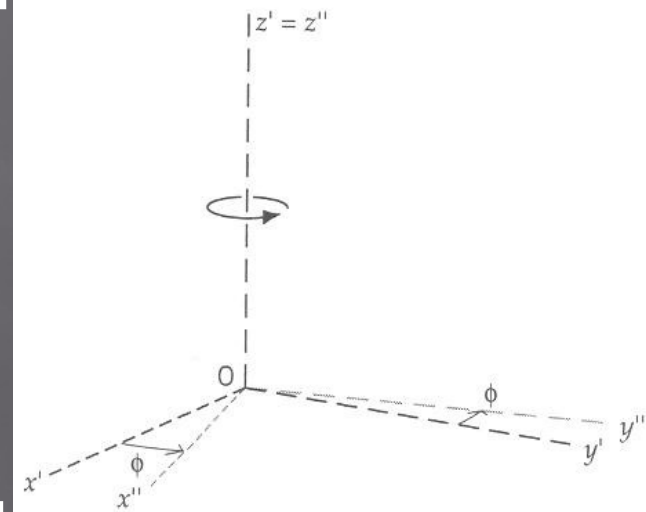
Leonhard Euler  
(1707 – 1783)



# The Euler angles

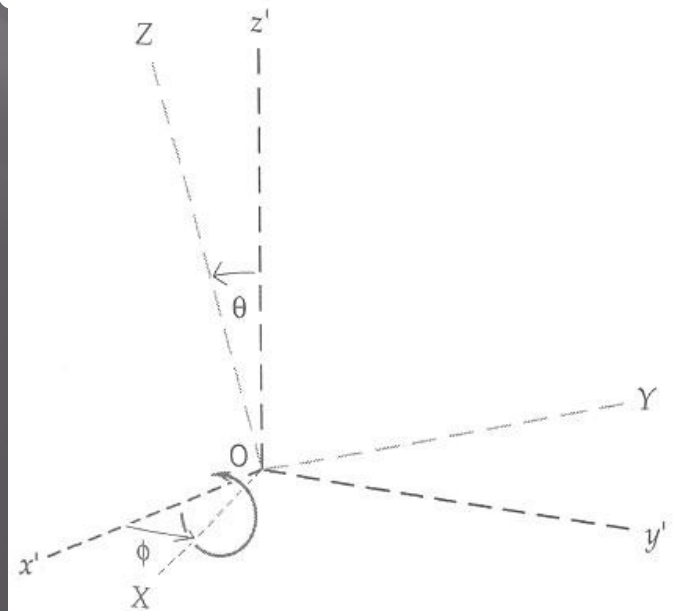
- First, we rotate the system around the  $z'$  axis

$$\mathbf{x}'' = \mathbf{D}\mathbf{x}' = \begin{bmatrix} \cos \phi & \sin \phi & 0 \\ -\sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x' \\ y' \\ z' \end{bmatrix}$$



- Then we rotate the system around the  $x''$  axis

$$\mathbf{X} = \mathbf{C}\mathbf{x}'' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x'' \\ y'' \\ z'' \end{bmatrix}$$

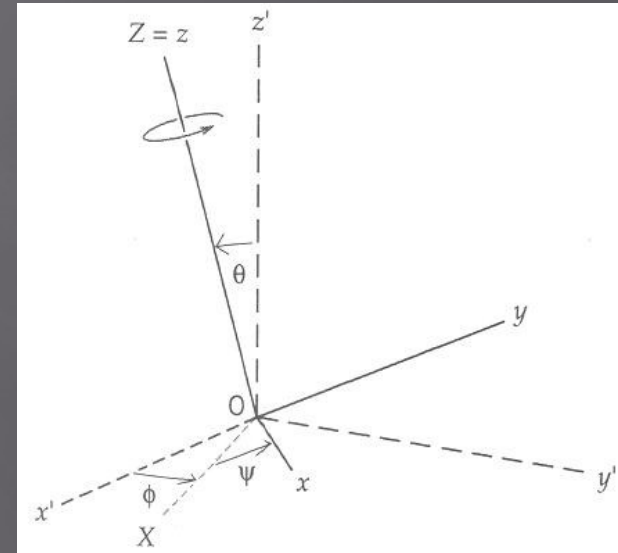




# The Euler angles

- Finally, we rotate the system around the Z axis

$$\mathbf{x} = \mathbf{B}\mathbf{X} = \begin{bmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$



- The complete transformation can be expressed as a product of the successive matrices

$$\mathbf{x} = \mathbf{B}\mathbf{X} = \mathbf{B}\mathbf{C}\mathbf{x}'' = \mathbf{B}\mathbf{C}\mathbf{D}\mathbf{x}' \equiv \mathbf{A}\mathbf{x}'$$

$$\mathbf{x} = \mathbf{A}\mathbf{x}'$$



# The Euler angles

- The explicit form of the resultant transformation matrix  $A$  is

$$\mathbf{A} = \mathbf{BCD} =$$

$$= \begin{bmatrix} \cos \psi \cos \phi - \cos \theta \sin \phi \sin \psi & \cos \psi \sin \phi + \cos \theta \cos \phi \sin \psi & \sin \psi \sin \theta \\ -\sin \psi \cos \phi - \cos \theta \sin \phi \cos \psi & -\sin \psi \sin \phi + \cos \theta \cos \phi \cos \psi & \cos \psi \sin \theta \\ \sin \theta \sin \phi & -\sin \theta \cos \phi & \cos \theta \end{bmatrix}$$

- The described sequence is known as the **x-convention**
- Overall, there are **12** different possible conventions in defining the Euler angles



# Euler theorem

- **Euler theorem**: the general displacement of a rigid body with one point fixed is a rotation about some axis
- If the fixed point is taken as the origin, then the displacement of the rigid body involves **no translation**; only the **change in orientation**
- If such a rotation could be found, then the axis of rotation would be unaffected by this transformation
- Thus, any vector lying along the axis of rotation must have the same components before and after the orthogonal transformation:  
$$\mathbf{R}' = \mathbf{A}\mathbf{R} = \mathbf{R}$$



## Euler theorem

$$\mathbf{AR} = \mathbf{R}$$

$$\mathbf{AR} = \mathbf{1R}$$

$$(\mathbf{A} - \mathbf{1})\mathbf{R} = \mathbf{0}$$

- This formulation of the Euler theorem is equivalent to an **eigenvalue problem**

$$(\mathbf{A} - \lambda\mathbf{1})\mathbf{R} = \mathbf{0}$$

- With one of the eigenvalues  $\lambda = 1$
- So we have to show that the orthogonal transformation matrix has at least one eigenvalue  $\lambda = 1$
- The **secular equation** of an eigenvalue problem is

$$|\mathbf{A} - \lambda\mathbf{1}| = 0$$

- It can be rewritten for the case of  $\lambda = 1$

$$|\mathbf{A} - \mathbf{1}| = 0$$



## Euler theorem

- Recall the orthogonality condition:  $|\mathbf{A}| = 1$      $\mathbf{A}\tilde{\mathbf{A}} = \mathbf{1}$

$$\mathbf{A}\tilde{\mathbf{A}} - \tilde{\mathbf{A}} = \mathbf{1} - \tilde{\mathbf{A}} \quad (\mathbf{A} - \mathbf{1})\tilde{\mathbf{A}} = \mathbf{1} - \tilde{\mathbf{A}} \quad |(\mathbf{A} - \mathbf{1})\tilde{\mathbf{A}}| = |\mathbf{1} - \tilde{\mathbf{A}}|$$

$$|\mathbf{A} - \mathbf{1}| |\tilde{\mathbf{A}}| = |\mathbf{1} - \tilde{\mathbf{A}}| \quad |\mathbf{A} - \mathbf{1}| |\mathbf{A}| = |\mathbf{1} - \tilde{\mathbf{A}}| \quad |\mathbf{A} - \mathbf{1}| = |\mathbf{1} - \tilde{\mathbf{A}}|$$

$$|\mathbf{A} - \mathbf{1}| = |\mathbf{1} - \tilde{\mathbf{A}}| \quad |\mathbf{A} - \mathbf{1}| = |\mathbf{1} - \mathbf{A}| \quad |\mathbf{A} - \mathbf{1}| = |-(\mathbf{A} - \mathbf{1})|$$

$$|\mathbf{A} - \mathbf{1}| = (-1)^n |\mathbf{A} - \mathbf{1}|$$

- $n$  is the dimension of the square matrix

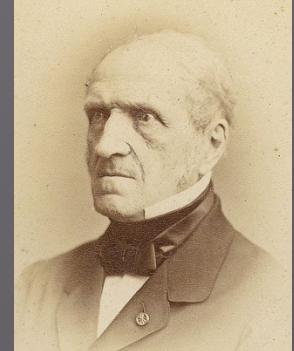
- For 3D case:  $|\mathbf{A} - \mathbf{1}| = (-1)^3 |\mathbf{A} - \mathbf{1}|$      $|\mathbf{A} - \mathbf{1}| = -|\mathbf{A} - \mathbf{1}|$

- It can be true only if  $|\mathbf{A} - \mathbf{1}| = 0$     *Q.E.D.*





# Euler theorem



Michel Chasles  
(1793–1880)

- For 2D case (rotation in a plane)  $n = 2$ :

$$|\mathbf{A} - \mathbf{1}| = (-1)^n |\mathbf{A} - \mathbf{1}| \quad |\mathbf{A} - \mathbf{1}| = |\mathbf{A} - \mathbf{1}|$$

- Euler theorem **does not** hold for all orthogonal transformation matrices in 2D: there is no vector in the plane of rotation that is left unaltered – only a point
- To find the direction of the rotation axis one has to solve the system of equations for three components of vector  $\mathbf{R}$ :
$$(\mathbf{A} - \mathbf{1})\mathbf{R} = 0$$
- Removing the constraint, we obtain **Chasles' theorem**: the most general displacement of a rigid body is a translation plus a rotation



# Infinitesimal rotations

- Let us consider orthogonal transformation matrices of the following form

$$\mathbf{A} = \mathbf{1} + \boldsymbol{\alpha}$$

- Here  $\boldsymbol{\alpha}$  is a square matrix with **infinitesimal** elements
- Such matrices  $\mathbf{A}$  are called **matrices of infinitesimal rotations**
- Generally, two rotations do not commute

$$\mathbf{A}_1 \mathbf{A}_2 \mathbf{R} \neq \mathbf{A}_2 \mathbf{A}_1 \mathbf{R}$$

- Infinitesimal rotations **do** commute

$$(\mathbf{1} + \boldsymbol{\alpha}_1)(\mathbf{1} + \boldsymbol{\alpha}_2) = \mathbf{1} + \boldsymbol{\alpha}_1 \mathbf{1} + \mathbf{1} \boldsymbol{\alpha}_2 + \cancel{\boldsymbol{\alpha}_1 \boldsymbol{\alpha}_2} = \mathbf{1} + \boldsymbol{\alpha}_1 + \boldsymbol{\alpha}_2$$

$$(\mathbf{1} + \boldsymbol{\alpha}_2)(\mathbf{1} + \boldsymbol{\alpha}_1) = \mathbf{1} + \boldsymbol{\alpha}_2 \mathbf{1} + \mathbf{1} \boldsymbol{\alpha}_1 + \cancel{\boldsymbol{\alpha}_2 \boldsymbol{\alpha}_1} = \mathbf{1} + \boldsymbol{\alpha}_2 + \boldsymbol{\alpha}_1$$



# Infinitesimal rotations

• The inverse of the infinitesimal rotation:  $\mathbf{A}^{-1} = \mathbf{1} - \boldsymbol{\alpha}$

• Proof:  $(\mathbf{1} - \boldsymbol{\alpha})\mathbf{A} = (\mathbf{1} - \boldsymbol{\alpha})(\mathbf{1} + \boldsymbol{\alpha}) = \mathbf{1} - \cancel{\boldsymbol{\alpha}}\mathbf{1} + \mathbf{1}\cancel{\boldsymbol{\alpha}} - \cancel{\boldsymbol{\alpha}}\boldsymbol{\alpha} = \mathbf{1}$

• On the other hand:  $\mathbf{A}^{-1} = \tilde{\mathbf{A}} \quad \mathbf{1} - \boldsymbol{\alpha} = \mathbf{1} + \tilde{\boldsymbol{\alpha}} \quad \therefore \tilde{\boldsymbol{\alpha}} = -\boldsymbol{\alpha}$

• Matrices  $\boldsymbol{\alpha}$  are **antisymmetric**

• In 3D we can write:

$$\boldsymbol{\alpha} = \begin{bmatrix} 0 & d\Omega_3 & -d\Omega_2 \\ -d\Omega_3 & 0 & d\Omega_1 \\ d\Omega_2 & -d\Omega_1 & 0 \end{bmatrix}$$

• Infinitesimal change of a vector:

$$d\mathbf{r} = \mathbf{r}' - \mathbf{r} = (\mathbf{1} + \boldsymbol{\alpha})\mathbf{r} - \mathbf{r} = \boldsymbol{\alpha}\mathbf{r}$$

$$(dr)_i = \sum_{j=1}^3 \alpha_{ij} r_j$$



# Infinitesimal rotations

$$(dr)_i = \sum_{j=1}^3 \alpha_{ij} r_j$$

$$\alpha = \begin{bmatrix} 0 & d\Omega_3 & -d\Omega_2 \\ -d\Omega_3 & 0 & d\Omega_1 \\ d\Omega_2 & -d\Omega_1 & 0 \end{bmatrix}$$

$$(dr)_1 = r_2 d\Omega_3 - r_3 d\Omega_2$$

$$(dr)_2 = r_3 d\Omega_1 - r_1 d\Omega_3$$

$$(dr)_3 = r_1 d\Omega_2 - r_2 d\Omega_1$$

$$(dr)_i = \sum_{j,k=1}^3 \varepsilon_{ijk} r_j d\Omega_k \quad (\vec{d\Omega}) = \vec{n} d\Phi$$

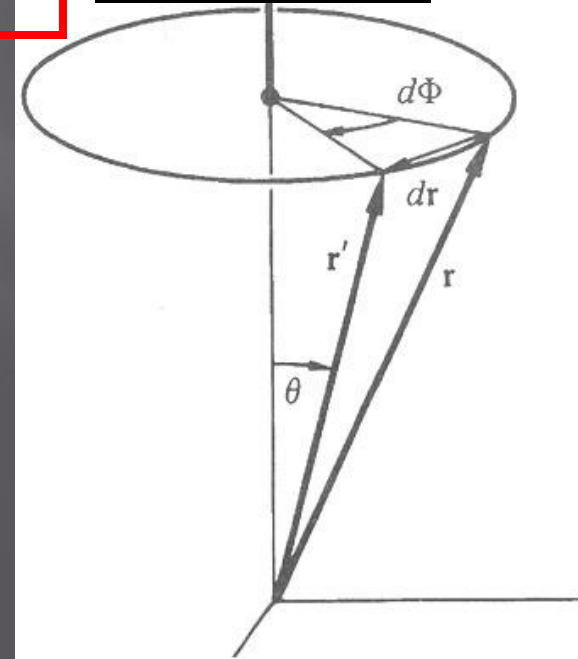
$$\vec{dr} = \vec{r} \times (\vec{d\Omega})$$

- $(\vec{d\Omega})$  is a differential vector, not a differential of a vector

$$dr = (r \sin \theta) d\Phi$$

$$\vec{dr} = (\vec{r} \times \vec{n}) d\Phi$$

- $(\vec{d\Omega})$  is **normal** to the rotation plane





# Infinitesimal rotations

$$\boldsymbol{\alpha} = \begin{bmatrix} 0 & d\Omega_3 & -d\Omega_2 \\ -d\Omega_3 & 0 & d\Omega_1 \\ d\Omega_2 & -d\Omega_1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & n_3 & -n_2 \\ -n_3 & 0 & n_1 \\ n_2 & -n_1 & 0 \end{bmatrix} d\Phi$$

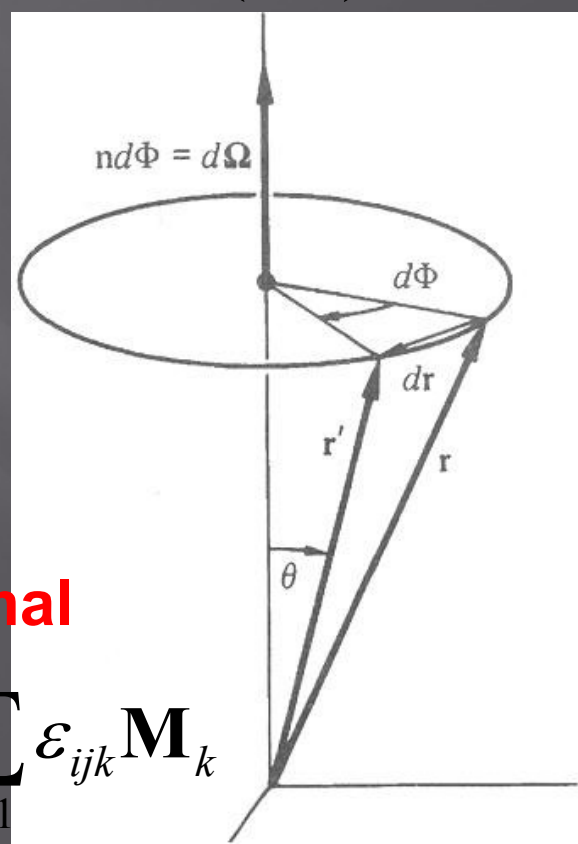
$$\vec{(d\Omega)} = \vec{n} d\Phi$$

$$\boldsymbol{\alpha} = d\Phi \sum_{i=1}^3 n_i \mathbf{M}_i \quad \mathbf{M}_1 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$$

$$\mathbf{M}_2 = \begin{bmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}; \mathbf{M}_3 = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

- These matrices are called **infinitesimal rotation generators**

$$\mathbf{M}_i \mathbf{M}_j - \mathbf{M}_j \mathbf{M}_i = \sum_{k=1}^3 \epsilon_{ijk} \mathbf{M}_k$$





# Example: infinitesimal Euler angles

$$\mathbf{A} =$$

$$= \begin{bmatrix} \cos \psi \cos \phi - \cos \theta \sin \phi \sin \psi & \cos \psi \sin \phi + \cos \theta \cos \phi \sin \psi & \sin \psi \sin \theta \\ -\sin \psi \cos \phi - \cos \theta \sin \phi \cos \psi & -\sin \psi \sin \phi + \cos \theta \cos \phi \cos \psi & \cos \psi \sin \theta \\ \sin \theta \sin \phi & -\sin \theta \cos \phi & \cos \theta \end{bmatrix}$$

- For infinitesimal Euler angles it can be rewritten as

$$\mathbf{A} = \begin{bmatrix} 1 & d\phi + d\psi & 0 \\ -(d\phi + d\psi) & 1 & d\theta \\ 0 & -d\theta & 1 \end{bmatrix} = \mathbf{1} + \boldsymbol{\alpha}$$

$$\boldsymbol{\alpha} = \begin{bmatrix} 0 & d\Omega_3 & -d\Omega_2 \\ -d\Omega_3 & 0 & d\Omega_1 \\ d\Omega_2 & -d\Omega_1 & 0 \end{bmatrix}$$

$$\boldsymbol{\alpha} = \begin{bmatrix} 0 & d\phi + d\psi & 0 \\ -(d\phi + d\psi) & 0 & d\theta \\ 0 & -d\theta & 0 \end{bmatrix}$$

$\vec{d\Omega} = \hat{i} d\theta + \hat{k} (d\phi + d\psi)$



## Rate of change of a vector

$$\mathbf{G}' = \mathbf{A}\mathbf{G} \quad G_i' = \sum_{j=1}^3 a_{ij} G_j \quad a_{ij} = \delta_{ij} + da_{ij} = \delta_{ij} + \alpha_{ij}$$

$$dG_i' = \sum_{j=1}^3 (a_{ij} dG_j + G_j da_{ij}) = \sum_{j=1}^3 ((\delta_{ij} + da_{ij}) dG_j + G_j da_{ij})$$

$$= \sum_{j=1}^3 (\delta_{ij} dG_j + G_j \alpha_{ij}) = dG_i + \sum_{j=1}^3 \left( G_j \sum_{k=1}^3 \varepsilon_{ijk} d\Omega_k \right) = dG_i + \sum_{j,k=1}^3 \varepsilon_{ijk} G_j d\Omega_k$$

$$\alpha_{ij} = \sum_{k=1}^3 \varepsilon_{ijk} d\Omega_k$$

$$dG_i' = dG_i + (\mathbf{G} \times d\boldsymbol{\Omega})_i$$

• Dividing by  $dt$

$$\frac{d\mathbf{G}'}{dt} = \frac{d\mathbf{G}}{dt} + \mathbf{G} \times \boldsymbol{\omega}$$

$$\boldsymbol{\omega} dt \equiv d\boldsymbol{\Omega}$$

$$\dot{\mathbf{G}}' = \dot{\mathbf{G}} + \mathbf{G} \times \boldsymbol{\omega}$$



## Example: the Coriolis effect

$$\dot{\mathbf{G}} = \dot{\mathbf{G}}' + \boldsymbol{\omega} \times \mathbf{G}$$

$$\underline{\vec{\omega} = \text{const}}$$

- Velocity vectors in the rotating and in the “stationary” systems are related as

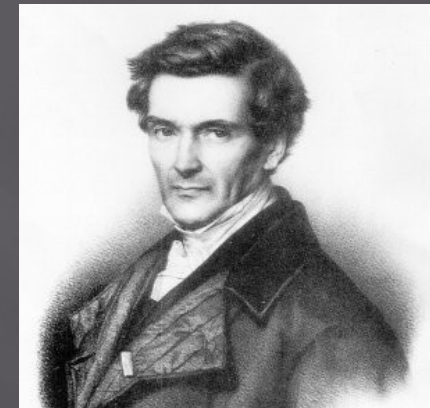
$$\dot{\vec{r}}_s = \dot{\vec{r}}_r + \vec{\omega} \times \vec{r} \qquad \vec{v}_s = \vec{v}_r + \vec{\omega} \times \vec{r}$$

- For the rate of change of velocity

$$\begin{aligned} (\dot{\vec{v}}_s)_s &= (\dot{\vec{v}}_s)_r + \vec{\omega} \times \vec{v}_s = \left( \frac{d(\vec{v}_r + \vec{\omega} \times \vec{r})}{dt} \right)_r + \vec{\omega} \times (\vec{v}_r + \vec{\omega} \times \vec{r}) \\ &= (\dot{\vec{v}}_r)_r + 2\vec{\omega} \times \vec{v}_r + \vec{\omega} \times (\vec{\omega} \times \vec{r}) \end{aligned}$$

$$\vec{a}_r = \vec{a}_s - 2\vec{\omega} \times \vec{v}_r - \vec{\omega} \times (\vec{\omega} \times \vec{r})$$

- Rotating system: acceleration acquires **Coriolis** and **centrifugal** components



Gaspard-Gustave  
Coriolis  
(1792 - 1843)





# Example: the Coriolis effect

$$\vec{a}_c = \vec{v}_r \times 2\vec{\omega}$$

- On the other hand

$$\vec{a}_L = \vec{v} \times \frac{q\vec{B}}{m}$$

- This is the **Lorentz acceleration**

$$\vec{a}_L = \vec{v} \times \vec{\omega}_L$$

$$\vec{\omega}_L \equiv \frac{q\vec{B}}{m}$$

- What is the relationship between those two?



# Kinetic energy of a system of particles

- Kinetic energy of a system of particles  $T = \frac{1}{2} \sum_i m_i (\dot{r}_i)^2$

- Introducing a **center of mass**:

$$\sum_i m_i \vec{r}_i = M \vec{R}$$

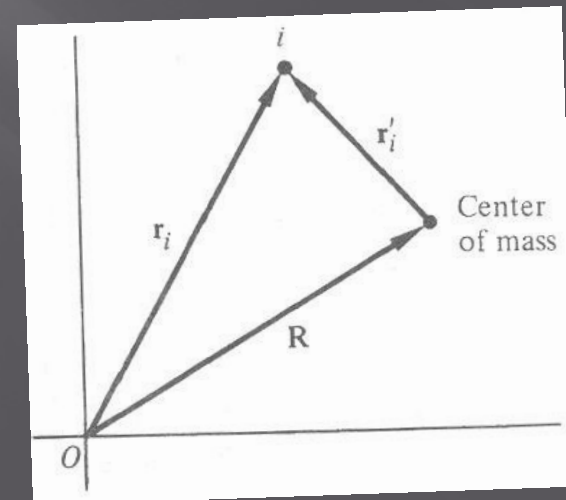
$$\vec{R} = \frac{\sum_i m_i \vec{r}_i}{\sum_i m_i} = \frac{\sum_i m_i \vec{r}_i}{M}$$

- We can rewrite the coordinates in the center-of-mass coordinate system:

$$\vec{r}_i = \vec{r}_i' + \vec{R} \quad \dot{\vec{r}}_i = \dot{\vec{r}}_i' + \dot{\vec{R}}$$

- Kinetic energy can be rewritten:

$$\begin{aligned} T &= \frac{1}{2} \sum_i m_i (\dot{r}_i)^2 = \frac{1}{2} \sum_i m_i (\dot{\vec{r}}_i' + \dot{\vec{R}}) \cdot (\dot{\vec{r}}_i' + \dot{\vec{R}}) \\ &= \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \sum_i m_i (\dot{\vec{r}}_i' \cdot \dot{\vec{R}}) + \frac{1}{2} \sum_i m_i (\dot{R})^2 \end{aligned}$$





# Kinetic energy of a system of particles

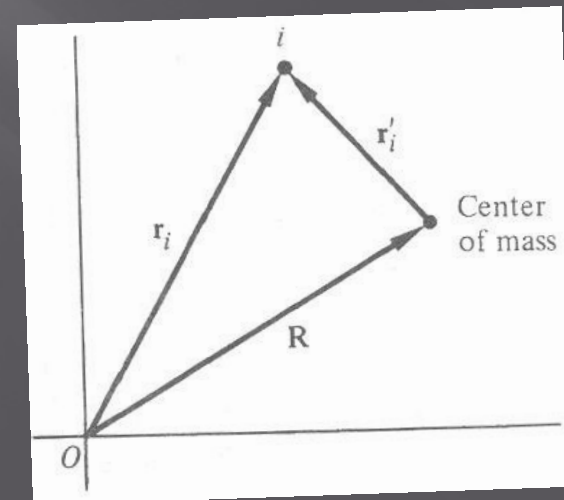
$$\begin{aligned}
 T &= \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \sum_i m_i (\dot{\vec{r}}_i' \cdot \dot{\vec{R}}) + \frac{1}{2} \sum_i m_i (\dot{R})^2 \\
 &= \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \dot{\vec{R}} \cdot \sum_i m_i \dot{\vec{r}}_i' + \frac{1}{2} (\dot{R})^2 \sum_i m_i \\
 &= \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \dot{\vec{R}} \cdot \frac{d}{dt} \sum_i m_i \vec{r}_i' + \frac{1}{2} (\dot{R})^2 M
 \end{aligned}$$

- On the other hand

$$\sum_i m_i \vec{r}_i = M \vec{R} \quad \sum_i m_i \vec{r}_i' = M \vec{R}'$$

- In the center-of-mass coordinate system, the center of mass is at the origin, therefore

$$T = \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \frac{1}{2} (\dot{R})^2 M$$

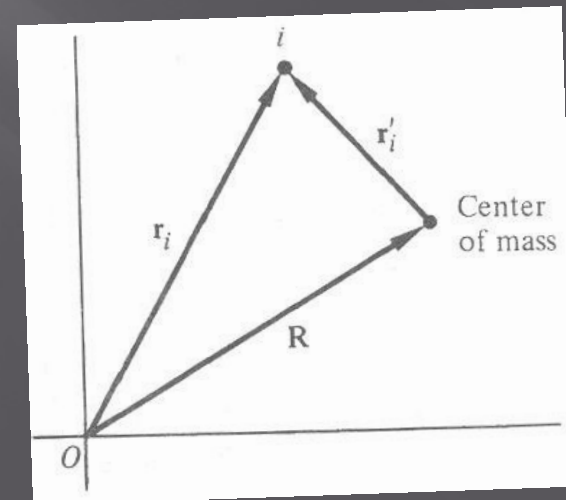




# Kinetic energy of a system of particles

- Kinetic energy of the system of particles consists of a kinetic energy about the center of mass plus a kinetic energy obtained if all the mass were concentrated at the center of mass
- This statement can be applied to the case of a **rigid body**: Kinetic energy of a rigid body consists of a kinetic energy about the center of mass plus a kinetic energy obtained if all the mass were concentrated at the center of mass
- Recall Chasles' theorem!

$$T = \frac{1}{2} \sum_i m_i (\dot{r}'_i)^2 + \frac{1}{2} (\dot{R})^2 M$$



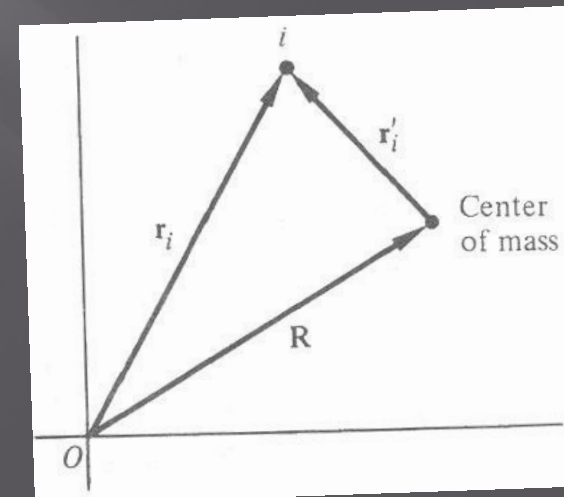


# Kinetic energy of a system of particles

- Chasles: we can represent motion of a rigid body as a combination of a **rotation** and **translation**
- If the potential and/or the generalized external forces are known, the translational motion of center of mass can be dealt with separately, as a motion of a **point object**
- Let us consider the rotational part or motion

$$T_R = \frac{1}{2} \sum_i m_i (\dot{r}'_i)^2$$

$$T = \frac{1}{2} \sum_i m_i (\dot{r}'_i)^2 + \frac{1}{2} (\dot{R})^2 M$$





## Rotational kinetic energy

$$T_R = \frac{1}{2} \sum_i m_i (\dot{\vec{r}}_i')^2 = \frac{1}{2} \sum_i m_i \dot{\vec{r}}_i' \cdot \dot{\vec{r}}_i' = \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i') \cdot (\vec{\omega} \times \vec{r}_i')$$

• Rate of change of a vector  $(\dot{\vec{r}}_i')_s = \cancel{(\dot{\vec{r}}_i')_r} + \vec{\omega} \times \vec{r}_i'$

• For a rigid body, in the rotating frame of reference, all the distances between the points of the rigid body are **fixed**:

$$(\dot{\vec{r}}_i')_r = 0 \quad \therefore (\dot{\vec{r}}_i')_s = \vec{\omega} \times \vec{r}_i'$$

• Rotational kinetic energy:

$$T_R = \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i') \cdot (\vec{\omega} \times \vec{r}_i') = \frac{1}{2} \sum_i m_i \sum_{j=1}^3 (\vec{\omega} \times \vec{r}_i')_j \cdot (\vec{\omega} \times \vec{r}_i')_j$$

$$= \frac{1}{2} \sum_i m_i \sum_{j=1}^3 \left( \left( \sum_{k,l=1}^3 \varepsilon_{jkl} \omega_k r_{i'l} \right) \left( \sum_{m,n=1}^3 \varepsilon_{jmn} \omega_m r_{i'n} \right) \right)$$



## Rotational kinetic energy

$$\begin{aligned}
 T_R &= \frac{1}{2} \sum_i m_i \sum_{j=1}^3 \left( \left( \sum_{k,l=1}^3 \varepsilon_{jkl} \omega_k r'_{i l} \right) \left( \sum_{m,n=1}^3 \varepsilon_{jmn} \omega_m r'_{i n} \right) \right) & \sum_{j=1}^3 \varepsilon_{jkl} \varepsilon_{jmn} = \\
 & & = \delta_{km} \delta_{ln} - \delta_{lm} \delta_{kn} \\
 &= \frac{1}{2} \sum_i \sum_{j,k,l,m,n=1}^3 m_i \varepsilon_{jkl} \varepsilon_{jmn} \omega_k \omega_m r'_{i l} r'_{i n} \\
 & & = \frac{1}{2} \sum_i \sum_{k,l,m,n=1}^3 m_i (\delta_{km} \delta_{ln} - \delta_{lm} \delta_{kn}) \omega_k \omega_m r'_{i l} r'_{i n} \\
 &= \frac{1}{2} \sum_i m_i \left( \sum_{k,l=1}^3 (\omega_k)^2 (r'_{i l})^2 - \sum_{k,l=1}^3 \omega_k r'_{i k} r'_{i l} \omega_l \right) \\
 &= \frac{1}{2} \sum_{k,l=1}^3 \omega_k \omega_l \sum_i m_i [(r'_i)^2 \delta_{kl} - r'_{i k} r'_{i l}] = \frac{1}{2} \sum_{k,l=1}^3 \omega_k I_{kl} \omega_l = \frac{\tilde{\omega} \mathbf{I} \omega}{2}
 \end{aligned}$$

$$I_{kl} \equiv \sum_i m_i [(r'_i)^2 \delta_{kl} - r'_{i k} r'_{i l}]$$

# Inertia tensor and moment of inertia

$$T_R = \frac{\tilde{\omega} \mathbf{I} \omega}{2}$$

$$I_{kl} \equiv \sum_i m_i [(r_i')^2 \delta_{kl} - r_i'{}_k r_i'{}_l]$$

- **(3x3) matrix  $\mathbf{I}$**  is called the **inertia tensor**
- Inertia tensor is a symmetric matrix (only 6 independent elements):

$$I_{kl} = I_{lk}$$

- For a rigid body with a **continuous distribution of density**, the definition of the inertia tensor is as follows:

$$I_{kl} \equiv \int_V \rho [(r)^2 \delta_{kl} - r_k r_l] dV$$

- Introducing a notation

$$\boldsymbol{\omega} = \omega \mathbf{n}$$

$$T_R = \frac{\tilde{\omega} \mathbf{I} \omega}{2} = \frac{\omega \tilde{\mathbf{n}} \mathbf{I} \omega}{2} = \frac{I \omega^2}{2}$$

- **Scalar  $I$**  is called the **moment of inertia**

$$I \equiv \tilde{\mathbf{n}} \mathbf{I} \mathbf{n}$$





# Inertia tensor and moment of inertia

$$T_R = \frac{I\omega^2}{2}$$

- On the other hand:

$$T_R = \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i') \cdot (\vec{\omega} \times \vec{r}_i') = \frac{\omega^2}{2} \sum_i m_i (\vec{n} \times \vec{r}_i') \cdot (\vec{n} \times \vec{r}_i')$$

- Therefore

$$I = \sum_i m_i (\vec{n} \times \vec{r}_i') \cdot (\vec{n} \times \vec{r}_i')$$

- The moment of inertia depends upon the **position** and **direction** of the axis of rotation



## Parallel axis theorem

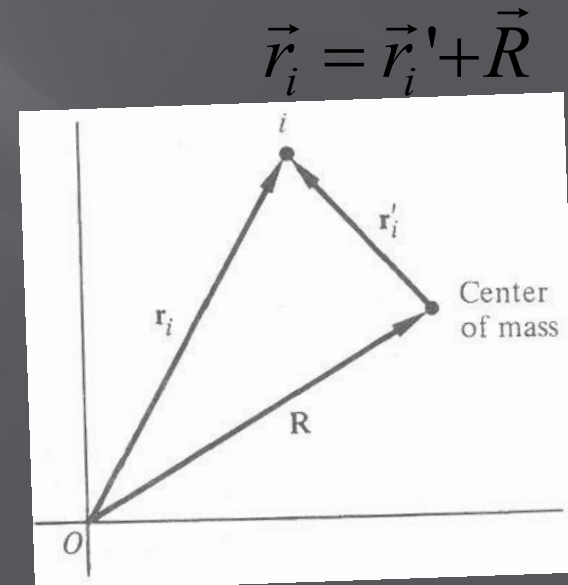
- For a constrained rigid body, the rotation may occur not around the center of mass, but around some other point  $O$ , fixed at a given moment of time
- Then, the moment of inertia about the axis of rotation is:

$$I_0 = \sum_i m_i (\vec{n} \times \vec{r}_i) \cdot (\vec{n} \times \vec{r}_i) = \sum_i m_i (\vec{n} \times (\vec{r}_i' + \vec{R})) \cdot (\vec{n} \times (\vec{r}_i' + \vec{R}))$$

$$= \sum_i m_i (\vec{n} \times \vec{r}_i')^2 + 2 \sum_i m_i (\vec{n} \times \vec{r}_i') \cdot (\vec{n} \times \vec{R})$$

$$+ \sum_i m_i (\vec{n} \times \vec{R})^2 = I_{CM}$$

$$+ 2(\vec{n} \times \sum_i m_i \vec{r}_i') \cdot (\vec{n} \times \vec{R}) + (\vec{n} \times \vec{R})^2 M$$

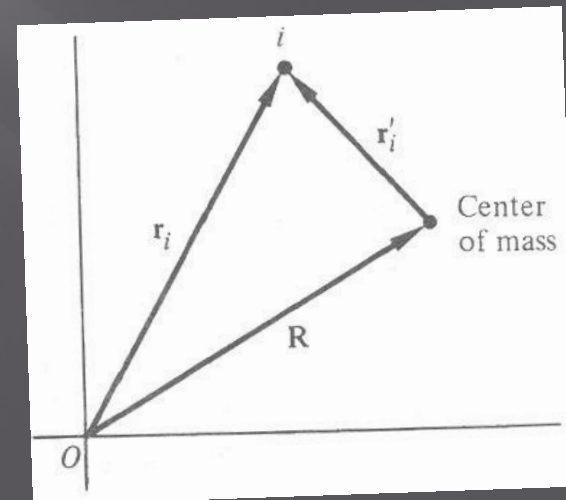
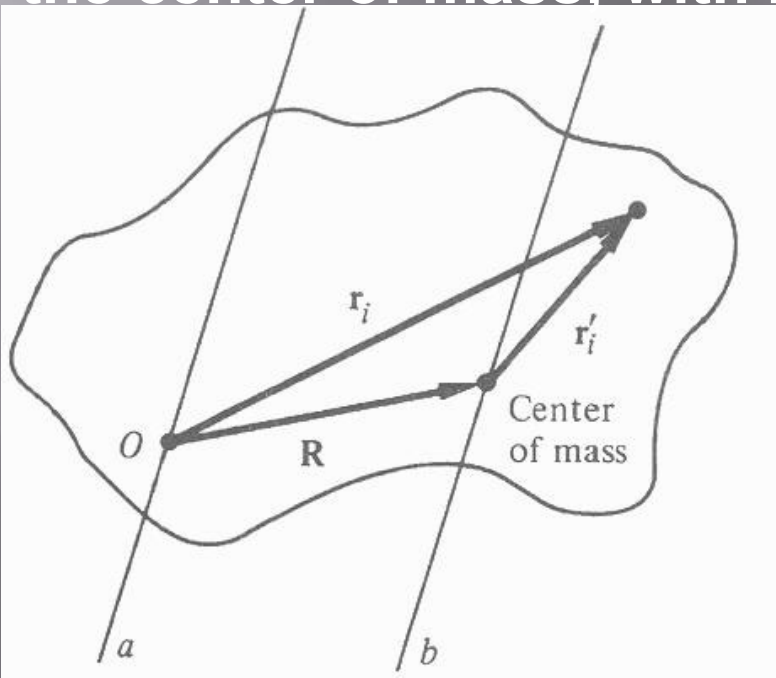




# Parallel axis theorem

$$I_0 = I_{CM} + M(\vec{n} \times \vec{R})^2$$

- **Parallel axis theorem:** the moment of inertia about a given axis is equal to the moment of inertia about a parallel axis through the center of mass plus the moment of inertia of the body, as if concentrated at the center of mass, with respect to the original axis





# Parallel axis theorem

- Does the change of axes affect the  $\boldsymbol{\omega}$  vector?
- Let us consider two systems of coordinates defined with respect to two **different** points of the rigid body:

$$\mathbf{x}'_1\mathbf{y}'_1\mathbf{z}'_1 \text{ and } \mathbf{x}'_2\mathbf{y}'_2\mathbf{z}'_2 \quad \vec{R}_2 = \vec{R}_1 + \vec{R}$$

- Then  $(\dot{\vec{R}}_2)_s = (\dot{\vec{R}}_1)_s + (\dot{\vec{R}})_s = (\dot{\vec{R}}_1)_s + \cancel{(\dot{\vec{R}})_r} + \vec{\omega}_1 \times \vec{R}$

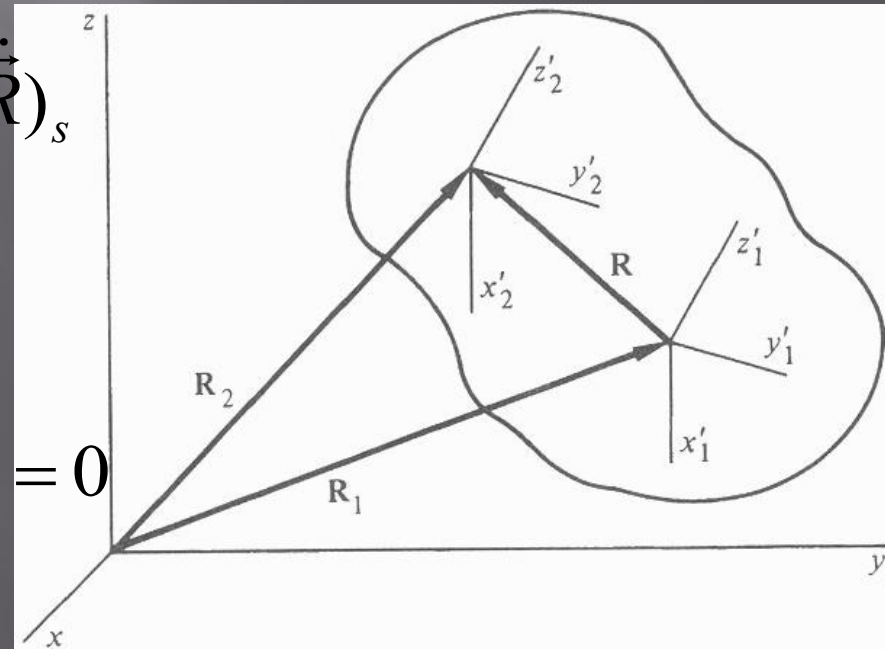
- Similarly  $(\dot{\vec{R}}_1)_s = (\dot{\vec{R}}_2)_s - (\dot{\vec{R}})_s$

$$= (\dot{\vec{R}}_2)_s - \cancel{(\dot{\vec{R}})_r} - \vec{\omega}_2 \times \vec{R}$$

$$(\dot{\vec{R}}_2)_s = (\dot{\vec{R}}_1)_s + \vec{\omega}_1 \times \vec{R}$$

$$(\vec{\omega}_1 - \vec{\omega}_2) \times \vec{R} = 0$$

$$(\dot{\vec{R}}_1)_s = (\dot{\vec{R}}_2)_s - \vec{\omega}_2 \times \vec{R}$$





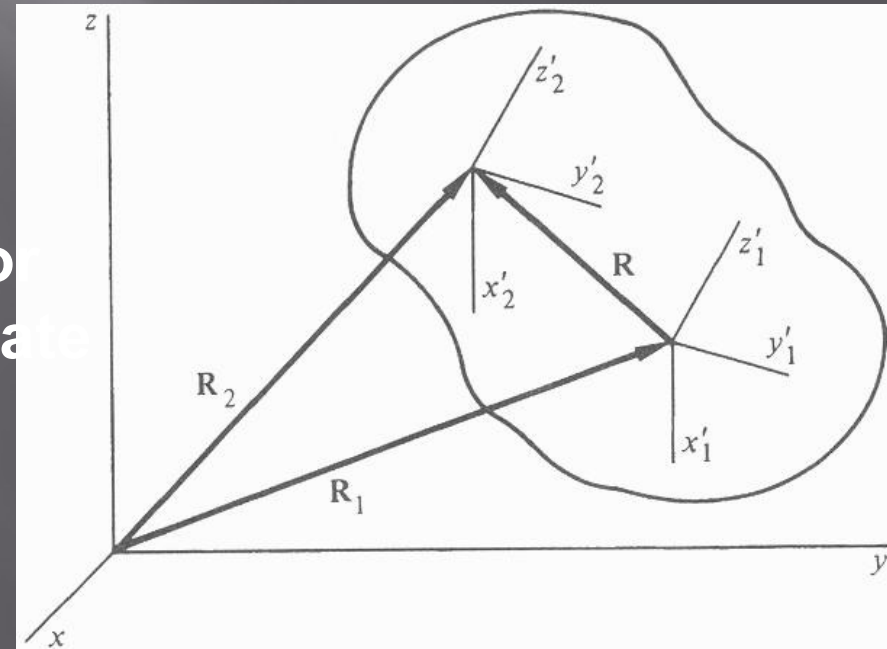
# Parallel axis theorem

$$(\vec{\omega}_1 - \vec{\omega}_2) \times \vec{R} = 0$$

- **Any** difference in  $\omega$  vectors at two **arbitrary** points must be parallel to the line joining two points
- It is not possible for **all the points** of the rigid body
- Then, the only possible case:

$$\vec{\omega}_1 = \vec{\omega}_2$$

- The angular velocity vector is **the same** for all coordinate systems fixed in the body





## Example: inertia tensor of a homogeneous cube

- Let us consider a homogeneous cube of mass  $M$  and side  $a$
- Let us choose the origin at one of cube's corners

- Then 
$$I_{kl} = \int_V \rho [(r)^2 \delta_{kl} - r_k r_l] dV$$

$$I_{11} = \rho \int_0^a \int_0^a \int_0^a [(r)^2 - r_1 r_1] dr_1 dr_2 dr_3 = \rho \int_0^a \int_0^a \int_0^a [(r_2)^2 + (r_3)^2] dr_1 dr_2 dr_3$$

$$= \rho a \int_0^a \int_0^a [(r_2)^2 + (r_3)^2] dr_2 dr_3 = \frac{2\rho a^5}{3} = \frac{2Ma^2}{3} = I_{22} = I_{33}$$



## Example: inertia tensor of a homogeneous cube

$$I_{kl} = \int_V \rho [(r)^2 \delta_{kl} - r_k r_l] dV$$

$$I_{12} = \rho \int_0^a \int_0^a \int_0^a [-r_1 r_2] dr_1 dr_2 dr_3 = -\rho a \int_0^a \int_0^a [r_1 r_2] dr_1 dr_2 = -\frac{\rho a^5}{4} = -\frac{Ma^2}{4}$$

$$I_{12} = I_{21} = I_{13} = I_{31} = I_{23} = I_{32}$$

$$\mathbf{I} = Ma^2 \begin{bmatrix} \frac{2}{3} & -\frac{1}{4} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{2}{3} & -\frac{1}{4} \\ -\frac{1}{4} & -\frac{1}{4} & \frac{2}{3} \end{bmatrix}$$



# Angular momentum of a rigid body

- **Angular momentum** of a system of particles is:

$$\vec{L} = \sum_i m_i (\vec{r}_i \times \dot{\vec{r}}_i)$$

- Rate of change of a vector  $(\dot{\vec{r}}_i)_s = \cancel{(\dot{\vec{r}}_i)_r} + \vec{\omega} \times \vec{r}_i$

- For a **rigid body**, in the rotating frame of reference, all the distances between the points of the rigid body are **fixed**:

$$(\dot{\vec{r}}_i)_r = 0 \quad \therefore (\dot{\vec{r}}_i)_s = \vec{\omega} \times \vec{r}_i$$

- Angular momentum of rigid body:  $\vec{L} = \sum_i m_i (\vec{r}_i \times (\vec{\omega} \times \vec{r}_i))$

$$L_j = \sum_i m_i \left( \sum_{k,l=1}^3 \varepsilon_{jkl} r_{ik} \left( \sum_{m,n=1}^3 \varepsilon_{lmn} \omega_m r_{in} \right) \right) = \sum_i \sum_{k,l,m,n=1}^3 \varepsilon_{jkl} \varepsilon_{lmn} r_{ik} r_{in} \omega_m m_i$$





# Angular momentum of a rigid body

$$\begin{aligned}
 L_j &= \sum_i \sum_{k,l,m,n=1}^3 \varepsilon_{jkl} \varepsilon_{lmn} r_{ik} r_{in} \omega_m m_i \\
 &= \sum_i \sum_{k,m,n=1}^3 (\delta_{jm} \delta_{kn} - \delta_{jn} \delta_{km}) r_{ik} r_{in} \omega_m m_i \\
 &= \sum_{k=1}^3 \omega_k \sum_i m_i [(r_i)^2 \delta_{jk} - r_{ij} r_{ik}] = \sum_{k=1}^3 I_{jk} \omega_k
 \end{aligned}$$

$$\mathbf{L} = \mathbf{I}\boldsymbol{\omega}$$

- Rotational kinetic energy:

$$T_R = \frac{\tilde{\boldsymbol{\omega}} \mathbf{I} \boldsymbol{\omega}}{2} = \frac{\tilde{\boldsymbol{\omega}} \mathbf{L}}{2} = \frac{\tilde{\mathbf{L}} \boldsymbol{\omega}}{2}$$



## Free rigid body

- For a free rigid body, the Lagrangian is:

$$L = T = \frac{1}{2} \sum_i m_i (\dot{r}_i')^2 + \frac{1}{2} (\dot{R})^2 M = \frac{1}{2} \sum_{k,l=1}^3 \omega_k I_{kl} \omega_l + \frac{1}{2} (\dot{R})^2 M$$

- Recall  $\omega_i dt = d\Omega_i$

- Then  $L = \frac{1}{2} \sum_{k,l=1}^3 \dot{\Omega}_k I_{kl} \dot{\Omega}_l + T_{CM}$

- We separate the Lagrangian into two independent parts and consider the rotational part separately

- Then, the equations of motion for rotation

$$\frac{d}{dt} \left( \frac{\partial L_R}{\partial \dot{\Omega}_i} \right) = \frac{\partial L_R}{\partial \Omega_i} \quad \frac{d}{dt} \left( \sum_{k=1}^3 I_{ik} \dot{\Omega}_k \right) = 0 \quad \frac{d}{dt} \left( \sum_{k=1}^3 I_{ik} \omega_k \right) = 0$$



## Free rigid body

$$\frac{d}{dt} \left( \sum_{k=1}^3 I_{ik} \omega_k \right) = 0$$

$$\frac{dL_i}{dt} = 0$$

$$\frac{d\vec{L}}{dt} = 0$$

- Angular momentum of a free rigid body is constant
- In the system of coordinates fixed with the rotating rigid body, the tensor of inertia is a constant – it is often convenient to rewrite the equations of motion in the rotating frame of reference:

$$\left( \frac{d\vec{L}}{dt} \right)_s = \left( \frac{d\vec{L}}{dt} \right)_r + \vec{\omega} \times \vec{L} = 0 \quad \frac{d}{dt} \left( \sum_{k=1}^3 I_{ik} \omega_k \right) + \sum_{k,l=1}^3 \varepsilon_{ikl} \omega_k L_l = 0$$

$$\sum_{k=1}^3 I_{ik} \dot{\omega}_k + \sum_{k,l,m=1}^3 \varepsilon_{ikl} I_{ml} \omega_k \omega_l = 0$$



## Principal axes of inertia

- Inertia tensor is a symmetric matrix
- In a general case, such matrices can be **diagonalized** – we are looking for a system of coordinates fixed to a rigid body, in which the inertia

tensor has a form:

$$\mathbf{I} = \begin{bmatrix} I_1 & 0 & 0 \\ 0 & I_2 & 0 \\ 0 & 0 & I_3 \end{bmatrix}$$

- To diagonalize the inertia tensor, we have to find the solutions of a **secular equation**

$$\begin{vmatrix} I_{11} - I & I_{12} & I_{13} \\ I_{21} & I_{22} - I & I_{23} \\ I_{31} & I_{32} & I_{33} - I \end{vmatrix} = 0$$



## Principal axes of inertia

- Coordinate axes, in which the inertia tensor is diagonal, are called the **principal axes** of a rigid body; the eigenvalues of the secular equations are the components of the **principal moment of inertia**

- After diagonalization of the inertia tensor, the equations of motion for rotation of a free rigid body look like

$$I_i \dot{\omega}_i + \sum_{j,k=1}^3 \varepsilon_{ijk} \omega_j \omega_k I_k = 0$$

- After diagonalization of the inertia tensor, the rotational kinetic energy a rigid body looks like

$$T_R = \frac{1}{2} \sum_{i=1}^3 I_i \omega_i^2$$

# Principal axes of inertia

- To find the directions of the principal axes we have to find the directions for the **eigenvectors**  $\omega$
- When the rotation occurs around one of the principal axes  $I_n$ , there is only **one** non-zero component  $\omega_n$
- In this case, the angular momentum has only **one** component

$$L_k = I_k \omega_k \delta_{kn}$$

- In this case, the rotational kinetic energy has only **one** term

$$T_R = \frac{1}{2} \sum_{i=1}^3 \delta_{in} I_i \omega_i^2 = \frac{I_n \omega_n^2}{2}$$

# Stability of a free rotational motion

- Let us choose the body axes along the principal axes of a **free** rotating rigid body
- Let us assume that the rotation axis is **slightly off** the direction of one of the principal axes ( $\alpha$  - small parameter):

$$\vec{\omega} = \omega_1 \hat{i}_1 + \alpha \nu_2 \hat{i}_2 + \alpha \nu_3 \hat{i}_3$$

- Then, the equations of motion  $I_i \dot{\omega}_i + \sum_{j,k=1}^3 \varepsilon_{ijk} \omega_j \omega_k I_k = 0$

$$I_1 \dot{\omega}_1 + \omega_2 \omega_3 (I_3 - I_2) = 0$$

$$I_1 \dot{\omega}_1 + \alpha \nu_2 \alpha \nu_3 (I_3 - I_2) = 0$$

$$I_2 \dot{\omega}_2 + \omega_1 \omega_3 (I_1 - I_3) = 0$$

$$I_2 \alpha \dot{\nu}_2 + \omega_1 \alpha \nu_3 (I_1 - I_3) = 0$$

$$I_3 \dot{\omega}_3 + \omega_1 \omega_2 (I_2 - I_1) = 0$$

$$I_3 \alpha \dot{\nu}_3 + \omega_1 \alpha \nu_2 (I_2 - I_1) = 0$$

# Stability of a free rotational motion

$$I_1 \dot{\omega}_1 + \cancel{\alpha v_2 \alpha v_3} (I_3 - I_2) = 0$$

$$I_2 \alpha \dot{v}_2 + \omega_1 \alpha v_3 (I_1 - I_3) = 0$$

$$I_3 \alpha \dot{v}_3 + \omega_1 \alpha v_2 (I_2 - I_1) = 0$$

$$\dot{\omega}_1 = 0$$

$$\dot{v}_2 + \omega_1 v_3 \frac{I_1 - I_3}{I_2} = 0$$

$$\dot{v}_3 + \omega_1 v_2 \frac{I_2 - I_1}{I_3} = 0$$

$$\omega_1 = \text{const}$$

$$\ddot{v}_{2(3)} + v_{2(3)} \frac{\omega_1^2}{I_2 I_3} (I_1 - I_2)(I_1 - I_3) = 0$$

$$\ddot{v}_{2(3)} + v_{2(3)} K = 0 \quad K \equiv \frac{\omega_1^2}{I_2 I_3} (I_1 - I_2)(I_1 - I_3)$$



# Stability of a free rotational motion

$$\ddot{v}_{2(3)} + v_{2(3)} K = 0 \quad K \equiv \frac{\dot{\omega}_1^2}{I_2 I_3} (I_1 - I_2)(I_1 - I_3)$$

- The behavior of solutions of this equation depends on the **relative values** of the principal moments of inertia

$$I_1 < I_2; I_1 < I_3 \rightarrow K > 0 \quad K \equiv \beta^2$$

$$I_1 > I_2; I_1 > I_3 \rightarrow K > 0 \quad K \equiv \beta^2$$

$$\ddot{v}_{2(3)} + \beta^2 v_{2(3)} = 0$$

$$v_{2(3)} = A_{2(3)} \cos(\beta t + \varphi_{2(3)})$$

- Always **stable**

$$I_3 < I_1 < I_2 \rightarrow K < 0 \quad K \equiv -\gamma^2$$

$$I_2 < I_1 < I_3 \rightarrow K < 0 \quad K \equiv -\gamma^2$$

$$\ddot{v}_{2(3)} - \gamma^2 v_{2(3)} = 0$$

$$v_{2(3)} = A_{2(3)} e^{-\gamma t}$$

- Exponentially unstable**

$$v_{2(3)} = A_{2(3)} e^{\gamma t}$$

# Classification of tops

- Depending on the relative values of the principle values of inertia, rigid body can be classified as follows:

- **Asymmetrical top:**  $I_1 \neq I_2 \neq I_3$

- **Symmetrical top:**  $I_1 = I_2 \neq I_3$

- **Spherical top:**  $I_1 = I_2 = I_3$

- **Rotator:**  $I_1 = I_2 \neq 0; I_3 = 0$

## Example: principal axes of a uniform cube

- Previously, we have found the inertia tensor for a uniform cube with the origin at one of the corners, and the coordinate axes along the edges:

$$\mathbf{I} = Ma^2 \begin{bmatrix} \frac{2}{3} & -\frac{1}{4} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{2}{3} & -\frac{1}{4} \\ -\frac{1}{4} & -\frac{1}{4} & \frac{2}{3} \end{bmatrix} \begin{vmatrix} \frac{2Ma^2}{3} - I & -\frac{Ma^2}{4} & -\frac{Ma^2}{4} \\ -\frac{Ma^2}{4} & \frac{2Ma^2}{3} - I & -\frac{Ma^2}{4} \\ -\frac{Ma^2}{4} & -\frac{Ma^2}{4} & \frac{2Ma^2}{3} - I \end{vmatrix} = 0$$

- The secular equation:

$$\left[ \frac{11Ma^2}{12} - I \right] \left[ \left( \frac{2Ma^2}{3} - I \right)^2 - \frac{M^2 a^4}{8} - \frac{Ma^2}{4} \left( \frac{2Ma^2}{3} - I \right) \right] = 0$$

## Example: principal axes of a uniform cube

$$\left[ \frac{11Ma^2}{12} - I \right] \left[ \left( \frac{2Ma^2}{3} - I \right)^2 - \frac{M^2a^4}{8} - \frac{Ma^2}{4} \left( \frac{2Ma^2}{3} - I \right) \right] = 0$$

$$I_1 = \frac{11Ma^2}{12} \quad I_2 = \frac{11Ma^2}{12}; I_3 = \frac{Ma^2}{6}$$

• To find the directions of the principal axes we have to find the directions for the eigenvectors

• Let us consider  $I_3 = \frac{Ma^2}{6}$

$$\mathbf{I}\boldsymbol{\omega}_3 = I_3 \mathbf{1}\boldsymbol{\omega}_3$$

$$\boldsymbol{\omega}_3 = \begin{bmatrix} \omega_{13} \\ \omega_{23} \\ \omega_{33} \end{bmatrix}$$

# Example: principal axes of a uniform cube

$$\frac{2Ma^2}{3}\omega_{13} - \frac{Ma^2}{4}\omega_{23} - \frac{Ma^2}{4}\omega_{33} = \frac{Ma^2}{6}\omega_{13} \quad \frac{2\omega_{13}}{\omega_{33}} - \frac{\omega_{23}}{\omega_{33}} = 1$$

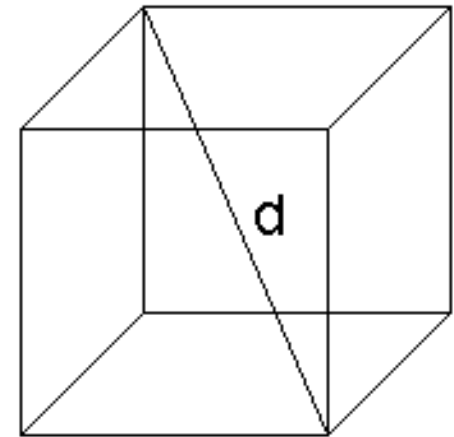
$$-\frac{Ma^2}{4}\omega_{13} + \frac{2Ma^2}{3}\omega_{23} - \frac{Ma^2}{4}\omega_{33} = \frac{Ma^2}{6}\omega_{23} \quad -\frac{\omega_{13}}{\omega_{33}} + \frac{2\omega_{23}}{\omega_{33}} = 1$$

$$-\frac{Ma^2}{4}\omega_{13} - \frac{Ma^2}{4}\omega_{23} + \frac{2Ma^2}{3}\omega_{33} = \frac{Ma^2}{6}\omega_{33} \quad \frac{\omega_{13}}{\omega_{33}} + \frac{\omega_{23}}{\omega_{33}} = 2$$

$$\omega_{13} = \omega_{23}$$

$$\omega_{13} = \omega_{33}$$

$$\omega_{13} = \omega_{23} = \omega_{33}$$



# Free symmetrical top

$$I_i \dot{\omega}_i + \sum_{j,k=1}^3 \varepsilon_{ijk} \omega_j \omega_k I_k = 0$$

$$I_1 \dot{\omega}_1 + \omega_2 \omega_3 (I_3 - I_2) = 0$$

$$I_2 \dot{\omega}_2 + \omega_1 \omega_3 (I_1 - I_3) = 0$$

$$I_3 \dot{\omega}_3 + \omega_1 \omega_2 (I_2 - I_1) = 0$$

• For a free symmetrical top:

$$I_1 = I_2 \neq I_3$$

$$I_1 \dot{\omega}_1 + \omega_2 \omega_3 (I_3 - I_1) = 0$$

$$I_1 \dot{\omega}_2 + \omega_1 \omega_3 (I_1 - I_3) = 0$$

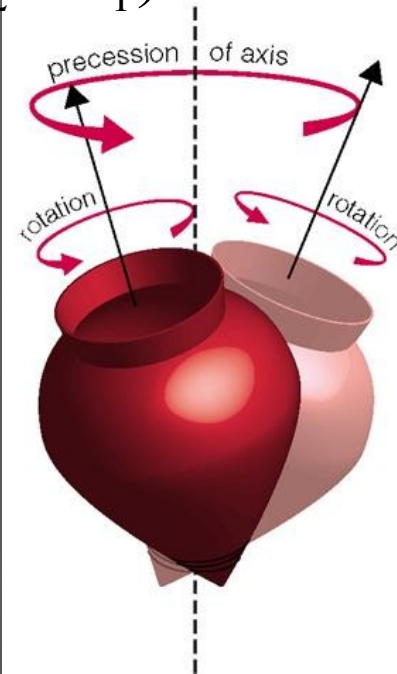
$$I_3 \dot{\omega}_3 = 0$$

$$\dot{\omega}_1 = \omega_2 \frac{\omega_3 (I_1 - I_3)}{I_1}$$

$$\dot{\omega}_2 = -\omega_1 \frac{\omega_3 (I_1 - I_3)}{I_1}$$

$$\omega_3 = \text{const}$$

$$\ddot{\omega}_1 = -\omega_1 \left( \frac{\omega_3 (I_1 - I_3)}{I_1} \right)^2 \equiv -\omega_1 \alpha^2$$



$$\omega_1 = A \cos \alpha t$$

$$\omega_2 = A \sin \alpha t$$

# Motion of non-free rigid bodies

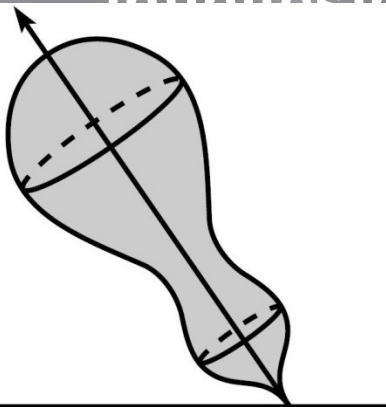
- How to tackle rigid bodies that move in the presence of a **potential** or in an open system with generalized forces (**torques**)?
- Many Lagrangian problems of such types allow separation of the Lagrangians into two independent parts: the center-of-mass and the rotational
- For the non-Lagrangian (open) systems, we modify the equations of motion via introduction of generalized forces (torques)  $N$ :

$$I_i \dot{\omega}_i + \sum_{j,k=1}^3 \varepsilon_{ijk} \omega_j \omega_k I_k = N_i$$



# Heavy symmetrical top with one point fixed

- For this problem, it is convenient to use the Euler angles as a set of independent variables
- Let us express the components of  $\omega$  as functions of the Euler angles
- The general infinitesimal rotation associated with  $\omega$  can be considered as consisting of three successive infinitesimal rotations with angular velocities



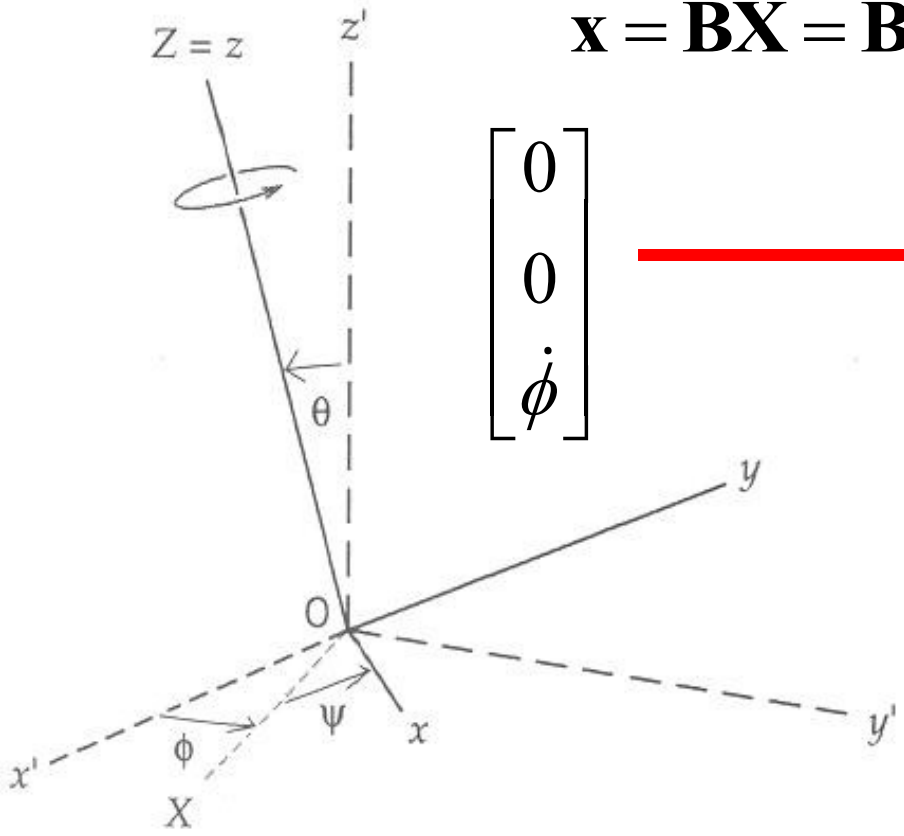
$$\vec{\omega} = \vec{\omega}_\theta + \vec{\omega}_\phi + \vec{\omega}_\psi$$

$$\omega_\theta = \dot{\theta}; \omega_\phi = \dot{\phi}; \omega_\psi = \dot{\psi}$$



# Heavy symmetrical top with one point fixed

$$\mathbf{x} = \mathbf{B}\mathbf{X} = \mathbf{B}\mathbf{C}\mathbf{x}' = \mathbf{B}\mathbf{C}\mathbf{D}\mathbf{x}' = \mathbf{A}\mathbf{x}'$$



$$\begin{bmatrix} 0 \\ 0 \\ \dot{\phi} \end{bmatrix}$$

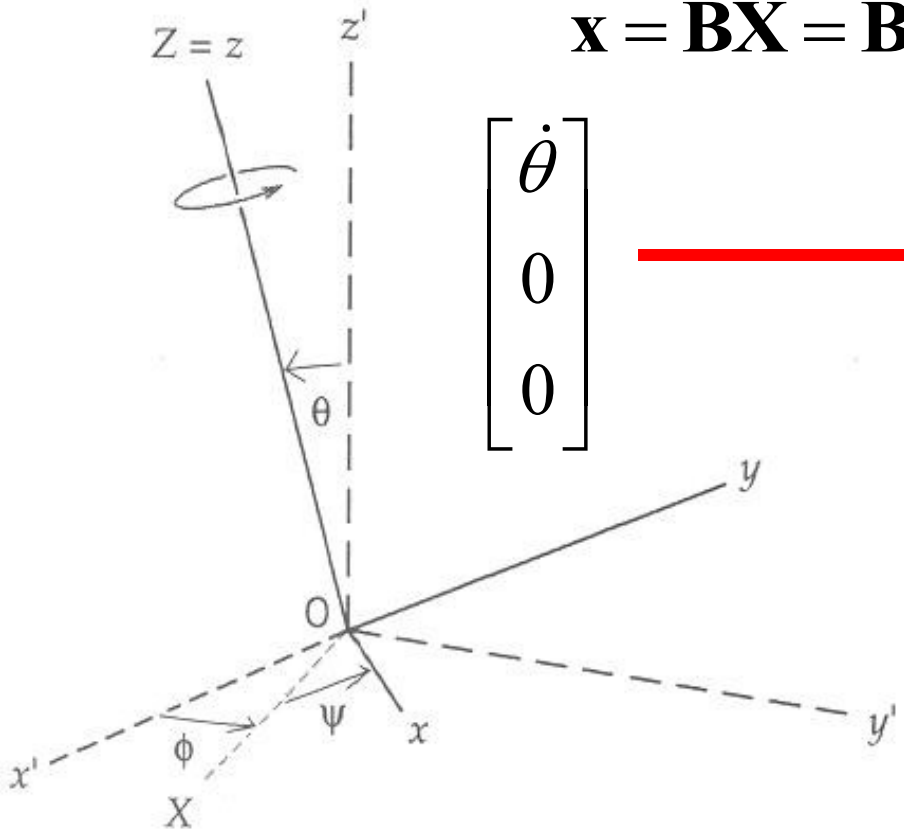
$$\begin{bmatrix} \dot{\phi}_x \\ \dot{\phi}_y \\ \dot{\phi}_z \end{bmatrix} = \mathbf{A} \begin{bmatrix} 0 \\ 0 \\ \dot{\phi} \end{bmatrix}$$

$$\begin{aligned} \dot{\phi}_x &= \dot{\phi} \sin \psi \sin \theta \\ \dot{\phi}_y &= \dot{\phi} \cos \psi \sin \theta \\ \dot{\phi}_z &= \dot{\phi} \cos \theta \end{aligned}$$

$$\mathbf{A} = \begin{bmatrix} \cos \psi \cos \phi - \cos \theta \sin \phi \sin \psi & \cos \psi \sin \phi + \cos \theta \cos \phi \sin \psi & \sin \psi \sin \theta \\ -\sin \psi \cos \phi - \cos \theta \sin \phi \cos \psi & -\sin \psi \sin \phi + \cos \theta \cos \phi \cos \psi & \cos \psi \sin \theta \\ \sin \theta \sin \phi & -\sin \theta \cos \phi & \cos \theta \end{bmatrix}$$

# Heavy symmetrical top with one point fixed

$$\mathbf{x} = \mathbf{B}\mathbf{X} = \mathbf{B}\mathbf{C}\mathbf{x}'' = \mathbf{B}\mathbf{C}\mathbf{D}\mathbf{x}' = \mathbf{A}\mathbf{x}'$$



$$\begin{bmatrix} \dot{\theta} \\ 0 \\ 0 \end{bmatrix}$$



$$\begin{bmatrix} \dot{\theta}_x \\ \dot{\theta}_y \\ \dot{\theta}_z \end{bmatrix} = \mathbf{B} \begin{bmatrix} \dot{\theta} \\ 0 \\ 0 \end{bmatrix}$$

$$\dot{\theta}_x = \dot{\theta} \cos \psi$$

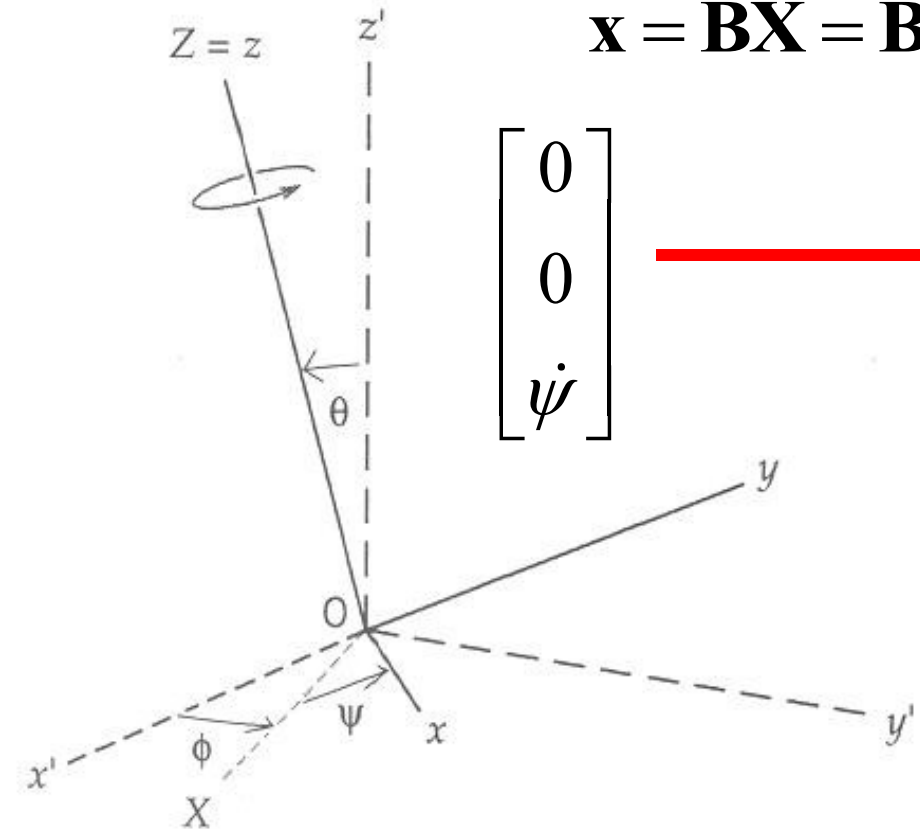
$$\dot{\theta}_y = -\dot{\theta} \sin \psi$$

$$\dot{\theta}_z = 0$$

$$\mathbf{B} = \begin{bmatrix} \cos \psi & \sin \psi & 0 \\ -\sin \psi & \cos \psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

# Heavy symmetrical top with one point fixed

$$\mathbf{x} = \mathbf{B}\mathbf{X} = \mathbf{B}\mathbf{C}\mathbf{x}' = \mathbf{B}\mathbf{C}\mathbf{D}\mathbf{x}' = \mathbf{A}\mathbf{x}'$$



$$\begin{bmatrix} 0 \\ 0 \\ \dot{\psi} \end{bmatrix}$$



$$\begin{aligned} \dot{\psi}_x &= 0 & \dot{\theta}_x &= \dot{\theta} \cos \psi \\ \dot{\psi}_y &= 0 & \dot{\theta}_y &= -\dot{\theta} \sin \psi \\ \dot{\psi}_z &= \dot{\psi} & \dot{\theta}_z &= 0 \end{aligned}$$

$$\dot{\phi}_x = \dot{\phi} \sin \psi \sin \theta$$

$$\dot{\phi}_y = \dot{\phi} \cos \psi \sin \theta$$

$$\dot{\phi}_z = \dot{\phi} \cos \theta$$

# Heavy symmetrical top with one point fixed

$$\vec{\omega} = \vec{\omega}_\theta + \vec{\omega}_\phi + \vec{\omega}_\psi$$

$$\vec{\omega} = \begin{bmatrix} \dot{\phi} \sin \psi \sin \theta + \dot{\theta} \cos \psi \\ \dot{\phi} \cos \psi \sin \theta - \dot{\theta} \sin \psi \\ \dot{\phi} \cos \theta + \dot{\psi} \end{bmatrix}$$

$$\dot{\psi}_x = 0 \quad \dot{\theta}_x = \dot{\theta} \cos \psi$$

$$\dot{\psi}_y = 0 \quad \dot{\theta}_y = -\dot{\theta} \sin \psi$$

$$\dot{\psi}_z = \dot{\psi} \quad \dot{\theta}_z = 0$$

$$\dot{\phi}_x = \dot{\phi} \sin \psi \sin \theta$$

$$\dot{\phi}_y = \dot{\phi} \cos \psi \sin \theta$$

$$\dot{\phi}_z = \dot{\phi} \cos \theta$$

# Heavy symmetrical top with one point fixed

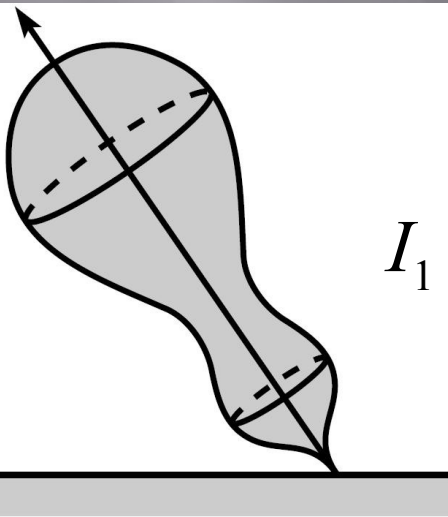
$$\sum_i m_i \vec{r}_i = M \vec{R}$$

- The Lagrangian:  $L = T - V$

$$T = \cancel{T_{\text{Translation}}} + T_{\text{Rotation}} = \frac{I_1(\omega_1^2 + \omega_2^2)}{2} + \frac{I_3\omega_3^2}{2}$$

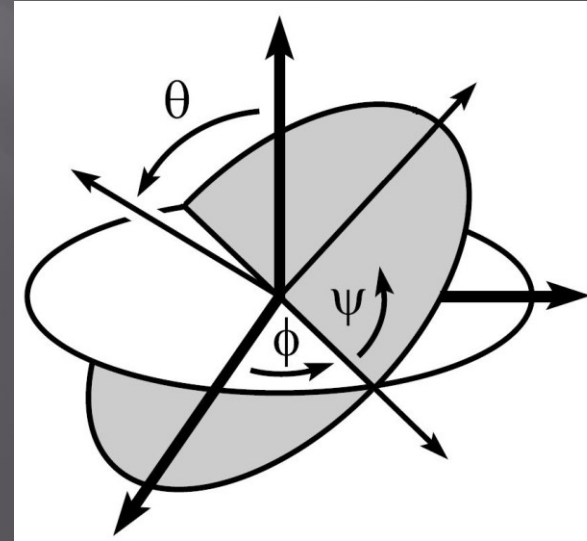
$$V = -\int \vec{r} \cdot \vec{g} \rho dV = -\vec{g} \cdot \int \vec{r} \rho dV = -\vec{g} \cdot \vec{R}M$$

- Using the Euler angles



$$I_1 = I_2$$

$$V = gRM \cos \theta$$



# Heavy symmetrical top with one point fixed

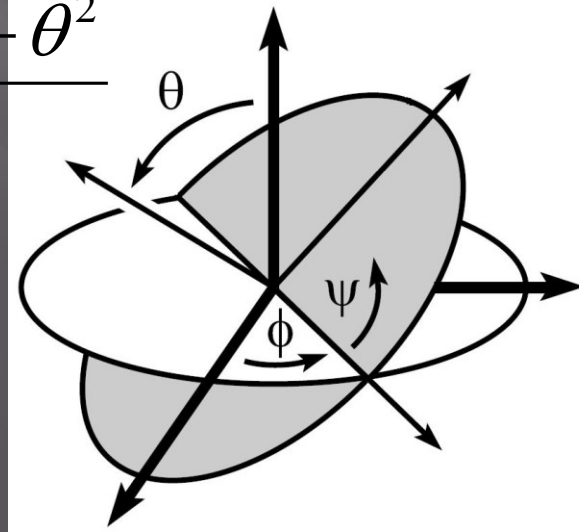
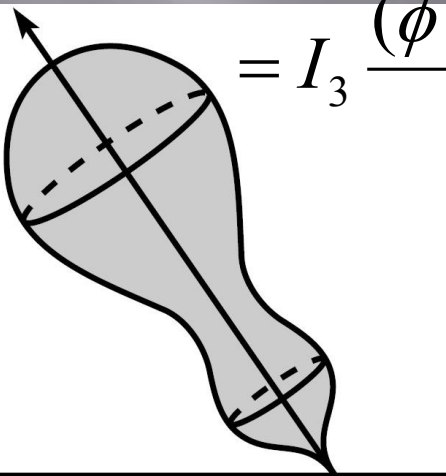
$$\vec{\omega} = \begin{bmatrix} \dot{\phi} \sin \psi \sin \theta + \dot{\theta} \cos \psi \\ \dot{\phi} \cos \psi \sin \theta - \dot{\theta} \sin \psi \\ \dot{\phi} \cos \theta + \dot{\psi} \end{bmatrix}$$

$$T = \frac{I_3 \omega_3^2}{2} + \frac{I_1 (\omega_1^2 + \omega_2^2)}{2} = \frac{I_3 (\dot{\phi} \cos \theta + \dot{\psi})^2}{2}$$

$$+ I_1 \frac{(\dot{\phi} \cos \psi \sin \theta - \dot{\theta} \sin \psi)^2 + (\dot{\phi} \sin \psi \sin \theta + \dot{\theta} \cos \psi)^2}{2}$$

$$= I_3 \frac{(\dot{\phi} \cos \theta + \dot{\psi})^2}{2} + I_1 \frac{\dot{\phi}^2 \sin^2 \theta + \dot{\theta}^2}{2}$$

$$V = gRM \cos \theta$$



# Heavy symmetrical top with one point fixed

$$L = I_3 \frac{(\dot{\phi} \cos \theta + \dot{\psi})^2}{2} + I_1 \frac{\dot{\phi}^2 \sin^2 \theta + \dot{\theta}^2}{2} - gRM \cos \theta$$

- The Lagrangian is **cyclic** in **two** coordinates

$$\frac{\partial L}{\partial \phi} = 0; \frac{\partial L}{\partial \psi} = 0$$

- Thus, we have two conserved generalized momenta

$$p_{\phi} = \frac{\partial L}{\partial \dot{\phi}} = I_3 (\dot{\phi} \cos^2 \theta + \dot{\psi} \cos \theta) + I_1 (\dot{\phi} \sin^2 \theta) = \text{const} \equiv I_1 b$$

$$p_{\psi} = \frac{\partial L}{\partial \dot{\psi}} = I_3 (\dot{\phi} \cos \theta + \dot{\psi}) = \text{const} \equiv I_1 a$$

# Heavy symmetrical top with one point fixed

$$L = I_3 \frac{(\dot{\phi} \cos \theta + \dot{\psi})^2}{2} + I_1 \frac{\dot{\phi}^2 \sin^2 \theta + \dot{\theta}^2}{2} - gRM \cos \theta$$

- The Lagrangian does not contain **time** explicitly  $\frac{\partial L}{\partial t} = 0$
- Thus, the total energy of the system is conserved

$$E = I_3 \frac{(\dot{\phi} \cos \theta + \dot{\psi})^2}{2} + I_1 \frac{\dot{\phi}^2 \sin^2 \theta + \dot{\theta}^2}{2} + gRM \cos \theta = \text{const}$$

- To solve the problem completely, we need **three** additional quadratures
- We will look for them, using the conserved quantities



# Heavy symmetrical top with one point fixed

$$I_3(\dot{\phi} \cos \theta + \dot{\psi}) = I_1 a \qquad I_3 \dot{\psi} = I_1 a - I_3 \dot{\phi} \cos \theta$$

$$I_3(\dot{\phi} \cos^2 \theta + \dot{\psi} \cos \theta) + I_1(\dot{\phi} \sin^2 \theta) = I_1 b$$

~~$$I_3(\dot{\phi} \cos^2 \theta) + (I_1 a \cos \theta - I_3 \dot{\phi} \cos^2 \theta) + I_1(\dot{\phi} \sin^2 \theta) = I_1 b$$~~

$$a \cos \theta + \dot{\phi} \sin^2 \theta = b$$

$$\dot{\phi} = \frac{b - a \cos \theta}{\sin^2 \theta} \equiv f_1(\theta)$$

$$\dot{\psi} = \frac{I_1}{I_3} a - f_1(\theta) \cos \theta \equiv f_2(\theta)$$

$$E = I_3 \frac{(f_1(\theta) \cos \theta + f_2(\theta))^2}{2} + I_1 \frac{f_1(\theta)^2 \sin^2 \theta + \dot{\theta}^2}{2} + gRM \cos \theta$$

- One variable only: we can find all the quadratures!

# Heavy symmetrical top with one point fixed

$$E = I_3 \frac{(f_1(\theta) \cos \theta + f_2(\theta))^2}{2} + I_1 \frac{f_1(\theta)^2 \sin^2 \theta + \dot{\theta}^2}{2} + gRM \cos \theta$$

$$E = \frac{I_1 \dot{\theta}^2}{2} + \frac{I_3 (f_1(\theta) \cos \theta + f_2(\theta))^2}{2} + \frac{I_1 f_1(\theta)^2 \sin^2 \theta}{2} + RMg \cos \theta$$

- We have an equivalent 1D problem with an **effective potential!**

$$V_{\text{eff}}(\theta) = \frac{I_3 (f_1(\theta) \cos \theta + f_2(\theta))^2}{2} + \frac{I_1 f_1(\theta)^2 \sin^2 \theta}{2} + RMg \cos \theta$$

$$= \frac{\cancel{(I_1 a)^2}}{2I_3} + \frac{I_1 (b - a \cos \theta)^2}{2 \sin^2 \theta} + gRM \cos \theta$$

$$V_{\text{eff}}'(\theta) = \frac{I_1 (b - a \cos \theta)^2}{2 \sin^2 \theta} + gRM \cos \theta$$

$$I_3 (\dot{\phi} \cos \theta + \dot{\psi}) = I_1 a$$

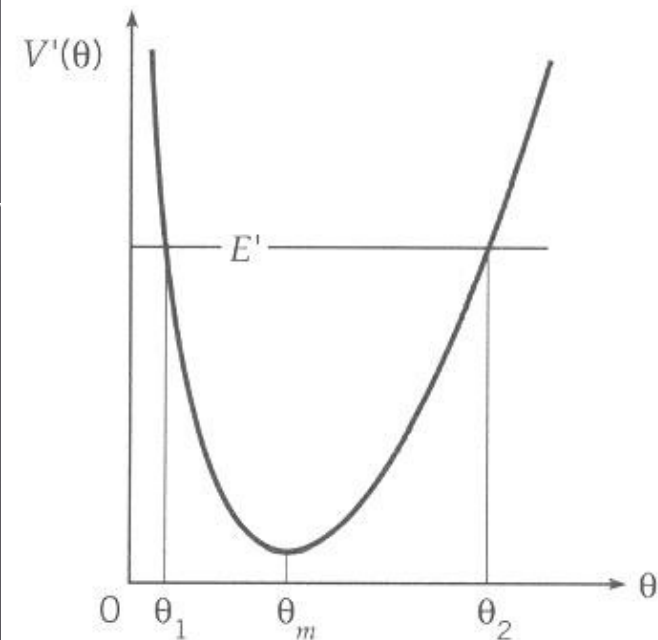
# Heavy symmetrical top with one point fixed

$$E' = \frac{I_1 \dot{\theta}^2}{2} + V_{eff}'(\theta)$$

$$\left(\frac{d\theta}{dt}\right)^2 = \frac{2[E' - V_{eff}'(\theta)]}{I_1}$$

$$t(\theta) = \int \frac{d\theta}{\sqrt{2/I_1 [E' - V_{eff}'(\theta)]}}$$

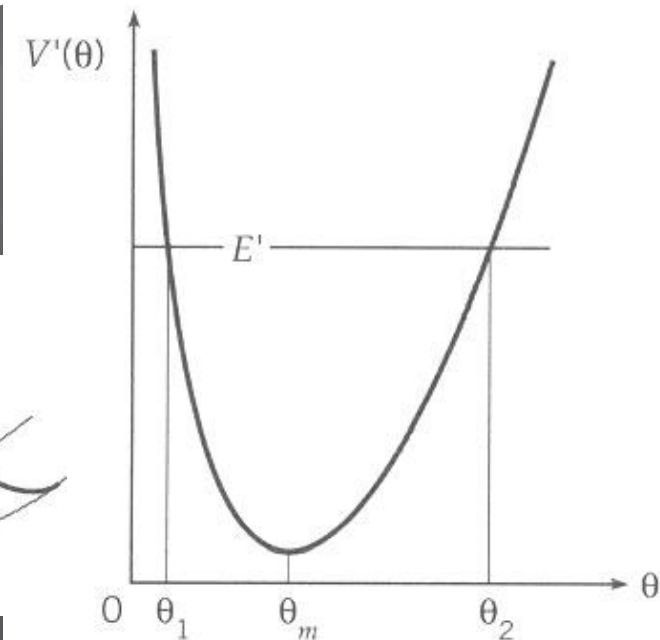
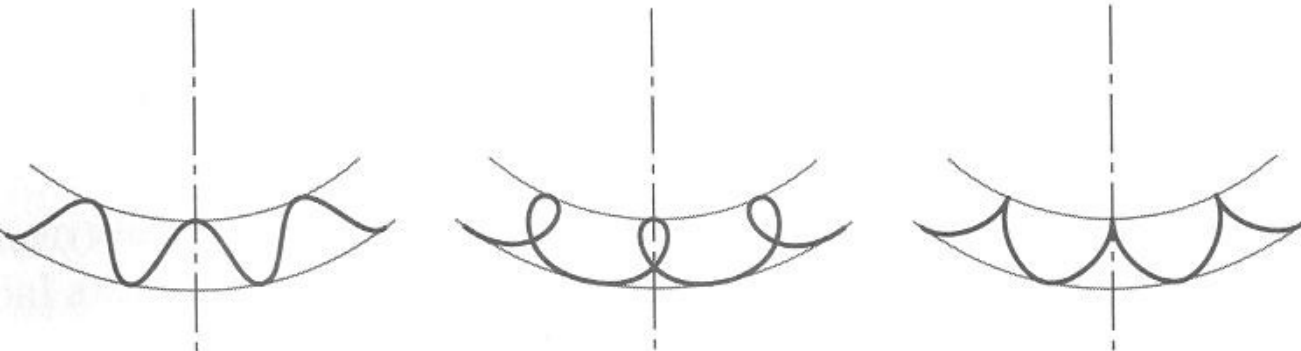
- In the most general case, the integration involves **elliptic functions**
- Effective potential is a function with a minimum: motion in  $\theta$  is bound between two values



# Heavy symmetrical top with one point fixed

- When  $\theta$  is at its minimum, we have a precession
- Otherwise, the top is bobbing: **nutation**
- The shape of the nutation trajectory depends on the behavior of the time derivative of  $\phi$

$$\dot{\phi} = \frac{b - a \cos \theta}{\sin^2 \theta}$$



## Charged rigid body in an electromagnetic field

$$L = \sum_i \left( \frac{m_i (\dot{r}_{i,x}^2 + \dot{r}_{i,y}^2 + \dot{r}_{i,z}^2)}{2} - q_i \phi(\vec{r}_i) + q_i (\dot{\vec{r}}_i \cdot \vec{A}) \right)$$

- Let us consider the following vector potential (**C** – constant vector)

$$\vec{A} = \vec{C} \times \vec{r} \quad A_i = (\vec{C} \times \vec{r})_i = \sum_{j,k=1}^3 \varepsilon_{ijk} C_j r_k$$

- How is magnetic field related to vector **C**?

$$\begin{aligned} \vec{B} &= \nabla \times \vec{A} & B_n &= (\nabla \times \vec{A})_n = \sum_{m,i=1}^3 \varepsilon_{nmi} \frac{\partial A_i}{\partial r_m} \\ & & &= \sum_{i,j,k,m=1}^3 \varepsilon_{nmi} \varepsilon_{ijk} C_j \frac{\partial r_k}{\partial r_m} = \sum_{j,k,m=1}^3 (\delta_{nj} \delta_{mk} - \delta_{nk} \delta_{mj}) C_j \frac{\partial r_k}{\partial r_m} \end{aligned}$$

# Charged rigid body in an electromagnetic field

$$B_n = \sum_{j,k,m=1}^3 (\delta_{nj}\delta_{mk} - \delta_{nk}\delta_{mj}) C_j \frac{\partial r_k}{\partial r_m}$$

$$= \sum_{m=1}^3 C_n \frac{\partial r_m}{\partial r_m} - \sum_{m=1}^3 C_m \frac{\partial r_n}{\partial r_m} = 3C_n - \sum_{m=1}^3 C_m \delta_{mn} = 2C_n = B_n$$

$$\vec{C} = \frac{\vec{B}}{2} \quad \vec{A} = \frac{\vec{B} \times \vec{r}}{2}$$

- **Constant magnetic field**

$$L = \sum_i \left( \frac{m_i (\dot{r}_{i,x}^2 + \dot{r}_{i,y}^2 + \dot{r}_{i,z}^2)}{2} - q_i \phi(\vec{r}_i) + q_i \left( \dot{\vec{r}}_i \cdot \frac{\vec{B} \times \vec{r}_i}{2} \right) \right)$$

## Charged rigid body in an electromagnetic field

$$L = \sum_i \left( \frac{m_i (\dot{r}_{i,x}^2 + \dot{r}_{i,y}^2 + \dot{r}_{i,z}^2)}{2} - q_i \phi(\vec{r}_i) + \dot{\vec{r}}_i \cdot \frac{q_i \vec{B} \times \vec{r}_i}{2} \right)$$

$$\sum_i \dot{\vec{r}}_i \cdot \frac{q_i \vec{B} \times \vec{r}_i}{2} = \sum_i \frac{q_i \vec{B}}{2m_i} \cdot (\vec{r}_i \times \dot{\vec{r}}_i m_i)$$

- Let us assume a **uniform** charge/mass ratio

$$= \frac{q\vec{B}}{2m} \cdot \sum_i (\vec{r}_i \times \dot{\vec{r}}_i m_i) = \frac{q\vec{B}}{2m} \cdot \vec{L} = \frac{(q/m)\tilde{\mathbf{B}}\mathbf{L}}{2}$$

- Recall rotational kinetic energy

$$T_R = \frac{\tilde{\omega}\mathbf{L}}{2}$$

$$\vec{a} \cdot (\vec{b} \times \vec{c}) = \vec{b} \cdot (\vec{c} \times \vec{a})$$



# Radius of gyration

- FYI: **radius of gyration** is

$$R_0 = \sqrt{\frac{I}{M}}$$

$$I = MR_0^2$$

$$T_R = \frac{I\omega^2}{2} = \frac{M(R_0\omega)^2}{2}$$